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SOUTHEND-ON-SEA BOROUGH COUNCIL

Policy and Resources Scrutiny Committee

Date: Thursday, 3rd December, 2015

Time: 6.30 pm

Place: Committee Room 1 - Civic Suite, Victoria Avenue

Contact: Fiona Abbott

Email: committeesection@southend.gov.uk

AGENDA

****** Part 1**

- 1 Apologies for Absence**
- 2 Declarations of Interest**
- 3 Questions from Members of the Public**
- 4 Minutes of the Meeting held on Thursday 15th October, 2015 (Pages 1 - 10)**

****** ITEMS CALLED-IN/REFERRED DIRECT FROM CABINET - Tuesday 10th November, 2015**

- 5 Notice of Motion - Printing and Distribution of Hard Copies of Council Papers (Pages 11 - 12)**
Minute 399 – Item 4 refers
Called-in by Councillor J L Lamb and A E Holland
- 6 Monthly Performance Report (Pages 13 - 79)**
Members are reminded to bring with them the most recent MPR for period end September 2015. An exceptions report will be circulated shortly and copies will be available at the meeting.

Comments/questions should be made at the appropriate Scrutiny Committee relevant to the subject matter.

Chief Superintendent Luke Collison and Chief Inspector Simon Anslow are attending the meeting for this agenda item.
- 7 Capital Monitoring for 2015/16 and Revised Capital Programme 2015/16 to 2018/19 (Pages 80 - 118)**
Minute 405 – Item 10 refers
Called-in by Councillor J L Lamb and A E Holland
- 8 Issues Facing HRA (Pages 119 - 127)**
Minute 409 – item 14 refers
Called-in by Councillors J L Lamb and A E Holland
- 9 Council Procedure Rule 46 (Page 128)**
Minute 416 – Item 22 refers
(item 1.1 – Beach Hut leases and 1.2 – Purchase of 36 Malvern, Coleman Street only)

Called-in by Councillors J L Lamb and A E Holland

PRE-CABINET SCRUTINY ITEMS

None

ITEMS CALLED-IN FROM THE FORWARD PLAN

None

****** OTHER SCRUTINY MATTERS**

10 In depth scrutiny project - 'Control of personal debt and the advantages of employment'

Update

11 Exclusion of the Public

To agree that, under Section 100(A)(4) of the Local Government Act 1972, the public be excluded from the meeting for the items of business set out below on the grounds that they involve the likely disclosure of exempt information as defined in Part 1 of Schedule 12A to the Act, and that the public interest in maintaining the exemption outweighs the public interest in disclosing the information.

****** Part 2**

12 Projects - PSP Southend LLP (Pages 129 - 148)

Minute 419 – item 25 refers

Called-in by Councillor J L Lamb and A E Holland

13 HRA Land Review Project (Pages 149 - 154)

Minute 420 – Item 26 refers

Called-in by Councillors J L Lamb and A E Holland

Members:

Cllr Flewitt (Chair), Cllr D Garston (Vice-Chair), Cllr Arcscott, Cllr Ayling, Cllr Borton, Cllr Byford, Cllr Crystall, Cllr Davies, Cllr J Garston, Cllr Holland, Cllr Kenyon, Cllr Lamb, Cllr McMahon, Cllr Nevin, Cllr Stafford, Cllr Walker and Cllr Waterworth

SOUTHEND-ON-SEA BOROUGH COUNCIL

Meeting of Policy and Resources Scrutiny Committee

Date: Thursday, 15th October, 2015

Place: Jubilee Room, Civic 1, Civic Campus, Victoria Ave

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- Present:** Councillor M A Flewitt (Chairman), Councillor D A Garston (Vice-Chairman)
Councillors B Ayling, B C Arscott, M L Borton, T K Byford, A Crystall, J M Garston, A E Holland, D G Kenyon, J L Lamb, C J Nevin, K Robinson*, M Stafford and C W Walker
(*Substitute in accordance with Council Procedure Rule 31)
- In Attendance:** Councillors R A Woodley, I D Gilbert and D A Norman MBE (Executive Councillors)
Councillors Cox, Betson and Salter
R Tinlin, J K Williams, S Holland, F Abbott, S Leftley, J Chesterton, S Houlden, A Fiske, T MacGregor, A Fiske and A Keating
M Ambrose, S Long, T Nixon and M Medina (SEH – in attendance for item 5)

Start/End Time: 18.30 / 20.55

**** **Part I**

329 Apologies and substitutions.

Apologies for absence were received from Councillor J McMahon (substitute Cllr K Robinson), Councillor L Davies (no substitute) and Councillor F Waterworth (no substitute).

330 Declarations of interest.

The following interests were declared at the meeting:

- (a) Councillors Woodley, Gilbert and Norman MBE (Executive Councillors) - interest in the referred/called-in items; attended pursuant to the dispensation agreed at Council on 19th July 2012, under S.33 of the Localism Act 2011;
- (b) Councillor Crystall – agenda item relating to Summary Reports – non-pecuniary – Partner Governor at Southend Hospital;
- (c) Councillor J Garston – agenda item relating to Housing Working Party – non-pecuniary – local landlord;
- (d) Councillor D Garston -agenda item relating to Housing Working Party – non-pecuniary – private landlord owning one rented house in Southend;
- (e) Councillor Flewitt - agenda items relating to – SEH presentation; Better Queensway; ALMO Review; Housing Working Party - non-pecuniary – friend, family tenants of SEH Ltd;
- (f) Councillor Nevin - agenda item relating to Summary Reports – non-pecuniary – NHS employee at Bart's; previous employee at Southend, Mid Essex and Colchester Hospitals;

- (g) Councillor Salter – agenda items relating to - Better Queensway; ALMO Review - non-pecuniary – private landlord in Borough;
- (h) Councillor Lamb - agenda item relating to Summary Reports – non-pecuniary – Governor at Southend Hospital, on behalf of SAVS;
- (i) Councillor Holland - agenda items relating Better Queensway and Minutes of Housing Working Party - son works for SBC and involved in strategic housing and worked on the items – withdrew;
- (j) Councillor Holland – agenda item relating to Review of the Council’s Risk Management Policy – non-pecuniary – member of ALARM, mentioned in report.

331 Questions from Members of the Public.

Councillor Gilbert, the Executive Councillor for Community & Organisational Development responded to a written question from Mr Webb.

332 Minutes of the Meeting held on Thursday, 16th July, 2015

Resolved:-

That the Minutes of the meeting held on Thursday, 16th July, 2015 be received, confirmed as a correct record and signed.

333 SEH – activities across sheltered housing network

The Committee received a presentation from officers from South Essex Homes on activities across the sheltered housing network. This covered the role of Sheltered Housing Officers and how they and the Community Development Team engage with residents. A booklet providing further details of the community development projects was also circulated to Members of the Committee.

The Committee asked a number of questions which were responded to. In response to a question, the Service Development Manager agreed to provide information on figures relating to sheltered housing referrals.

Resolved:-

That M Medina, T Nixon, S Long and M Ambrose be thanked for the interesting and informative presentation.

334 Monthly Performance Report - July 2015

The Committee considered Minute 245 of Cabinet held on 22nd September 2015, together with the Monthly Performance Report (MPR) covering the period to end August 2015, which had been circulated recently.

In response to a questions regarding CP 1.1 and the prioritisation given by the Police, the Executive Councillor for Community & Organisational Development said that he would take back comments / concerns to Chief Inspector S Anslow. He also advised that the Essex Police & Crime Commissioner has offered to meet with Councillors in the new year.

Resolved:-

That the report be noted.

Note:- This is an Executive Function.

Executive Councillor:- As appropriate to the item.

335 Annual Report – Comments, Compliments and Complaints

The Committee considered Minute 246 of Cabinet held on 22nd September 2015, which had been called in to all 3 scrutiny committees, together with a report of the Corporate Director for Corporate Services presenting the annual report on comments, compliments and complaints received throughout the Council for 2014/15.

Resolved:-

That the following decision of Cabinet be noted:-

“That the performance in respect of compliments, comments and complaints for 2014/15 be noted.”

Note:- This is an Executive Function

Executive Councillors:- Woodley, Moyies and Jones

336 Compulsory Purchase Order Authorities re land at Roots Hall and Fossetts Farm

The Committee considered Minute 248 of Cabinet held on 22nd September, 2015, which had been called in to scrutiny, together with a report of the Corporate Director for Corporate Services on a review of the resolutions of the Council to compulsorily purchase land within the Roots Hall Site and at Fossetts Farm pursuant to Section 226 Town and Country Planning Act 1990, in order to facilitate the development of the area.

Resolved:-

That the following recommendations of Cabinet be noted:-

“1. That the resolution made by the Council on 1st March 2012 to make a Compulsory Purchase Order in respect of land within the Roots Hall Site be reviewed at the next meeting of Cabinet on 10th November 2015.

2. That the resolution made by the Council on 1st March 2012 to make a Compulsory Purchase Order in respect of land at Fossetts Farm be withdrawn as it is no longer required.”

Note:- This is a Council Function

Executive Councillor:- Woodley

337 Corporate Asset Management Strategy 2015-2025

The Committee considered Minute 249 of Cabinet held on 22nd September, 2015, which had been called in to scrutiny, together with a report of the Corporate Director for Corporate Services presenting the Corporate Asset Management Strategy (CAMS) 2015-2025, incorporating a Commercial Property Investment Strategy.

Resolved:-

1. That the following decisions of Cabinet be noted:-

“1. That the updated CAMS 2015-2025, as set out in Appendix 1 to the submitted report, be approved.

2. That it be noted that the proposed CAMS now includes a commercial property investment strategy as referred to in sections 3 and 6 of the submitted report.

3. That it be noted that progression of the strategy will require specific capital resources being identified as part of the relevant budget process together with some revenue support to be funded from the Business Transformation Reserve.”

2. That, in accordance with Standing Order 39, the matter be referred to full Council for consideration. ||

Note:- This is an Executive Function
This item had previously been subject to pre-Cabinet Scrutiny
Executive Councillor:- Woodley

338 Local Code of Governance Review

The Committee considered Minute 250 of Cabinet held on 22nd September, 2015, which had been called in to scrutiny, together with a report of the Chief Executive & Town Clerk presenting the Council’s Local Code of Governance following approval by the Audit Committee on 24th June 2015.

Resolved:-

That the following recommendations of Cabinet be noted:-

“1. That the Council’s Local Code of Governance, as set out in Appendix 1 to the submitted report, be approved. ||

2. That the Council’s Constitution be updated with the revised Local Code of Governance.”

Note:- This is a Council Function
Executive Councillor:- Woodley

339 Review of the Council's Risk Management Policy, Strategy and Toolkit

The Committee considered Minute 253 of Cabinet held on 22nd September, 2015, which had been called in to scrutiny, together with a report of the Chief Executive & Town Clerk outlining changes to the Council's Risk Management Policy, Strategy and Toolkit.

Following a question, the Team Manager, Policy & Information Management said that he would look at the possible use of risk management software.

In response to questions, the Corporate Director for Corporate Services said that all Members are welcome to attend the risk management training which has been arranged for Audit Committee Members, taking place on 19th October 2015. Following the session, a copy of the Power Point presentation will also be made available to all Members of the Council.

Resolved:-

That the following decision of Cabinet be noted:-

“That subject to the inclusion of a reference to the business continuity planning process, the Council's risk policy, strategy and toolkit, outlined in Appendices 1 and 2 to the submitted report, be approved.”

Note:- This is an Executive Function
Executive Councillor:- Woodley

340 Better Queensway

The Committee considered Minute 255 of Cabinet held on 22nd September, 2015, which had been called in to scrutiny, together with a report of the Corporate Directors for People and Corporate Services detailing the work undertaken since the report of 23rd September 2014 together with proposals to take the 'Better Queensway' project forward.

In response to questions, the Corporate Director for Corporate Services agreed:

- (a) to circulate information on the lease types / length of leases in the properties;
- (b) to circulate electronically the colour plans in the documentation; and
- (c) confirmed that the scheme development costs will incorporate all costs associated in the scheme.

Resolved:-

That the following decisions of Cabinet be noted:-

“1. That the work of the Housing Working Party and the Project Team to date, be noted.

2. That Phase 2 of the project be progressed, which would include the following:

- Inclusion of Network Rail land at Victoria Station within the outline master plan, as set out in Appendix 1a to the submitted report.
- Undertake further works to determine the future of the tower blocks
- The proposed preferred offer to leaseholders
- Progress investigation work to 'cover' the Underpass and the remodelling of the roadways including the possible widening of Chichester Road
- Progress with further investigation work on the 3 financial options, including procurement routes
- Identify social infrastructure requirements as part of the whole development
- Continue to work with the Smart Cities Project
- Undertake an Economic Impact Assessment which will inform the design brief

3. That the Corporate Director for Corporate Services, in consultation with the Leader of the Council, be authorised to negotiate to purchase any properties which become available within the 'Better Queensway' site if this offers value for money and proves to be advantageous to the Project.

4. That it be noted that best endeavours will be undertaken to minimise disruption to residents and wherever possible, move them only once.

5. That the presentation given to the Housing Working Party on 16th September 2015, as set out in Appendix 3 to the submitted report, be noted."

Note:- This is an Executive Function.

Executive Councillors:- Woodley and D Norman MBE

341 Review of the Arm's Length Management Organisation (ALMO) - South Essex Homes

The Committee considered Minute 260 of Cabinet held on 22nd September, 2015, which had been called in to scrutiny, together with a report of the Corporate Director for People proposing an extension of the Council's management agreement with South Essex Homes. The Committee also had before it a supplementary paper which had been circulated at the Cabinet meeting, setting out the rationale for extending the Management Agreement with South Essex Homes for a 3 year period.

Resolved:-

1. That the following decision of Cabinet be noted:-

"That the management agreement with South Essex Homes be extended for a further 3 year period from 1st April 2016 to 31st March 2019."

2. That, in accordance with Standing Order 39, the matter be referred to full Council for consideration.

Note:- This is an Executive Function

Executive Councillor:- D Norman MBE

342 Minutes of the meeting of the Housing Working Party held on Wednesday, 16th September, 2015

The Committee considered Minute 274 of Cabinet held on 22nd September, 2015, which had been called in to scrutiny, which was the Minutes of the Housing Working Party held on 16th September, 2015.

In response to questions regarding the sheltered housing review, the Corporate Director for People said that he would clarify when Council agreed to undertake the review, as part of the Housing Investment Plan.

Resolved:-

That the following decision of Cabinet be noted:-

“That the minutes of the meeting of the Housing Working Party be noted”.

Note:- This is an Executive Function.

Executive Councillor:- D Norman MBE

343 Action taken in accordance with Council Procedure Rule 46

The Committee considered Minute 275 of Cabinet held on 22nd September 2015, which had been called in to scrutiny. This concerned item 1.2 re Sealife Adventure Expansion.

Resolved:-

That the submitted report be noted.

Note:- This is an Executive Function

Executive Councillor:- Woodley

344 Summary Reports to Scrutiny Committee

Further to Minute 10 of Council held on 21st May 2015, at which it was agreed that the Council nominees to four specific outside bodies shall submit Summary Reports to every other ordinary meeting of the Scrutiny Committee, the Committee considered the following reports:-

- (a) Essex Fire Authority – Reports from Councillors Ware-Lane and Holland;
- (b) Southend University Hospital – Report from Councillor Crystall;
- (c) Essex Police and Crime Panel – Report from Councillor Gilbert; and
- (d) Kent & Essex Inshore Fisheries & Conservation Authority – Report from Councillor Lamb.

Resolved:-

That the Summary Reports be noted.

345 Minutes of the Meeting of Chairmen's Scrutiny Forum held on Tuesday, 8th September, 2015

Resolved:-

That the Minutes of the meeting of Chairmen's Scrutiny Forum held on Tuesday, 8th September, 2015 be received and noted and the recommendations therein endorsed.

Note: This is a Scrutiny Function.

346 In depth scrutiny project – 'Control of personal debt and the advantages of employment'

Further to Minute 158 of its meeting held on 16th July 2015, the Committee considered the project plan in relation to its agreed in depth scrutiny project for 2015/16 – 'Control of personal debt and the advantages of employment'.

The Chairman advised that the project plan was agreed by the member project team at its meeting on 16th September 2015. The project team met again on 14th October 2015 and agreed that the planned main evidence gathering session will be held on 4th February 2016.

Resolved:-

That the project plan for the in depth scrutiny project – 'Control of personal debt and the advantages of employment', be agreed.

Note:- This is a Scrutiny Function.

347 Exclusion of the Public.

Resolved:-

That, under Section 100(A)(4) of the Local Government Act 1972, the public be excluded from the meeting for the item of business set out below, on the grounds that it would involve the likely disclosure of exempt information as defined in Part 1 of Schedule 12A to the Act and that the public interest in maintaining the exemption outweighs the public interest in disclosing the information.

****** Part II(Confidential)**

348 Action taken in accordance with Council Procedure Rule 46 - Confidential sheet

Resolved:-

That the submitted report be noted.

Note:- This is an Executive Function
Executive Councillor:- Woodley

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Notice of Motion – Printing and Distribution of Hard Copies of Council Papers.

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We the undersigned wish to put before the council a motion to rescind the decision of this council to stop the printing and distribution of hard copies of council papers.

This motion is proposed by me and seconded by Councillor Alan Crystall

The motion is supported by the following 27 councillors:-

Arscott, Assenheim, Ayles, Ayling, Buckey, Butler, Byford, Courtenay, Cox, Davidson, Evans, Flewitt, Folkard, Garston D, Garston J, Habermel, Holland, Jarvis, Kenyon, Lamb, McGlone, Phillips, Robertson, Salter, Van Looy, Walker, Ward.

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Section 1: 2015-2016 Exceptions - Current Month Performance



Comments on Indicators rated Red or Amber



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Expected Outcome At risk of missing target



Responsible OUs People

MPR Code	Short Name	Minimise or Maximise	Latest Month	Month's Value	Month's Target	Annual Target 2015/16	Expected Outcome	Better or worse than last year	Comment - explanation of current performance, actions to improve performance and anticipated future performance	Scrutiny Committee
CP 1.3	The percentage of children reported to the police as having run away that receive an independent return to home interview [Cumulative]	Aim to Maximise	October 2015	78.2%	85%	85%			<p>From 1st April to 31st October 2015, 101 missing children returned to home. 22 of these have not been seen, so 79 have been seen (78.2%).</p> <p>Of the 22 children who have not been interviewed, 12 children have never been seen and 10 have had unsuccessful visits. Reasons for the 12 never seen;</p> <p>2 are out of borough, 1 is open to Essex and now in custody, 3 we received the found reports only recently from the police, and, 6 were found in October and due to be visited.</p> <p>Reasons for the 10 unsuccessful visits.</p> <p>6 went missing once and had no response to multiple contact attempts. 1 child went missing twice and is due to be seen by Essex SC. 1 child went missing once and is LAC. 1 child went missing once and refused a visit. 1 Essex LAC child went missing 6 times and refused all visits.</p>	People Scrutiny



Expected Outcome At risk of missing target
Responsible OUs Place

MPR Code	Short Name	Minimise or Maximise	Latest Month	Month's Value	Month's Target	Annual Target 2015/16	Expected Outcome	Better or worse than last year	Comment - explanation of current performance, actions to improve performance and anticipated future performance	Scrutiny Committee
CP 1.1	Score against 10 BCS crimes; Theft of vehicle, theft from vehicle, vehicle interference, domestic burglary, theft of cycle, theft from person, criminal damage, common assault, woundings, robbery. [Cumulative]	Aim to Minimise	October 2015	4920	4478	7389			Southend Community Safety Partnership continues to monitor closely the current performance on crime figures. The majority of the increase in reported crime is within the category 'violence without injury' – typically common assault, harassment and threat offences. A large proportion of these offences have been reported following domestic abuse incidents, which is encouraging as we know that this is traditionally a crime type that is under-reported. Also within this crime category is a change in national crime recording rules which means that Malicious Communications is now recorded as a crime. About 70 such offences have been recorded this year that would not have been recorded last year. The CSP has commissioned a detailed crime strategic intelligence assessment for the Borough, which should provide a broader picture and assist in addressing the priorities for crime and disorder over the coming year.	Policy & Resources Scrutiny



Expected Outcome Some slippage against target
Responsible OUs Corporate Services

MPR Code	Short Name	Minimise or Maximise	Latest Month	Month's Value	Month's Target	Annual Target 2015/16	Expected Outcome	Better or worse than last year	Comment - explanation of current performance, actions to improve performance and anticipated future performance	Scrutiny Committee
CP 5.4	Working days lost per FTE due to sickness - excluding school staff [Cumulative]	Aim to Minimise	October 2015	3.69	3.51	7.20			This month's Corporate result has missed the in-month target, and the Corporate cumulative result is slightly above target. HR will continue to work with our Occupational Health provider to address absence trends and implement strategies to manage absence. The sickness absence action plan 2015/16 continues to be implemented so that additional preventative measures can continue to take effect.	Policy & Resources Scrutiny



Expected Outcome Some slippage against target
Responsible OUs People



MPR Code	Short Name	Minimise or Maximise	Latest Month	Month's Value	Month's Target	Annual Target 2015/16	Expected Outcome	Better or worse than last year	Comment - explanation of current performance, actions to improve performance and anticipated future performance	Scrutiny Committee
CP 3.1	Proportion of older people 65 and over who were still at home 91 days after discharge from hospital to rehab/rehab [Cumulative]	Aim to Maximise	October 2015	82.8%	86%	86%			For October, 111 people from 134 were still at home after 3 months of starting reablement (82.8%). Year to date, 915 people started reablement, of which 753 (82.3%) were at home 3 months later.	People Scrutiny

Expected Outcome Some slippage against target
Responsible OUs Place

MPR Code	Short Name	Minimise or Maximise	Latest Month	Month's Value	Month's Target	Annual Target 2015/16	Expected Outcome	Better or worse than last year	Comment - explanation of current performance, actions to improve performance and anticipated future performance	Scrutiny Committee
CP 2.3	Percentage of household waste sent for reuse, recycling and composting [Cumulative]	Aim to Maximise	October 2015	51.49%	54.00%	54.00%			Over the past 18 months there has been a regional decline in recycling targets across all 12 Districts/Boroughs within Essex (average - 2.6%). There has also been a general increase in total waste arising (average +3.1 %). Commissioning status at the partnerships Mechanical Biological Treatment Plant was also delayed meaning residual waste has not been processed to extract recycling which would have equated to a recycling increase of 3-4% to the 2015-16 figure; black bag waste has now commenced being taken into the MBT.	Place Scrutiny

Expected Outcome Some slippage against target
Responsible OUs Public Health

MPR Code	Short Name	Minimise or Maximise	Latest Month	Month's Value	Month's Target	Annual Target 2015/16	Expected Outcome	Better or worse than last year	Comment - explanation of current performance, actions to improve performance and anticipated future performance	Scrutiny Committee
CP 3.4	Public Health Responsibility Deal [Cumulative]	Aim to Maximise	October 2015	15	23	40			We have had 2 sign ups to the PHRD in October. The Business Engagement Officer's induction programme is now complete and contact is being made with businesses through a variety of networks.	People Scrutiny

MPR Code	Short Name	Minimise or Maximise	Latest Month	Month's Value	Month's Target	Annual Target 2015/16	Expected Outcome	Better or worse than last year	Comment - explanation of current performance, actions to improve performance and anticipated future performance	Scrutiny Committee
CP 3.5	Number of people successfully completing 4 week stop smoking course [Cumulative]	Aim to Maximise	October 2015	518	650	1,300			<p>Final quit figures for October are unlikely to complete until the end of December as Department of Health guidelines state successful quits can be registered up to 42 days after quit date is set.</p> <p>In September the lung test campaign took place and over 1000 tests were undertaken. 25% of the people tested were smokers and were given information and signposted to stop smoking services.</p> <p>The national Stoptober road show campaign and media exposure commenced mid-September, with activities continuing throughout October around the borough.</p>	People Scrutiny

MONTHLY PERFORMANCE REPORT

September 2015

Contents







Section 1 Pages 1-4	2015-16 Exceptions – Current Month’s Performance Current Month’s performance information for indicators rated Red or Amber
Section 2 Pages 5-8	2015-16 Corporate Performance Indicators Performance Information for all Corporate Priority Indicators
Section 3 Pages 9-16	Detail of Indicators Rated Red or Amber Performance detail for indicators rated Red or Amber
Section 4 Pages 17-42	Budget Management Statements Budget monitor and forecast by Portfolio
Section 5 Pages 43-61	Capital Expenditure Summary of Capital Expenditure

Version: **V1.0**

Published by the Policy & Partnerships Team

Further information: leolord@southend.gov.uk or (01702) 215685

Key to Columns and symbols used in report

Column Heading	Description
Minimise, Maximise or Goldilocks	Indicates whether a higher or lower number is better: Minimise = lower is better, maximise = higher is better, Goldilocks = just right (neither too high or too low)
Latest Month	The latest month for which performance information is available
Month's Value	Performance to date for the latest month
Month's Target	Target to date for the latest month
Annual Target 2014/15	Annual target for 2015/16
<u>Outcome</u>	<p>Symbol based on a traffic light system; Red, Amber, Green indicating whether an indicator's performance has achieved the annual target. Symbols used and their meaning are:</p> <p> = at risk of missing target</p> <p> = some slippage against target, but still expected to meet year-end target (31/03/2016)</p> <p> = on course to achieve target</p>
Comment	Commentary for indicators not on track providing reasons for low performance and identifying initiatives planned to bring performance back on track
Better or worse than last year	<p>Symbol indicating whether performance for the Latest Month is better or worse than the same month in the previous year. Symbols and their meanings are:</p> <p> = Latest Month's performance is better than the same month last year</p> <p> = Latest Month's performance is worse than the same month last year</p> <p> = Data not available for current or previous year</p>

Version: **V1.0**

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Section 1: 2015-2016 Exceptions - Current Month Performance



Comments on Indicators rated Red or Amber

Expected Outcome At risk of missing target Responsible OUs People



MPR Code	Short Name	Minimise or Maximise	Latest Month	Month's Value	Month's Target	Annual Target 2015/16	Expected Outcome	Better or worse than last year	Comment - explanation of current performance, actions to improve performance and anticipated future performance	Scrutiny Committee
CP 3.1	Proportion of older people 65 and over who were still at home 91 days after discharge from hospital to rehab/rehab [Cumulative]	Aim to Maximise	September 2015	79.8%	86%	86%			For September, 95 people from 119 were still at home after 3 months of starting reablement. Year to date, 781 people started reablement, of which 642 (82.2%) were at home 3 months later. A detailed review has commenced jointly with the Southend Clinical Commissioning Group in preparation for re-commissioning reablement services next year.	People Scrutiny



Expected Outcome At risk of missing target Responsible OUs Place

MPR Code	Short Name	Minimise or Maximise	Latest Month	Month's Value	Month's Target	Annual Target 2015/16	Expected Outcome	Better or worse than last year	Comment - explanation of current performance, actions to improve performance and anticipated future performance	Scrutiny Committee
CP 1.1	Score against 10 BCS crimes; Theft of vehicle, theft from vehicle, vehicle interference, domestic burglary, theft of cycle, theft from person, criminal damage, common assault, woundings, robbery. [Cumulative]	Aim to Minimise	September 2015	4187	3773	7389			Southend Community Safety Partnership continues to monitor closely the current performance on crime figures. The majority of the increase in reported crime is within the category 'violence without injury' – typically common assault, harassment and threat offences. A large proportion of these offences have been reported following domestic abuse incidents, which is encouraging as we know that this is traditionally a crime type that is under-reported. Also within this crime category is a change in national crime recording rules which means that Malicious Communications is now recorded as a crime. About 70 such offences have been recorded this year that would not have been recorded last year.	Policy & Resources Scrutiny



MPR Code	Short Name	Minimise or Maximise	Latest Month	Month's Value	Month's Target	Annual Target 2015/16	Expected Outcome	Better or worse than last year	Comment - explanation of current performance, actions to improve performance and anticipated future performance	Scrutiny Committee
									The CSP has commissioned a detailed crime strategic intelligence assessment for the Borough, which should provide a broader picture and assist in addressing the priorities for crime and disorder over the coming year.	

Expected Outcome Some slippage against target
Responsible OUs People



MPR Code	Short Name	Minimise or Maximise	Latest Month	Month's Value	Month's Target	Annual Target 2015/16	Expected Outcome	Better or worse than last year	Comment - explanation of current performance, actions to improve performance and anticipated future performance	Scrutiny Committee
CP 1.3	The percentage of children reported to the police as having run away that receive an independent return to home interview [Cumulative]	Aim to Maximise	September 2015	77.27%	85%	85%			<p>From 1st April to 30th September, 73 children have been reported missing a total of 154 times. Of the 154 episodes,</p> <ul style="list-style-type: none"> . 119 successful visits . 4 had visits but the child wasn't seen . 8 were refused . 7 were unable to take place . 16 outstanding <p>In September, 14 children went missing a total of 30 times. Out of the 30 missing episodes, 15 had successful visits and 2 was unable to take place (Essex will conduct one of the RHIs). Visits remain outstanding for 13 missing episodes which are accounted for by 3 children. Of these 3 children, 2 are LAC; one in Southend and one in Kent, the third child is open to Essex and placed in Southend.</p>	People Scrutiny



MPR Code	Short Name	Minimise or Maximise	Latest Month	Month's Value	Month's Target	Annual Target 2015/16	Expected Outcome	Better or worse than last year	Comment - explanation of current performance, actions to improve performance and anticipated future performance	Scrutiny Committee
CP 1.4	Rate of children subject to a Child Protection Plan per 10,000 (not including temps) [Monthly Snapshot]	Goldilocks	September 2015	47.1	37.8-45.2	37.8-45.2			The rate of children subject to a child protection plan has increased in September - following two months of lower than average numbers. There has been a higher than average number of initial child protection plans made, and whilst there was also a higher than average number of child protection plans discontinued, the overall result was an increase in rate. Work continues to scrutinise implementation of child protections plans to ensure that risks are reduced in an effective and timely way.	People Scrutiny

Expected Outcome Some slippage against target
Responsible OUs Place

MPR Code	Short Name	Minimise or Maximise	Latest Month	Month's Value	Month's Target	Annual Target 2015/16	Expected Outcome	Better or worse than last year	Comment - explanation of current performance, actions to improve performance and anticipated future performance	Scrutiny Committee
CP 2.3	Percentage of household waste sent for reuse, recycling and composting [Cumulative]	Aim to Maximise	September 2015	51.49%	54.00%	54.00%			The delayed commissioning status at the partnerships Mechanical Biological Treatment Plant means residual waste has not been processed to extract recycling. There is also a general rise in waste.	Place Scrutiny

Expected Outcome Some slippage against target
Responsible OUs Public Health

MPR Code	Short Name	Minimise or Maximise	Latest Month	Month's Value	Month's Target	Annual Target 2015/16	Expected Outcome	Better or worse than last year	Comment - explanation of current performance, actions to improve performance and anticipated future performance	Scrutiny Committee
CP 3.4	Public Health Responsibility Deal [Cumulative]	Aim to Maximise	September 2015	13	20	40			We have had one business sign up to Public Health Responsibility Deal in September. The new Business Engagement officer commenced their role on 5th October and their work plan includes targets and monthly trajectories to ensure delivery of the annual target.	People Scrutiny

MPR Code	Short Name	Minimise or Maximise	Latest Month	Month's Value	Month's Target	Annual Target 2015/16	Expected Outcome	Better or worse than last year	Comment - explanation of current performance, actions to improve performance and anticipated future performance	Scrutiny Committee
CP 3.5	Number of people successfully completing 4 week stop smoking course [Cumulative]	Aim to Maximise	September 2015	383	530	1,300			The new Tobacco Control Strategy will be considered at Cabinet on 10 th November. As part of our Stoptober activity over 1000 free lung tests were carried out. The national Stoptober road show visited Southend at the end of September - as at the 23 rd October over 200 smokers have set a quit date making it our best month to date. We continue to monitor the use of electronic cigarettes as smokers are accessing this product without the benefit of clinical and behavioural support to become totally smoke free.	People Scrutiny

Section 2: 2015-2016 Corporate Performance Indicators



Performance Data Expected Outcome: At risk of missing target 2 On course to achieve target 21 Some slippage against target 5

Priority • Create a safe environment across the town for residents, workers and visitors. • Work with Essex Police and other partners to tackle crime.
• Look after and safeguard our children and vulnerable adults.

MPR Code	Short Name	Minimise or Maximise	Latest Month	Month's Value	Month's Target	Annual Target 2015/16	Expected Outcome	Better or worse than last year	Managed By	Scrutiny Committee
CP 1.1	Score against 10 BCS crimes; Theft of vehicle, theft from vehicle, vehicle interference, domestic burglary, theft of cycle, theft from person, criminal damage, common assault, woundings, robbery. [Cumulative]	Aim to Minimise	September 2015	4187	3773	7389			Dipti Patel	Policy & Resources Scrutiny
CP 1.2	Adults in contact with secondary mental health services who are in stable accommodation (ASCOF 1H)	Aim to Maximise	September 2015	68.8%	66%	66%			Sharon Houlden	People Scrutiny
CP 1.3	The percentage of children reported to the police as having run away that receive an independent return to home interview [Cumulative]	Aim to Maximise	September 2015	77.27%	85%	85%			John O'Loughlin	People Scrutiny
CP 1.4	Rate of children subject to a Child Protection Plan per 10,000 (not including temps) [Monthly Snapshot]	Goldilocks	September 2015	47.1	37.8-45.2	37.8-45.2			John O'Loughlin	People Scrutiny
CP 1.5	Rate of Looked After Children per 10,000 [Monthly Snapshot]	Goldilocks	September 2015	62.5	54.4-65	54.4-65			John O'Loughlin	People Scrutiny



















Priority • Promote the use of green technology and initiatives to benefit the local economy and environment. • Encourage and enforce high standards of environmental stewardship.

MPR Code	Short Name	Minimise or Maximise	Latest Month	Month's Value	Month's Target	Annual Target 2015/16	Expected Outcome	Better or worse than last year	Managed By	Scrutiny Committee
CP 2.1	Number of reported missed collections per 100,000 [Monthly Snapshot]	Aim to Minimise	September 2015	30	45	45			Dipti Patel	Place Scrutiny
CP 2.2	% acceptable standard of cleanliness: litter [Cumulative]	Aim to Maximise	September 2015	99%	90%	90%			Dipti Patel	Place Scrutiny
CP 2.3	Percentage of household waste sent for reuse, recycling and composting [Cumulative]	Aim to Maximise	September 2015	51.49%	54.00%	54.00%			Dipti Patel	Place Scrutiny









Priority • Promote healthy and active lifestyles for all. • Work with the public and private rented sectors to provide good quality housing. • Enable the planning and development of quality, affordable housing.

MPR Code	Short Name	Minimise or Maximise	Latest Month	Month's Value	Month's Target	Annual Target 2015/16	Expected Outcome	Better or worse than last year	Managed By	Scrutiny Committee
CP 3.1	Proportion of older people 65 and over who were still at home 91 days after discharge from hospital to rehab/rehab [Cumulative]	Aim to Maximise	September 2015	79.8%	86%	86%			Sharon Houlden	People Scrutiny
CP 3.2	Delayed transfers of care from hospital (social care) [Cumulative]	Aim to Minimise	September 2015	5	12	24			Sharon Houlden	People Scrutiny
CP 3.3	Number of attendances at council run or affiliated arts and sports events and facilities [Cumulative]	Aim to Maximise	September 2015	1,674,170	1,714,500	3,429,000			Nick Harris	Place Scrutiny
CP 3.4	Public Health Responsibility Deal [Cumulative]	Aim to Maximise	September 2015	13	20	40			James Williams	People Scrutiny
CP 3.5	Number of people successfully completing 4 week stop smoking course [Cumulative]	Aim to Maximise	September 2015	383	530	1,300			Liesel Park	People Scrutiny
CP 3.6	Take up of the NHS Health Check programme - by those eligible [Cumulative]	Aim to Maximise	September 2015	3,735	2,632	5,673			Margaret Gray	People Scrutiny
CP 3.7	Number of new affordable homes acquired	Aim to Maximise	September 2015	0	0	70			Sharon Houlden	People Scrutiny

Priority • Ensure residents have access to high quality education to enable them to be lifelong learners and have fulfilling employment. • Improve the life chances of our residents, especially our vulnerable children & adults, by working to reduce inequalities and social deprivation across our communities. • Ensure the town is 'open for businesses' and that new, developing and existing enterprise is nurtured and support. • Ensure continued regeneration of the town through a culture led agenda.

MPR Code	Short Name	Minimise or Maximise	Latest Month	Month's Value	Month's Target	Annual Target 2015/16	Expected Outcome	Better or worse than last year	Managed By	Scrutiny Committee
CP 4.1	Proportion of appropriate people using social care who receive direct payments [Monthly Snapshot]	Aim to Maximise	September 2015	34.3%	21%	21%			Sharon Houlden	People Scrutiny
CP 4.2	Proportion of adults with learning disabilities in paid employment [Monthly Snapshot]	Aim to Maximise	September 2015	11.6%	10%	10%			Sharon Houlden	People Scrutiny
CP 4.3	% of Council Tax for 2015/16 collected in year [Cumulative]	Aim to Maximise	September 2015	52.80%	52.60%	97.00%			Joe Chesterton	Policy & Resources Scrutiny
CP 4.4	% of Non-Domestic Rates for 2015/16 collected in year [Cumulative]	Aim to Maximise	September 2015	55.20%	55.10%	97.60%			Joe Chesterton	Policy & Resources Scrutiny
CP 4.5	Major planning applications determined in 13 weeks [Cumulative]	Aim to Maximise	September 2015	85.71%	79.00%	79.00%			Peter Geraghty	Place Scrutiny
CP 4.6	Minor planning applications determined in 8 weeks [Cumulative]	Aim to Maximise	September 2015	94.59%	84.00%	84.00%			Peter Geraghty	Place Scrutiny
CP 4.7	Other planning applications determined in 8 weeks [Cumulative]	Aim to Maximise	September 2015	91.17%	90.00%	90.00%			Peter Geraghty	Place Scrutiny
CP 4.8	Current Rent Arrears as % of rent due	Aim to Minimise	September 2015	1.43%	1.77%	1.77%			Sharon Houlden	Policy and Resources Scrutiny
CP 4.9	The % of children in good or outstanding Schools [Monthly Snapshot]	Aim to Maximise	September 2015	81.62%	75%	75%			Heather Tomlinson	People Scrutiny

Priority • Work with & listen to our communities & partners to achieve better outcomes for all • Enable communities to be self-sufficient & foster pride in the town • Promote & lead an entrepreneurial, creative & innovative approach to the development of our town

MPR Code	Short Name	Minimise or Maximise	Latest Month	Month's Value	Month's Target	Annual Target 2015/16	Expected Outcome	Better or worse than last year	Managed By	Scrutiny Committee
CP 5.1	Number of volunteers hours delivered within cultural services [Cumulative]	Aim to Maximise	September 2015	6,710	6,500	12,000			Nick Harris	Place Scrutiny
CP 5.2	Govmetric Measurement of Satisfaction (3 Channels - Phones, Face 2 Face & Web) [Cumulative]	Aim to Maximise	September 2015	92.30%	80.00%	80.00%			Nick Corrigan	Policy & Resources Scrutiny
CP 5.3	Number of payments made online [Cumulative]	Aim to Maximise	September 2015	30,557	24,996	50,000			Joanna Ruffle	Policy & Resources Scrutiny
CP 5.4	Working days lost per FTE due to sickness - excluding school staff [Cumulative]	Aim to Minimise	September 2015	2.98	3.01	7.20			Joanna Ruffle	Policy & Resources Scrutiny

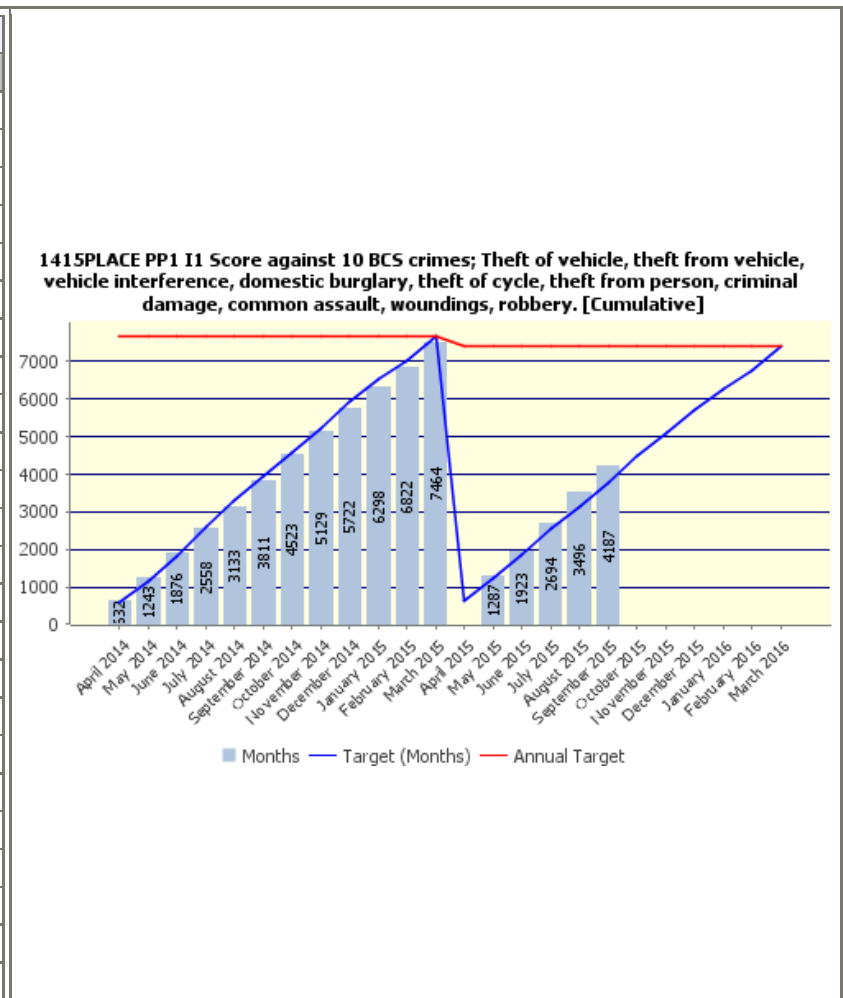
Section 3: Detail of indicators rated Red or Amber

Priority • Create a safe environment across the town for residents, workers and visitors. • Work with Essex Police and other partners to tackle crime. • Look after and safeguard our children and vulnerable adults.

Expected Outcome: At risk of missing target 1 Some slippage against target 2

CP 1.1	Score against 10 BCS crimes; Theft of vehicle, theft from vehicle, vehicle interference, domestic burglary, theft of cycle, theft from person, criminal damage, common assault, woundings, robbery. [Cumulative]			<p>September 2015 result</p> <p>3773 3754 0 4187 10000</p>
Expected Outcome		Format	Aim to Minimise	
Managed By	Dipti Patel			
Year Introduced	2007			

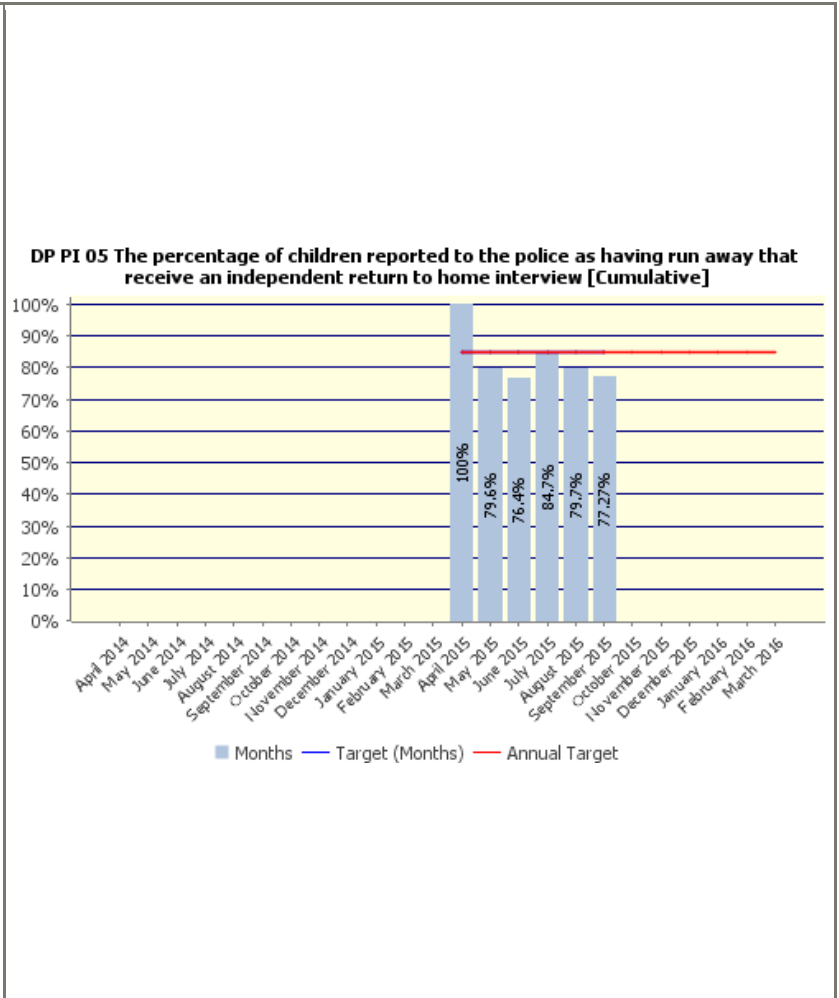
Date Range 1		
	Value	Target
April 2014	632	570
May 2014	1243	1149
June 2014	1876	1821
July 2014	2558	2602
August 2014	3133	3301
September 2014	3811	3942
October 2014	4523	4552
November 2014	5129	5201
December 2014	5722	5926
January 2015	6298	6531
February 2015	6822	7006
March 2015	7464	7629
April 2015	N/A	626
May 2015	1287	1231
June 2015	1923	1857
July 2015	2694	2532
August 2015	3496	3102
September 2015	4187	3773
October 2015		4478
November 2015		5078
December 2015		5665
January 2016		6235
February 2016		6754
March 2016		7389



Southend Community Safety Partnership continues to monitor closely the current performance on crime figures. The majority of the increase in reported crime is within the category 'violence without injury' – typically common assault, harassment and threat offences. A large proportion of these offences have been reported following domestic abuse incidents, which is encouraging as we know that this is traditionally a crime type that is under-reported. Also within this crime category is a change in national crime recording rules which means that Malicious Communications is now recorded as a crime. About 70 such offences have been recorded this year that would not have been recorded last year. The CSP has commissioned a detailed crime strategic intelligence assessment for the Borough, which should provide a broader picture and assist in addressing the priorities for crime and disorder over the coming year.

CP 1.3	The percentage of children reported to the police as having run away that receive an independent return to home interview [Cumulative]			<p>September 2015 result</p>
Expected Outcome		Format	Aim to Maximise	
Managed By	John O'Loughlin			
Year Introduced	2013			


Date Range 1		
	Value	Target
April 2014		
May 2014		
June 2014		
July 2014		
August 2014		
September 2014		
October 2014		
November 2014		
December 2014		
January 2015		
February 2015		
March 2015		
April 2015	100%	85%
May 2015	79.6%	85%
June 2015	76.4%	85%
July 2015	84.7%	85%
August 2015	79.7%	85%
September 2015	77.27%	85%
October 2015		
November 2015		
December 2015		
January 2016		
February 2016		
March 2016		

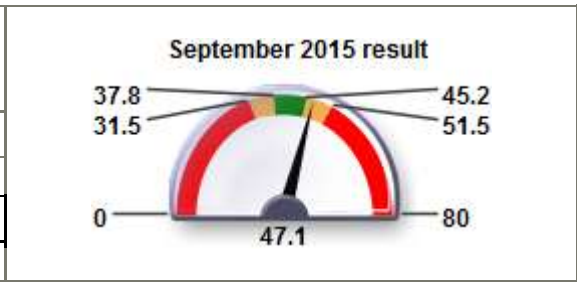


From 1st April to 30th September, 73 children have been reported missing a total of 154 times. Of the 154 episodes,

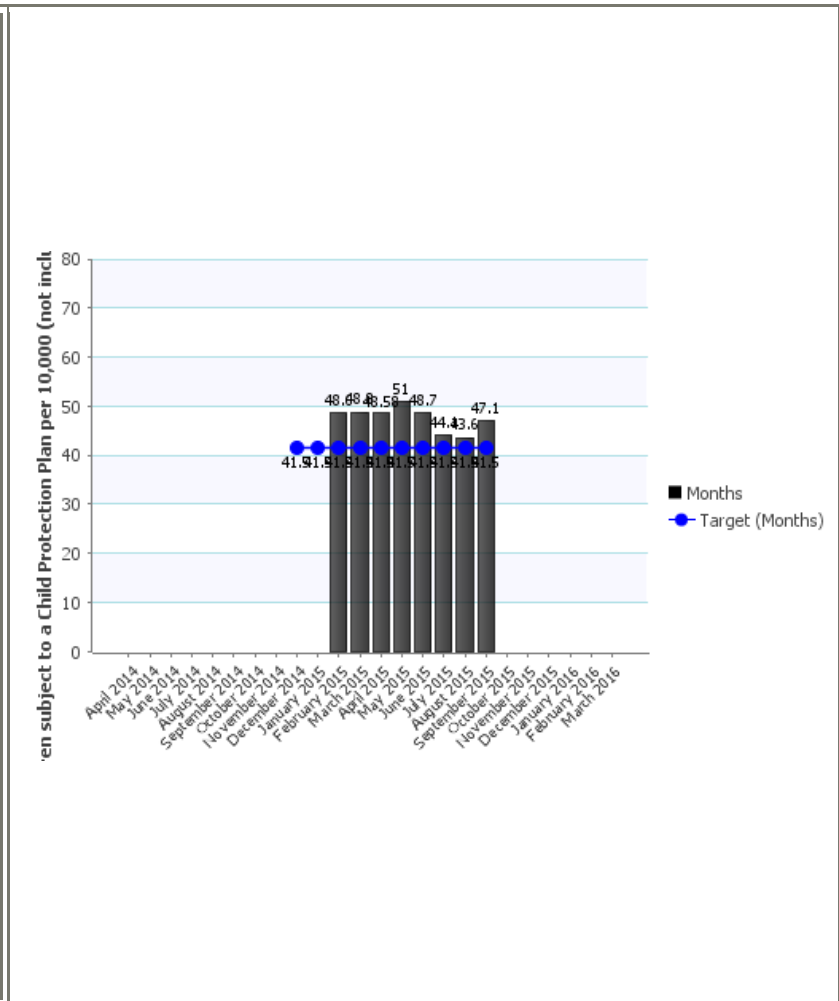
- . 119 successful visits
- . 4 had visits but the child wasn't seen
- . 8 were refused
- . 7 were unable to take place
- . 16 outstanding

In September, 14 children went missing a total of 30 times. Out of the 30 missing episodes, 15 had successful visits and 2 was unable to take place (Essex will conduct one of the RHIs). Visits remain outstanding for 13 missing episodes which are accounted for by 3 children. Of these 3 children, 2 are LAC; one in Southend and one in Kent, the third child is open to Essex and placed in Southend.

CP 1.4	Rate of children subject to a Child Protection Plan per 10,000 (not including temps) [Monthly Snapshot]		
Expected Outcome		Format	Goldilocks
Managed By	John O'Loughlin		
Year Introduced			



Date Range 1		
	Value	Target
April 2014		
May 2014		
June 2014		
July 2014		
August 2014		
September 2014		
October 2014		
November 2014		
December 2014		41.5
January 2015		41.5
February 2015	48.6	41.5
March 2015	48.8	41.5
April 2015	48.58	41.5
May 2015	51	41.5
June 2015	48.7	41.5
July 2015	44.1	41.5
August 2015	43.6	41.5
September 2015	47.1	41.5
October 2015		
November 2015		
December 2015		
January 2016		
February 2016		
March 2016		



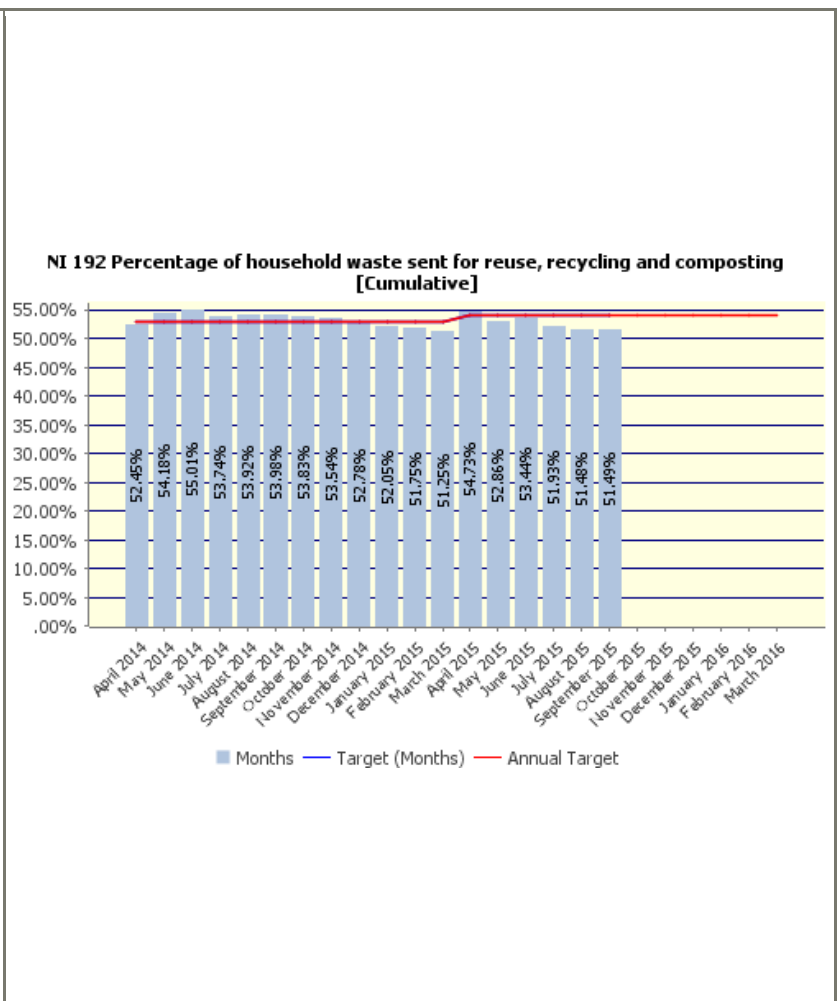
The rate of children subject to a child protection plan has increased in September - following two months of lower than average numbers. There has been a higher than average number of initial child protection plans made, and whilst there was also a higher than average number of child protection plans discontinued, the overall result was an increase in rate. Work continues to scrutinise implementation of child protections plans to ensure that risks are reduced in an effective and timely way.

Priority • Promote the use of green technology and initiatives to benefit the local economy and environment. • Encourage and enforce high standards of environmental stewardship.

Expected Outcome: Some slippage against target 1

CP 2.3	Percentage of household waste sent for reuse, recycling and composting [Cumulative]			<p>September 2015 result</p>
Expected Outcome		Format	Aim to Maximise	
Managed By	Dipti Patel			
Year Introduced	2008			

Date Range 1		
	Value	Target
April 2014	52.45%	53.00%
May 2014	54.18%	53.00%
June 2014	55.01%	53.00%
July 2014	53.74%	53.00%
August 2014	53.92%	53.00%
September 2014	53.98%	53.00%
October 2014	53.83%	53.00%
November 2014	53.54%	53.00%
December 2014	52.78%	53.00%
January 2015	52.05%	53.00%
February 2015	51.75%	53.00%
March 2015	51.25%	53.00%
April 2015	54.73%	54.00%
May 2015	52.86%	54.00%
June 2015	53.44%	54.00%
July 2015	51.93%	54.00%
August 2015	51.48%	54.00%
September 2015	51.49%	54.00%
October 2015		
November 2015		
December 2015		
January 2016		
February 2016		
March 2016		



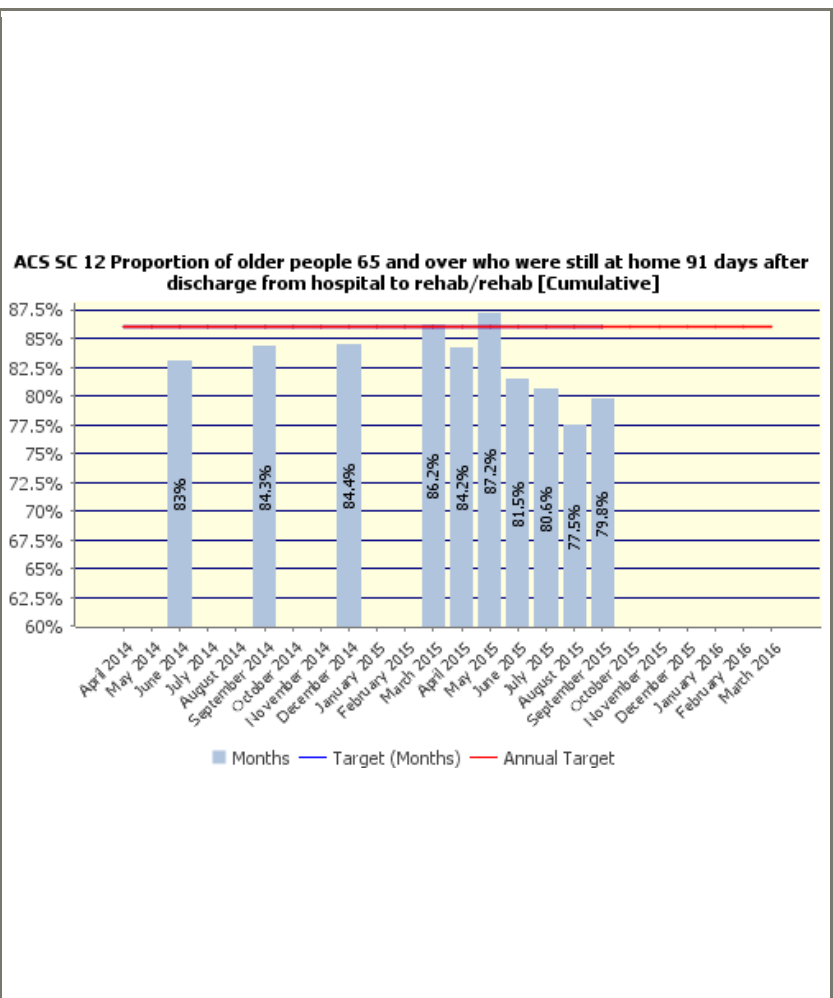
The delayed commissioning status at the partnerships Mechanical Biological Treatment Plant means residual waste has not been processed to extract recycling. There is also a general rise in waste.

Priority • Promote healthy and active lifestyles for all. • Work with the public and private rented sectors to provide good quality housing. • Enable the planning and development of quality, affordable housing.


Expected Outcome: At risk of missing target 1 Some slippage against target 2

CP 3.1	Proportion of older people 65 and over who were still at home 91 days after discharge from hospital to rehab/rehab [Cumulative]			<p>September 2015 result</p> <p>81.7%</p> <p>84.3%</p> <p>79.8%</p> <p>60%</p> <p>95%</p>
Expected Outcome		Format	Aim to Maximise	
Managed By	Sharon Houlden			
Year Introduced				

Date Range 1		
	Value	Target
April 2014		86%
May 2014	N/A	86%
June 2014	83%	86%
July 2014	N/A	86%
August 2014	N/A	86%
September 2014	84.3%	86%
October 2014	N/A	86%
November 2014	N/A	86%
December 2014	84.4%	86%
January 2015	N/A	86%
February 2015	N/A	86%
March 2015	86.2%	86%
April 2015	84.2%	86%
May 2015	87.2%	86%
June 2015	81.5%	86%
July 2015	80.6%	86%
August 2015	77.5%	86%
September 2015	79.8%	86%
October 2015		
November 2015		
December 2015		
January 2016		
February 2016		
March 2016		

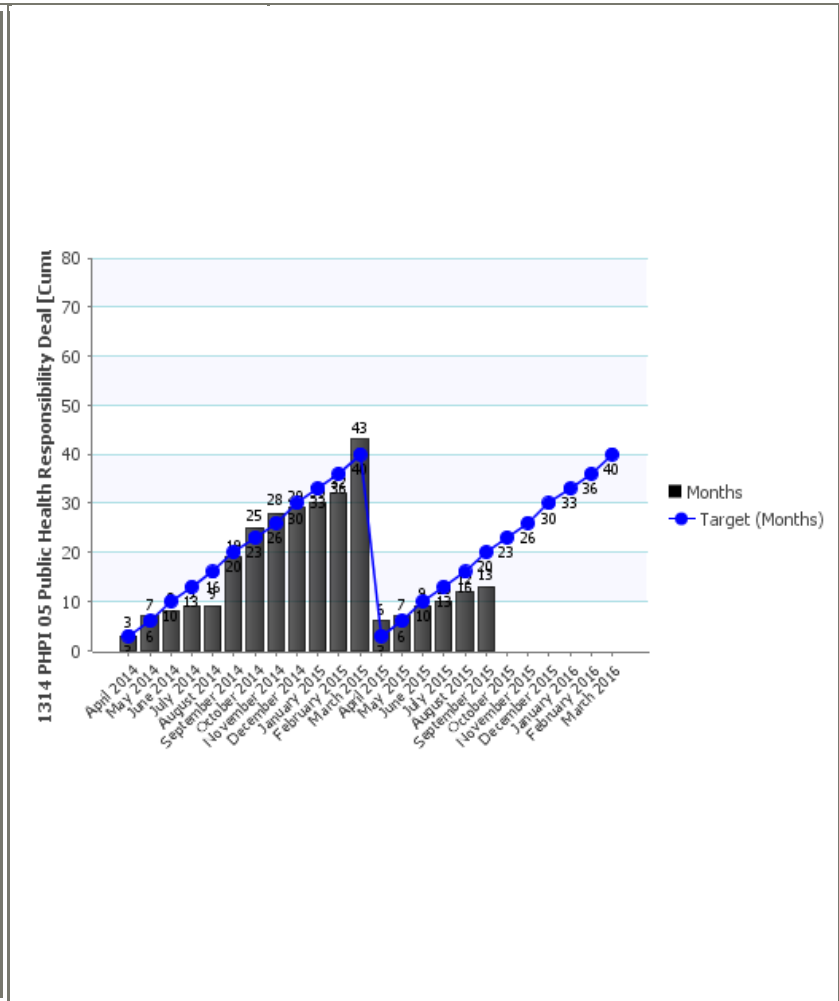


For September, 95 people from 119 were still at home after 3 months of starting reablement. Year to date, 781 people started reablement, of which 642 (82.2%) were at home 3 months later. A detailed review has commenced jointly with the Southend Clinical Commissioning Group in preparation for re-commissioning reablement services next year.


CP 3.4	Public Health Responsibility Deal [Cumulative]		
Expected Outcome		Format	Aim to Maximise
Managed By	James Williams		
Year Introduced			



Date Range 1		
	Value	Target
April 2014	3	3
May 2014	7	6
June 2014	8	10
July 2014	9	13
August 2014	9	16
September 2014	19	20
October 2014	25	23
November 2014	28	26
December 2014	29	30
January 2015	30	33
February 2015	32	36
March 2015	43	40
April 2015	6	3
May 2015	7	6
June 2015	9	10
July 2015	10	13
August 2015	12	16
September 2015	13	20
October 2015		23
November 2015		26
December 2015		30
January 2016		33
February 2016		36
March 2016		40

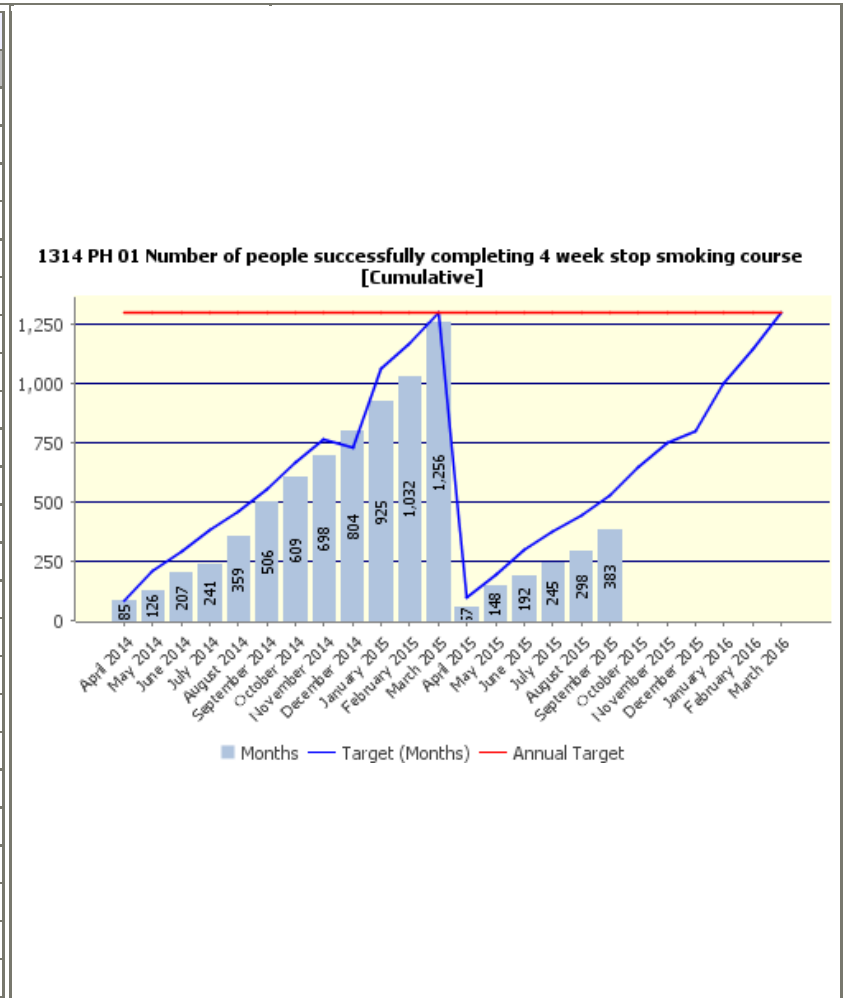


We have had on business sign up to Public Health Responsibility Deal in September. The new Business Engagement officer commenced their role on 5th October and their work plan includes targets and monthly trajectories to ensure delivery of the annual target.

CP 3.5	Number of people successfully completing 4 week stop smoking course [Cumulative]		September 2015 result	
Expected Outcome		Format	Aim to Maximise	
Managed By	Liesel Park			
Year Introduced				



Date Range 1		
	Value	Target
April 2014	85	89
May 2014	126	208
June 2014	207	297
July 2014	241	383
August 2014	359	464
September 2014	506	558
October 2014	609	672
November 2014	698	769
December 2014	804	729
January 2015	925	1,068
February 2015	1,032	1,171
March 2015	1,256	1,300
April 2015	57	100
May 2015	148	200
June 2015	192	300
July 2015	245	380
August 2015	298	450
September 2015	383	530
October 2015		650
November 2015		750
December 2015		800
January 2016		1,000
February 2016		1,150
March 2016		1,300



The new Tobacco Control Strategy will be considered at Cabinet on 10th November. As part of our Stoptober activity over 1000 free lung tests were carried out. The national Stoptober road show visited Southend at the end of September - as at the 23rd October over 200 smokers have set a quit date making it our best month to date. We continue to monitor the use of electronic cigarettes as smokers are accessing this product without the benefit of clinical and behavioural support to become totally smoke free.

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Revenue Budget Monitoring 2015/16

Period 6

**as at 30 September 2015
Portfolio Summary**

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1. Commentary

This report outlines the budget monitoring position for the General Fund and Housing Revenue Account for 2015/16, based on the views of the Directors and their Management Teams, in light of expenditure and income to 30 September 2015.

The starting point for the budget monitoring is the original budget as agreed by Council in February 2015. Therefore, the full cost budget is being monitored, including fully allocated Management, Administrative and Technical Services (MATS) and capital financing costs. As at the end of July, corporate savings of £35,000 have still to be allocated to service departments and this will be done in the coming months as the detailed allocations are finalised by directors.

2. Overall Budget Performance – General Fund

A variation to the overall Council budget of a £421,000 underspend is currently being forecast for the year-end. Within this position there is a projected overspend of £185,000 in Council departmental spending. This position reflects the budget pressures some services are reporting, offset by some significant one-off underspends as shown in the detail in section 3 on service variances. Without these one-off underspends, pressures would still exist in the Council base budget. The departmental position is then mainly offset by £606,000 in non-service areas.

General Fund Portfolio Forecast Comparison 2015/16 at 30 September 2015 - Period 6

Portfolio	Latest Budget 2015/16 £000	Projected Outturn 2015/16 £000	September Forecast Variance £000	August Forecast Variance £000
Health & Adult Social Care	41,686	42,164	478	429
Children & Learning	34,035	34,154	119	103
Leader	4,314	4,422	108	97
Enterprise, Tourism & Economic Development	14,113	13,808	(305)	(260)
Community & Organisational Development	2,823	2,632	(191)	(121)
Public Protection, Waste & Transport	25,460	25,410	(50)	5
Housing, Planning & Regulatory Services	12,583	12,609	26	26
Total Portfolio	135,014	135,199	185	279
Non-Service Areas	(8,920)	(9,476)	(606)	(606)
Net Expenditure / (Income)	126,094	125,723	(421)	(327)

Where Portfolios are forecasting an overspend by the end of the year, the relevant Director has been advised that appropriate action plans must be in place to address any projected overspend position so that a balanced budget is produced by the year end.

3. Service Variances (£185,000 forecast overspend)

The key variances are as shown in the following table:-

Portfolio	Unfavourable £(000)	Favourable £(000)	Net £(000)
<u>Health and Adult Social care</u>			
Additional income from court of protection		(34)	
Anticipated pressure on staffing vacancy factor	24		
People with a Learning Disability - Lower than estimated homecare and residential care placements		(625)	
People with Mental Health Needs - Higher than estimated residential care placements, direct payment packages and supported living	1,052		
Physical and Sensory Impairment - Higher than estimated residential care placements.	266		
Older People - Reduced residential care packages partly offset by higher than estimated homecare and direct payment packages		(205)	
Minor Variances			
	1,342	(864)	478
<u>Children & Learning</u>			
Connexions Service - income shortfall	20		
Legal charges for children in care - high case load	95		
Children's Placements -high cost children with disabilities	175		
Children's Placements - current cohort of LAC		(321)	
Additional spend on qualified social workers	285		
Internal Fostering underspend and in year savings on		(180)	
Agency spend on Independent Reviewing Officers	80		
Staffing synergies in Early Years teams		(30)	
Secure Youth Remand	25		
Agency cover for Educational Psychologist	25		
Home to School Education Transport		(50)	
Minor Variances		(5)	
	705	(586)	119
<u>Leader</u>			
Underspend on Asset Management Professional Fees			
Overspend on cleaning costs and Civic Campus	50		
Treasury Management cost in relation to LED street lighting project	79		
Council Tax Court Costs raised		(100)	
Reduction in Property and Regeneration contract income	100		
Member Conference Expenses		(16)	
Minor Variances		(5)	
	229	(121)	108

Portfolio (Cont.)			
Enterprise, Tourism & Economic Development			
Leisure contract saving		(300)	
Lower than expected Arts Grants	10		
Cliff lift maintenance	10		
Museums staffing	10		
Grounds maintenance staffing overspend	50		
Grounds maintenance income		(20)	
Economic development funded by grant		(40)	
High Street market income		(15)	
Advertising and marketing		(20)	
SMAC income and instructor recruitment issues	10		
	90	(395)	(305)
Community Development			
Bereavement Services Income		(80)	
Change to the Collection Fund Accounting Treatment of Discretionary Relief in the Voluntary Sector		(131)	
Additional overtime and agency costs in Benefits team	90		
Staff Vacancies in Customer Service team		(15)	
Staff Vacancies in Information, Comms & Technology		(55)	
	90	(281)	(191)
Public Protection, Waste & Transport			
Car parking income		(100)	
Structural maintenance contractor costs	200		
Street works permit income		(150)	
	200	(250)	(50)
Regulatory Services			
Animal Warden contractors	26		
	26	0	26
Total	2,682	(2,497)	185

4. Non Service Variances (£606,000 forecast underspend)

Borrowing repayments - principal (£101K)

This provision is forecast to be underspent against budget at the year-end as the financing charges for 2015/16 are affected by the financing of the 2014/15 capital programme, as no borrowing was undertaken in that year.

HRA item 8 debit charge (£185K)

Interest receivable on the HRA's internal borrowing is expected to be higher than estimated in the budget.

Appropriations from Reserves (£320K)

There is forecast to be £320,000 appropriations from reserves at the year-end; £300K from the Adults Social Care reserve and £20K from the SEN reform grants reserve.

5. Appropriations to / from Earmarked Reserves

Net appropriations from Earmarked Reserves totalling £1,889,000 were agreed by Council when setting the 2015/16 budget in February 2015. The current outturn position allows for further in-year appropriations from/(to) reserves, totalling £2,234,340. Total net appropriations from reserves for 2015/16 will therefore equal £4,123,340.

- £ 415,100 from the Business Transformation Reserve to enable the progression of various projects,
 - £ 227,900 of Social Work Training grants and the Practice Learning Fund,
 - £ 145,600 from the Adoption Reform grant reserve,
 - £1,401,090 from the Public Health Grant
 - (£ 275,350) to the Supporting People reserve
 - £ 300,000 from the Adults Social Care reserve
 - £ 20,000 from the SEN Reform Grant reserve
- £2,234,340

Planned appropriations from Earmarked Reserves which will also be carried out later in the year for specific purposes are;

- £200,000 from the School Improvement Reserve
- £100,000 from the Early Years Reserve
- £293,000 from the single homeless & rough sleeper Grant
- £593,000

6. Revenue Contributions to Capital Outlay (RCCO)

The original budget for 2015/16 included planned revenue contributions for capital investments, via the use of Earmarked Reserves, of £3,090,000.

7. Performance against Budget savings targets for 2014/15

As part of setting the Council budget for 2015/16, a schedule of Departmental and Corporate savings was approved totalling £10.5 million. These are required to achieve a balanced budget.

A monthly exercise is in place to monitor the progress of the delivery of these savings.

The latest position is that the majority of savings reported on are on track for full delivery by the year end. Where savings are not being achieved then the relevant Directors are identifying alternative measures to achieve full savings as required.

A detailed breakdown, by RAG status, of the Departmental Savings is shown below:

	Red £000	Amber £000	Green £000	Original Savings Total £000	Projected Outturn £000	Forecast Variance £000
Department						
Corporate Services	35	665	767	1,467	1,403	(64)
People	0	1,935	4,530	6,465	6,360	(105)
Place	40	930	1,598	2,568	2,506	(62)
Total	75	3,530	6,895	10,500	10,269	(231)

Although the current forecast is showing a shortfall of £231,000 against the required savings total of £10.5 million, it is currently expected that the total savings will be delivered in full as part of each Department's overall budget total by the end of the financial year either by finding alternative savings or ensuring amber and red savings are delivered in full.

8. Budget Virements

In line with the new financial procedure rules approved by Council on 23rd July, all virements over £50,000 between portfolio services or between pay and non-pay budgets are to be approved by Cabinet.

Below is a table showing the virements which fall within these parameters from 1st August 2015.

	DR £	CR £
Virements up to 31/07/2015	950	(950)
Virements over £50,000 in reported period	169	(169)
Virements over £50,000 in previous periods	-	-
Total in period virements over £50,000	1,119	(1,119)
Virements approved under delegated authority	147	(147)
Total virements	1,266	(1,266)

The virements for Cabinet approval this period are for £169,000 transferring Best Interest Assessors budget to employee lines.

9. Overall Budget Performance – Housing Revenue Account (HRA)

The HRA budget was approved by Council on 26th February 2015 and anticipated that £2,721,000 would be appropriated to earmarked reserves in 2015/16.

The closing HRA balance as at 31st March 2015 was £3,502,000.

The current forecast is projecting an overspend on capital financing charges of £185,000 because the interest payable on the HRA's internal borrowing is higher than estimated in the budget, and a pressure of £71,000 relating to the residential security patrol services at Victoria ward. There is also a projected higher than expected rental income of £300,000 and £30,000 fees and charges due to a lower number of void properties than estimated in the budget. It is proposed that the net underspend of £114,000 be transferred to the HRA Capital Investment Reserve, therefore leaving the main revenue reserve unchanged.

General Fund Forecast 2015/16
at 30 September 2015 - Period 6
Portfolio Holder Summary

Portfolio	Gross Expend £000	Gross Income £000	Original Budget £000	Virement £000	Latest Budget £000	Expected Outturn £000	Forecast Variance £000	Budget to Date £000	Spend to Date £000	To Date Variance £000
Health & Adult Social Care	73,831	(33,702)	40,129	1,557	41,686	42,164	478	20,729	20,978	249
Children & Learning	141,833	(108,356)	33,477	558	34,035	34,154	119	14,071	14,197	126
Leader	20,753	(16,655)	4,098	216	4,314	4,422	108	287	(128)	(415)
Enterprise, Tourism & Economic Development	18,953	(5,009)	13,944	169	14,113	13,808	(305)	7,360	7,186	(174)
Community & Organisational Development	116,541	(113,928)	2,613	210	2,823	2,632	(191)	1,539	1,139	(400)
Public Protection, Waste & Transport	37,593	(12,357)	25,236	224	25,460	25,410	(50)	12,527	12,193	(334)
Housing, Planning & Regulatory Services	14,968	(2,222)	12,746	(163)	12,583	12,609	26	6,233	6,245	12
Portfolio Net Expenditure	424,472	(292,229)	132,243	2,771	135,014	135,199	185	62,746	61,810	(936)
Reversal of Depreciation	(26,976)	6,994	(19,982)	0	(19,982)	(19,982)	0	(9,765)	(9,765)	0
Levies	550	0	550	0	550	550	0	254	249	(5)
Financing Costs	20,050	(3,988)	16,062	(48)	16,014	15,728	(286)	6,753	6,567	(186)
Contingency	4,825	0	4,825	(809)	4,016	4,066	0	1,859	0	(1,859)
Pensions Upfront Funding	(4,782)	0	(4,782)	0	(4,782)	(4,782)	0	0	0	0
Miscellaneous Income	0	0	0	0	0	0	0	0	299	299
Sub Total	(6,333)	3,006	(3,327)	(857)	(4,184)	(4,420)	(286)	(899)	(2,650)	(1,751)
Net Operating Expenditure	418,139	(289,223)	128,916	1,914	130,830	130,779	(101)	61,847	59,160	(2,687)
General Grants	0	(3,973)	(3,973)	0	(3,973)	(3,973)	0	(2,011)	(1,963)	48
Corporate Savings	(50)	0	(50)	0	(50)	(50)	0	0	0	0
Revenue Contribution to Capital	3,090	0	3,090	0	3,090	3,090	0	1,545	0	(1,545)
Contribution to / (from) Earmarked	(1,889)	0	(1,889)	(1,914)	(3,803)	(4,123)	(320)	(1,694)	(396)	1,298
Contribution to / (from) General Reserves	0	0	0	0	0	421	421	0	0	0
Net Expenditure / (Income)	419,290	(293,196)	126,094	0	126,094	126,144	0	59,687	56,801	(2,886)
Use of General Reserves										
Balance as at 1 April 2015			11,000		11,000	11,000	0			
Use in Year			0	0	0	421	421			
Balance as at 31 March 2016			11,000	0	11,000	11,421	421			

**General Fund Forecast 2015/16
at 30 September 2015 - Period 6
Health and Adult Social Care
Portfolio Holder - Cllr J Moyies**

Service	Gross Expend £000	Gross Income £000	Original Budget £000	Virement £000	Latest Budget £000	Expected Outturn £000	Forecast Variance £000	Budget to Date £000	Spend to Date £000	To Date Variance £000
a Adult Support Services and Management	498	(507)	(9)	0	(9)	(9)	0	(3)	46	49
b Commissioning Team	2,063	(2,062)	1	(40)	(39)	(73)	(34)	(18)	(39)	(21)
c Strategy & Development	1,878	(1,934)	(56)	(14)	(70)	(46)	24	(25)	(81)	(56)
d People with a Learning Disability	16,712	(1,734)	14,978	56	15,034	14,409	(625)	7,532	7,156	(376)
e People with Mental Health Needs	3,105	(165)	2,940	45	2,985	4,037	1,052	1,496	2,132	636
f Older People	31,999	(14,581)	17,418	294	17,712	17,507	(205)	8,102	8,068	(34)
g Other Community Services	3,226	(2,880)	346	(19)	327	327	0	994	992	(2)
h People with a Physical or Sensory Impairment	4,595	(552)	4,043	(6)	4,037	4,303	266	1,971	2,287	316
i Service Strategy & Regulation	328	(107)	221	0	221	221	0	57	151	94
j Drug and Alcohol Action Team	2,717	(2,548)	169	323	492	492	0	248	247	(1)
k Young Persons Drug and Alcohol Team	301	(263)	38	5	43	43	0	(110)	(102)	8
l Public Health	6,409	(6,369)	40	913	953	953	0	485	121	(364)
Total Net Budget for Portfolio	73,831	(33,702)	40,129	1,557	41,686	42,164	478	20,729	20,978	249

Virements

£000

Transfer from earmarked reserves
Allocation from Contingency
In year virements

1,295
297
(35)

1,557

**General Fund Forecast 2015/16
at 30 September 2015 - Period 6
Health and Adult Social Care
Portfolio Holder - Cllr J Moyies**

Forecast Outturn Variance	Year to Date Variance
a.	
b. Additional income from court of protection.	
c.	
d. Forecast underspend because of lower than projected residential care placements and direct payments.	Forecast underspend because of lower than projected residential care placements and direct payments.
e. Overspend as a result of higher than estimated residential care placements, direct payments and supported living.	Overspend as a result of higher than estimated residential care placements, direct payments and supported living.
f. Reduced residential care placements offset by higher homecare and direct payment packages. Because of the volatility of this budget, the forecast variance may suddenly change over the year.	
g.	
h. Higher than estimated residential care placements and supported living.	Higher than estimated residential care placements and supported living.
i.	
j.	
k.	
l.	Underspend to date due to vacancies in the Public health team and some delays in starting a number of projects.

**General Fund Forecast 2015/16
at 30 September 2015 - Period 6
Children and Learning
Portfolio Holder - Cllr A P Jones**

Service	Gross Expend £000	Gross Income £000	Original Budget £000	Virement £000	Latest Budget £000	Expected Outturn £000	Forecast Variance £000	Budget to Date £000	Spend to Date £000	To Date Variance £000
a Childrens Commissioning	1,093	(558)	535	(34)	501	496	(5)	265	277	12
b Children with Special Needs	2,171	(777)	1,394	320	1,714	1,984	270	856	1,019	163
c Early Years Development and Child Care Partnership	11,089	(9,623)	1,466	1	1,467	1,437	(30)	674	658	(16)
d Children Fieldwork Services	4,887	0	4,887	0	4,887	5,172	285	2,445	2,591	146
e Children Fostering and Adoption	7,182	(208)	6,974	194	7,168	6,988	(180)	3,585	3,473	(112)
f Youth Service	1,813	(390)	1,423	0	1,423	1,443	20	640	650	10
g Age 14 to 19 Learning and Development	0	0	0	0	0	0	0	0	1	1
h Other Education	577	(524)	53	0	53	53	0	26	18	(8)
i Schools Retained Budgets	0	0	0	0	0	0	0	0	0	0
j Private Voluntary Independent	4,465	(160)	4,305	0	4,305	3,984	(321)	2,153	2,026	(127)
k Schools Delegated Budgets	71,093	(71,093)	0	0	0	0	0	(620)	(620)	0
l Children Specialist Commissioning	1,201	(59)	1,142	76	1,218	1,298	80	609	619	10
m Children Specialist Projects	219	(216)	3	1	4	4	0	2	43	41
n School Support and Preventative Services	32,969	(23,616)	9,353	0	9,353	9,328	(25)	2,677	2,677	0
o Youth Offending Service	3,074	(1,132)	1,942	0	1,942	1,967	25	759	765	6
Total Net Budget for Portfolio	141,833	(108,356)	33,477	558	34,035	34,154	119	14,071	14,197	126

Virements

£000

Transfer from earmarked reserves
Allocation from Contingency
In year virements

374
151
33

558

**General Fund Forecast 2015/16
at 30 September 2015 - Period 6
Children and Learning
Portfolio Holder - Cllr A P Jones**

Forecast Outturn Variance	Year to Date Variance
a. Probable underspend on the School Admissions service with changing management arrangements.	
b. Current cohort includes 3 high cost LDD placements. Overspend also due to costs of legal representation in child protection cases and direct payments.	Current cohort includes 3 high cost LDD placements. Overspend also due to costs of legal representation in child protection cases and direct payments.
c. Cost pressure of £100K from delayed saving on management of Children's Centres will be contained for one year by drawing down on reserves earmarked for this purpose. Some underspend on staffing due to synergies with 'A Better Start' project.	
d. Overspend due to cost of Agency Social Workers in frontline child protection roles in Care Management and First Contact teams.	
e. Forecast for current cohort of fostering places, indicates £180K underspend.	Underspend to date broadly in line with annual forecast.
f. Projected shortfall on traded service income and staffing restructure as service adapts to meet current year savings target.	
g.	
h.	
i.	
j. Current cohort of PVI placements is forecast to underspend but this budget remains volatile and susceptible to sudden changes in demand from high cost placements.	Current cohort have cost less than budget to date, but do not yet include any Secure Accommodation placements.
k.	
l. Agency spending on Independent Reviewing Officers.	
m.	
n. Home to School Transport forecast indicates an underspend in line with last year following review of procedures and contracts. This offsets an overspend caused by an interim agency Education Psychologist. Earmarked reserves to be used for specific targeted school improvement actions in year.	Earmarked reserves to be drawn down for specific targeted school improvement actions. SEN and SEND grants will continue to be used to support the work required following the ECHP reforms.
o. 5 Young people currently in remand so costs are likely to exceed the grant and reserves available by £50k. Vacant post in the Youth Offending Service offsets this overspend.	

**General Fund Forecast 2015/16
at 30 September 2015 - Period 6
Leader
Portfolio Holder - Cllr R Woodley**

Service	Gross Expend £000	Gross Income £000	Original Budget £000	Virement £000	Latest Budget £000	Expected Outturn £000	Forecast Variance £000	Budget to Date £000	Spend to Date £000	To Date Variance £000
a Accounts Payable	194	(154)	40	0	40	40	0	23	16	(7)
b Accounts Receivable	274	(282)	(8)	0	(8)	(8)	0	(4)	(11)	(7)
c Accountancy	2,616	(2,834)	(218)	0	(218)	(218)	0	(96)	(140)	(44)
d Asset Management	429	(428)	1	15	16	11	(5)	16	(12)	(28)
e Internal Audit & Corporate Fraud	855	(907)	(52)	0	(52)	(52)	0	(24)	(27)	(3)
f Buildings Management	2,843	(2,873)	(30)	(129)	(159)	(109)	50	125	151	26
g Administration & Support	549	(550)	(1)	0	(1)	(1)	0	0	(28)	(28)
h Community Centres and Club 60	63	(1)	62	0	62	62	0	31	31	0
i Corporate and Industrial Estates	921	(2,350)	(1,429)	0	(1,429)	(1,429)	0	(708)	(717)	(9)
j Corporate and Non Distributable Costs	3,354	(172)	3,182	1	3,183	3,262	79	(796)	(1,129)	(333)
k Corporate Subscriptions	73	0	73	0	73	73	0	36	28	(8)
l Council Tax Admin	1,413	(471)	942	50	992	892	(100)	504	288	(216)
m Emergency Planning	102	0	102	0	102	102	0	51	53	2
n Democratic Services Support	458	0	458	0	458	449	(9)	231	219	(12)
o Media And Communication	0	0	0	0	0	0	0	0	0	0
p Member Expenses	732	0	732	0	732	716	(16)	367	347	(20)
q Department of Corporate Services	1,053	(1,053)	0	10	10	24	14	13	36	23
r Elections and Electoral Registration	394	0	394	25	419	419	0	280	265	(15)
s Strategy & Performance	895	(966)	(71)	9	(62)	(62)	0	(42)	(66)	(24)
t Programme Office	340	(341)	(1)	0	(1)	(6)	(5)	0	(10)	(10)
u Information and Governance	0	0	0	0	0	0	0	0	0	0
v Insurance	195	(241)	(46)	0	(46)	(46)	0	19	14	(5)
w Local Land Charges	255	(319)	(64)	1	(63)	(63)	0	(3)	(62)	(59)
x Legal Services	1,105	(1,131)	(26)	15	(11)	(11)	0	3	(1)	(4)
y Non Domestic Rates Collection	360	(302)	58	0	58	58	0	36	44	8
z Payroll	0	0	0	0	0	0	0	0	0	0
aa Corporate Procurement	705	(705)	0	89	89	89	0	58	(8)	(66)
ab Property Management & Maintenance	575	(575)	0	130	130	230	100	167	591	424
Total Net Budget for Portfolio	20,753	(16,655)	4,098	216	4,314	4,422	108	287	(128)	(415)

**General Fund Forecast 2015/16
at 30 September 2015 - Period 6
Leader
Portfolio Holder - Cllr R Woodley**

Virements	£000
Transfer from earmarked reserves	139
Allocation from Contingency	85
In year virements	(8)
	216

Forecast Outturn Variance	Year to Date Variance
a.	
b.	
c.	Underspend due to staff vacancies. More income has been raised than profiled to date in the budget
d. Excess of income on the EPAM System	An underspend on professional fees may be offset by further valuation fees. Income is currently exceeding the profiled budget
e.	
f. Cleaning costs are expected to exceed budget by the end of the year	An overspend on Electricity costs is being offset by an underspend on the costs of Gas. The overspend on Cleaning costs is being partially offset by an underspend on Furniture
g.	Vacancies and vacant hours
h.	
i.	
j. One-off Treasury Management Fees	Current underspend on Salary costs, Pension Backfunding and Corporate Subscriptions. Due to the ad-hoc and high value nature of expenditure for Corporate Initiatives and Pension Backfunding, it is not possible to accurately profile the budget
k.	
l. More court costs relating to Council Tax have been raised to date than anticipated in the budget	More court costs relating to Council Tax have been raised than anticipated although this is likely to result in a high provision for Bad Debt at the end of the year. The higher income is offsetting a pressure on Council Tax employee costs due to agency staffing

Forecast Outturn Variance	Year to Date Variance
m.	
n. Expected underspend on the Members' scrutiny budget	Lower than budgeted national insurance & transport expenses and a general underspend across Supplies and Services
o.	
p. Based on an analysis of expenditure in previous years, there is expected to be an underspend on the budget for conferences	Current underspend on Members' national insurance, hospitality and conference budgets
q. Final employee settlement payment following long term sickness, overtime and agency expenditure for P.A. support and costs associated with the staff induction video	Employee costs, staff induction video, advertising audit and training are all contributing to a budget overspend
r.	Grant income received is not yet fully spent
s.	A current underspend on employee budget in the PEC team is being partially offset by Agency costs. There is an underspend across Supplies and Services compared to the profiled budgets
t. Underspend on employee costs	An underspend in salary budgets is being partially offset by higher than expected agency costs in the Programme Office. Printing costs have reduced since the completion of the New Ways of Working project
u.	
v.	
w.	Income is currently exceeding the profiled budget but due to the unpredictable nature of the income/expenditure it may level out by the year-end
x.	
y.	
z.	
aa.	
ab. Income shortfall due to the termination of a contract with Seevic.	Capitalisation of salaries information currently not available.

**General Fund Forecast 2015/16
at 30 September 2015 - Period 6
Enterprise, Tourism & Economic Development
Portfolio Holder - Cllr G Longley**

Service	Gross Expend £000	Gross Income £000	Original Budget £000	Virement £000	Latest Budget £000	Expected Outturn £000	Forecast Variance £000	Budget to Date £000	Spend to Date £000	To Date Variance £000
a Arts Development	516	(205)	311	3	314	324	10	160	197	37
b Amenity Services Organisation	3,005	(2,389)	616	30	646	676	30	369	480	111
c Economic Development	483	(112)	371	90	461	421	(40)	261	100	(161)
d Culture Management	135	(6)	129	0	129	129	0	65	67	2
e Library Service	3,509	(387)	3,122	0	3,122	3,122	0	1,710	1,701	(9)
f Museums And Art Gallery	1,168	(92)	1,076	45	1,121	1,141	20	608	613	5
g Parks And Amenities Management	4,458	(663)	3,795	(30)	3,765	3,765	0	1,829	1,755	(74)
h Climate Change	218	0	218	0	218	218	0	109	100	(9)
i Resort Services Pier and Foreshore and Southend Marine Activity Centre	3,130	(947)	2,183	0	2,183	2,193	10	1,140	1,163	23
j Sports Development	277	(134)	143	30	173	173	0	77	71	(6)
k Sport and Leisure Facilities	836	0	836	0	836	536	(300)	418	360	(58)
l Southend Theatres	582	(16)	566	0	566	566	0	296	281	(15)
m Support to Mayor	211	0	211	0	211	211	0	113	108	(5)
n Town Centre	124	(48)	76	1	77	62	(15)	58	72	14
o Tourism	301	(10)	291	0	291	271	(20)	147	118	(29)
Total Net Budget for Portfolio	18,953	(5,009)	13,944	169	14,113	13,808	(305)	7,360	7,186	(174)

Virements

Transfer from earmarked reserves
Allocation from Contingency
In year virements

£000

31

0

138

169

**General Fund Forecast 2015/16
at 30 September 2015 - Period 6
Enterprise, Tourism & Economic Development
Portfolio Holder - Cllr G Longley**

Forecast Outturn Variance	Year to date Variance
a. Focal Point Gallery grant less than anticipated.	Exhibition expenditure.
b. Additional employee costs within the service.	Staff costs greater in the summer season. Inventory purchased in bulk.
c. Some service functions are being funded by grant this year leaving an underspend within the section.	Grant funding received in advance of project commencement.
d.	
e.	
f. Annual servicing of the Cliff lift and peak relief staff costs.	
g.	Grant funding for the Belfair's Woodland Centre project to be spent and a current underspend on third party payments.
h.	
i. SMAC income shortfall.	
j.	
k. Saving achieved from the new Leisure Management Contract.	Saving achieved from the new Leisure Management Contract.
l.	
m.	
n. Market income expected to be greater than targeted.	
o. Full budget not committed for the year.	

**General Fund Forecast 2015/16
at 30 September 2015 - Period 6
Community & Organisational Development
Portfolio Holder - Cllr I Gilbert**

Service	Gross Expend £000	Gross Income £000	Original Budget £000	Virement £000	Latest Budget £000	Expected Outturn £000	Forecast Variance £000	Budget to Date £000	Spend to Date £000	To Date Variance £000
a Closed Circuit Television	403	(18)	385	92	477	477	0	236	251	15
b Cemeteries and Crematorium	1,437	(2,044)	(607)	0	(607)	(687)	(80)	(259)	(424)	(165)
c Community Safety	356	(41)	315	(93)	222	222	0	92	52	(40)
d Customer Services Centre	1,922	(1,968)	(46)	49	3	(12)	(15)	4	(71)	(75)
e Council Tax Benefit	0	0	0	0	0	0	0	0	(32)	(32)
f Dial A Ride	103	(17)	86	(1)	85	85	0	42	37	(5)
Housing Benefit and Council Tax Benefit Admin	2,830	(1,285)	1,545	(300)	1,245	1,335	90	606	684	78
g										
h Rent Benefit Payments	98,947	(99,050)	(103)	300	197	197	0	99	169	70
i Partnership Team	327	0	327	10	337	337	0	173	154	(19)
j Registration of Births Deaths and Marriages	470	(323)	147	1	148	148	0	74	44	(30)
k Support To Voluntary Sector	913	0	913	0	913	782	(131)	394	387	(7)
l Human Resources	1,936	(1,946)	(10)	39	29	29	0	192	171	(21)
m Information Comms & Technology	5,064	(5,450)	(386)	100	(286)	(341)	(55)	(165)	(322)	(157)
n People & Organisational Development	449	(455)	(6)	11	5	5	0	7	9	2
o Transport Management	209	(209)	0	29	29	29	0	15	(2)	(17)
p Tickfield Training Centre	366	(349)	17	0	17	17	0	24	22	(2)
q Vehicle Fleet	809	(773)	36	(27)	9	9	0	5	10	5
Total Net Budget for Portfolio	116,541	(113,928)	2,613	210	2,823	2,632	(191)	1,539	1,139	(400)

Virements

£000

Transfer from earmarked reserves
Allocation from Contingency
In year virements

190
100
(80)

210

**General Fund Forecast 2015/16
at 30 September 2015 - Period 6
Community & Organisational Development
Portfolio Holder - Cllr I Gilbert**

Forecast Outturn Variance	Year to Date Variance
a. Income for burials and cremations is expected to exceed budget	Income for burials and cremations is exceeding the profiled budget
b. Crematorium income is expected to exceed budget	Cemetery and Crematorium income are both currently exceeding the profiled budget
c.	
d. In year vacancies	In year additional computer costs are reducing the underspend on employee budgets
e.	Overpayments repaid relating to prior years
f.	
g. Budget pressure on employees budget due to agency staff and overtime	Overtime and agency costs
h.	Monitored position at Period 6
i.	The supplies and services budget is not currently being spent in line with the profiling
j.	Income is currently exceeding expectation however this is expected to come in line with budget by year-end due to less demand in the winter period
k. There is an expected underspend in the Voluntary Sector premises costs due to a change in the accounting treatment of business rates. Additionally there should be a saving on employee budgets due to a member of staff now working part-time	
l.	Income currently exceeding profiled budget, which is helping to offset an overspend in staffing costs (overtime and agency)
m. Vacancies.	Staffing vacancies, higher than profiled income and lower than profiled corporate IT application costs are creating an underspend against the year to date budget
n.	
o.	Vacancies are being offset by higher than profiled transport costs and lower than expected income for the time of year
p.	
q.	

**General Fund Forecast 2015/16
at 30 September 2015 - Period 6
Public Protection, Waste & Transport
Portfolio Holder - Cllr M Terry**

Service	Gross Expend £000	Gross Income £000	Original Budget £000	Virement £000	Latest Budget £000	Expected Outturn £000	Forecast Variance £000	Budget to Date £000	Spend to Date £000	To Date Variance £000
a Bridges and Structural Engineering	392	0	392	0	392	392	0	196	180	(16)
b Concessionary Fares	3,489	0	3,489	0	3,489	3,489	0	1,749	1,775	26
c Decriminalised Parking	1,965	(1,601)	364	50	414	414	0	177	91	(86)
d Enterprise Tourism and Environment Central Pool	1,858	(1,925)	(67)	0	(67)	(67)	0	(30)	(53)	(23)
e Flood and Sea Defence	874	(63)	811	0	811	811	0	407	225	(182)
f Highways Maintenance	10,296	(2,232)	8,064	0	8,064	8,114	50	4,010	4,075	65
g Car Parking Management	1,437	(5,647)	(4,210)	1	(4,209)	(4,309)	(100)	(2,318)	(2,400)	(82)
h Passenger Transport	389	(61)	328	0	328	328	0	185	187	2
i Public Conveniences	661	0	661	0	661	661	0	355	351	(4)
j Road Safety and School Crossing	365	(60)	305	0	305	305	0	124	131	7
k Regional And Local Town Plan	1,669	(752)	917	0	917	917	0	461	441	(20)
l Traffic and Parking Management	786	(5)	781	0	781	781	0	402	368	(34)
m Waste Collection	3,860	0	3,860	40	3,900	3,900	0	1,945	2,236	291
n Waste Disposal	4,019	0	4,019	96	4,115	4,115	0	2,052	1,618	(434)
o Environmental Care	652	(4)	648	0	648	648	0	328	337	9
p Civic Amenity Sites	654	0	654	14	668	668	0	343	336	(7)
q Waste Management	2,034	0	2,034	0	2,034	2,034	0	1,016	1,067	51
r Cleansing	2,193	(7)	2,186	23	2,209	2,209	0	1,125	1,228	103
Total Net Budget for Portfolio	37,593	(12,357)	25,236	224	25,460	25,410	(50)	12,527	12,193	(334)

Virements

Transfer from earmarked reserves
Allocation from Contingency
In year virements

£000

50
173
1

224

**General Fund Forecast 2015/16
at 30 September 2015 - Period 6
Public Protection, Waste & Transport
Portfolio Holder - Cllr M Terry**

Forecast Outturn Variance	Year to Date Variance
a.	
b.	
c.	
d.	
e.	Contractor costs to be incurred later in the year.
f. Contractor overspend is being partially offset by streetwork permit income.	Contractor overspend is being partially offset by streetwork permit income.
g. Car park income is exceeding expectations.	Car park income is exceeding expectations.
h.	
i.	
j.	
k.	
l.	
m.	Savings for new contract to be reprofiled into the 2 nd half of the year.
n.	Penalty costs received from contractor due to not achieving contracted recycling rates.
o.	
p.	
q.	
r.	Savings for new contract to be reprofiled into the 2 nd half of the year.

**General Fund Forecast 2015/16
at 30 September 2015 - Period 6
Housing, Planning & Regulatory Services
Portfolio Holder - Cllr D Norman**

Service	Gross Expend £000	Gross Income £000	Original Budget £000	Virement £000	Latest Budget £000	Expected Outturn £000	Forecast Variance £000	Budget to Date £000	Spend to Date £000	To Date Variance £000
a Building Control	593	(389)	204	0	204	204	0	141	114	(27)
b Development Control	1,022	(509)	513	0	513	513	0	284	223	(61)
c Regulatory Business	661	(11)	650	121	771	771	0	390	394	4
d Regulatory Licensing	632	(474)	158	20	178	204	26	(40)	21	61
e Regulatory Management	239	0	239	(155)	84	84	0	42	(1)	(43)
f Regulatory Protection	335	(62)	273	46	319	319	0	131	125	(6)
g Strategic Planning	398	0	398	0	398	398	0	225	232	7
h Strategy & Planning for Housing	0	0	0	0	0	0	0	0	0	0
i Private Sector Housing	5,866	(338)	5,528	81	5,609	5,609	0	2,804	2,793	(11)
j Housing Needs & Homelessness	1,449	(439)	1,010	0	1,010	1,010	0	507	553	46
k Supporting People	3,773	0	3,773	(276)	3,497	3,497	0	1,749	1,723	(26)
l Queensway Regeneration Project	0	0	0	0	0	0	0	0	68	68
Total Net Budget for Portfolio	14,968	(2,222)	12,746	(163)	12,583	12,609	26	6,233	6,245	12

Virements

Transfer from/(to) earmarked reserves
Allocation from Contingency
In year virements

£000

(164)

0

1

(163)

Forecast Outturn Variance	Year to date Variance
a.	
b.	Vacant posts within Development Control.
c.	
d. Saving not achieved regarding contractor costs.	
e.	
f.	
g.	
h.	
i.	
j.	
k.	
l.	

Housing Revenue Account Forecast 2015/16
at 30 September 2015 - Period 6
Corporate Director - Simon Leftley

Description	Original Budget £000	Virement £000	Latest Budget £000	Expected Outturn £000	Forecast Variance £000	Budget to Date £000	Spend to Date £000	To Date Variance £000
a Employees	279	0	279	279	0	140	143	3
b Premises (Excluding Repairs)	673	0	673	633	(40)	100	60	(40)
c Repairs	5,236	0	5,236	5,236	0	2,819	2,819	0
d Supplies & Services	66	0	66	137	71	33	55	22
e Management Fee	9,264	0	9,264	9,264	0	4,988	4,988	0
f MATS	956	0	956	956	0	478	478	0
g Provision for Bad Debts	361	0	361	361	0	0	0	0
h Capital Financing Charges	13,770	0	13,770	13,955	185	6,616	6,724	108
Expenditure	30,605	0	30,605	30,822	216	15,175	15,268	93
i Fees & Charges	(3,789)	0	(3,789)	(3,819)	(30)	(1,882)	(1,988)	(106)
j Rents	(26,877)	0	(26,877)	(27,177)	(300)	(13,008)	(13,255)	(247)
k Other	(227)	0	(227)	(227)	0	(214)	(214)	(1)
l Interest	(90)	0	(90)	(90)	0	(45)	(45)	0
m Recharges	(530)	0	(530)	(530)	0	(265)	(213)	52
Income	(31,513)	0	(31,513)	(31,843)	(330)	(15,414)	(15,715)	(302)
n Appropriation to Earmarked reserves	2,721	0	2,721	2,835	114	0	0	0
o Statutory Mitigation on Capital Financing	(1,813)	0	(1,813)	(1,813)	0	(1,360)	(1,360)	0
Net Expenditure / (Income)	0	0	0	0	0	(1,599)	(1,807)	(209)
Use of Reserves								
Balance as at 1 April 2014	3,502	0	3,502	3,502	0			
Use in Year	(0)	0	(0)	(0)	(0)			
Balance as at 31 March 2015	3,502	0	3,502	3,502	(0)			

**Housing Revenue Account Forecast 2015/16
at 30 September 2015 - Period 6
Corporate Director - Simon Leftley**

Forecast Outturn Variance	Year to Date Variance
a.	
b. Forecast underspend on void sheltered accommodation council tax bills.	
c.	
d. Overspend due to the cost of patrol services at Victoria ward.	
e.	
f.	
g.	
h. Interest payable on the HRA's internal borrowing is higher than estimated in the budget.	Interest payable on the HRA's internal borrowing is higher than estimated in the budget.
i. Higher than estimated service charges because of a lower number of void properties than estimated in the budget.	Higher than estimated service charges because of a lower number of void properties than estimated in the budget.
j. Higher than estimated rental income because of a lower number of void properties than estimated in the budget. There is also higher rental income because all new and transferring tenancies are being let at formula rent.	Higher than estimated rental income because of a lower number of void properties than estimated in the budget. There is also higher rental income because all new and transferring tenancies are being let at formula rent.
k.	
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**Capital Programme Budget
Monitoring 2015/16**

Period 6

**as at 30th September 2015
Departmental Summary**

Capital Programme Monitoring Report – September 2015

1. Overall Budget Performance

The revised Capital budget for the 2015/16 financial year is £63.257million which includes all changes agreed at June and September Cabinet. Actual capital spend at 30th September is £16.128million representing approximately 25% of the revised budget. This is shown in Appendix 1. (Outstanding creditors totalling £0.838million have been removed from this figure).

The expenditure to date has been projected to year end and the outturn position is forecast to reflect the Project Manager's realistic expectation. This is broken down by Department as follows:

Department	Revised Budget 2015/16 £'000	Actual 2015/16 £'000	Expected outturn 2015/16 £'000	Latest Expected Variance to Revised Budget 2015/16 £'000	Previous Expected Variance to Revised Budget 2015/16 £'000
Corporate Services	10,141	1,415	5,063	(5,078)	(4,713)
People	15,880	5,959	12,083	(3,797)	(64)
Place	26,890	5,566	24,125	(2,765)	(1,352)
Housing Revenue Account (HRA)	10,346	3,188	9,645	(701)	-
Total	63,257	16,128	50,916	(12,341)	(6,129)

The capital programme is expected to be financed as follows:

Department	External Funding			Total Budget £'000
	Council Budget £'000	Grant Budget £'000	Developer & Other Contributions £'000	
Corporate Services	10,074	67	-	10,141
People	3,373	12,507	-	15,880
Place	15,160	9,740	1,990	26,890
Housing Revenue Account (HRA)	10,232	-	114	10,346
Total	38,839	22,314	2,104	63,257

The funding mix for the total programme could change depending on how much grant and external contributions are received by the Council by the end of the year.

The grants and external contributions position to 30th September is as follows:

Department	Grant Budget £'000	Developer & Other Contributions Budget £'000	Total external funding budget £'000	External funding received £'000	External funding outstanding £'000
Corporate Services	67	-	67	67	-
People	12,507	-	12,507	9,958	2,549
Place	9,740	1,990	11,730	7,787	3,943
Housing Revenue Account (HRA)	-	114	114	35	79
Total	22,314	2,104	24,418	17,847	6,571

2. Department Budget Performance

Department for Corporate Services

The revised capital budget for the Department for Corporate Services is £10.141million. The budget is distributed across various scheme areas as follows:

Department for Corporate Services	Revised Budget 2015/16 £'000	Actual 2015/16 £'000	Expected outturn 2015/16 £'000	Latest Forecast Variance to Year End 2015/16 £'000	Previous Forecast Variance to Year End 2015/16 £'000
Accommodation Strategy - Main	179	135	179	-	-
Accommodation strategy - CCTV	1	1	1	-	-
Civic Centre – Server Room	83	-	-	(83)	-
Tickfield	84	59	84	-	-
Asset Management (Property)	4,040	511	1,439	(2,601)	(2,713)
Cemeteries & Crematorium	2,360	71	360	(2,000)	(2,000)
ICT Programme	3,339	638	2,945	(394)	-
Subtotal	10,086	1,415	5,008	(5,078)	(4,713)
Priority Works (see table)	55	-	55	-	-
Total	10,141	1,415	5,063	(5,078)	(4,713)

Priority Works	£'000
Budget available	1,000
Less budget allocated to agreed schemes	(945)
Remaining budget	55

Actual spend at 30th September stands at £1.415million. This represents 14% of the total available budget.

Accommodation Strategy - Main

As part of the refurbishment of Civic 2, it was originally decided to give the toilets a minor refresh. However the toilets proved to be in a worse condition than realised so it was agreed to undertake a full refurbishment which is now underway. £75k has been allocated

for the refurbishment of the toilets and for the creation of a first aid room on the lower ground floor of Civic 1. The first aid room has now been completed and the works to the Civic 2 toilets commenced on 28th September. All works will be completed by the end of November.

Civic Centre – Server Room

The final Server Room works have been amalgamated with other ICT schemes and the £83k budget will be transferred over to ICT at November Cabinet to cover part of the forecast overspend on the Core Infrastructure budget.

Tickfield

All building works have now been completed at Tickfield. The final delivery of furniture and signage is still outstanding.

Asset Management (Property)

The development agreement for the Airport Business Park site has now been signed. The rugby pitch and infrastructure works are due to start during Easter 2016. It is estimated that £556k budget will be required during 2015/16 with the remaining £2.3m to be included as a carry forward request in the report to November Cabinet.

The £109k budget for the second phase of the new beach huts will be included in the report to November cabinet as a carry forward request. This is because the project is currently on hold until 2016/17.

Works on the refurbishment of Thorpe Hall Avenue toilets are currently at planning stage and they are on track to complete before the end of the financial year.

Various projects within the Victoria Avenue Improvement scheme are currently being considered and costed. It is estimated that only £40k will be required in 2015/16 for feasibility work on the East and Library car parks and the remaining £190k will be included as a carry forward request in the report to November Cabinet.

The Brunel Road Redevelopment scheme is currently under consideration due to viability therefore the £2k budget will be included as a carry forward request in the report to November Cabinet.

Cemeteries and Crematorium

The £10k budget for essential Crematorium equipment has been allocated for a replacement fire alarm system in the Crematorium. This is currently with the Property Services team who are carrying out a survey for the tender specification.

The work on the cremator to fully reline the hot bricks is now complete and all invoices have now been paid. This scheme has underspent by £4k which will be used to cover the overspend on the west chapel pipe organ refurbishment.

The legal negotiating process for the purchase of the new burial ground is on-going. It is estimated that only £279k of the budget will be spent in 2015/16 therefore a carry forward request of £2.0 million will be included in the report to November Cabinet as a carry forward request.

The west chapel pipe organ refurbishment is complete and has been reinstalled in the West Chapel. The works came in at £4k over budget but there is an under-spend on the relines of the crematorium to cover this.

ICT

My Southend went live on 22nd September as part of the Citizen Account scheme.

Friars and Thorpedene libraries have been replaced by the new Hub Library located in Shoebury Youth Centre and the availability of wireless is part of the new build. Wireless has now been finalised and handed over at Leigh Library. Other libraries are yet to determine their final requirements therefore £17k of the budget will be included as a carry forward request in the report to November Cabinet.

The budget on the Vehicle Tracking and Performance System scheme has been allocated to fund the Dial a Ride replacement system. The system has now been procured and the system data is currently being input in preparation for go-live anticipated for early November.

Works have begun on the Wireless Borough/City Deal to implement WiFi in areas of the town with high footfall and to develop greater social inclusion. The contract is now out to tender with a closing date at the end of October. The remaining budget of £371k will be included in the report to November Cabinet as a carry forward request as the works are not expected to begin until 2016/17.

The final works on the new server room are taking place as part of the ICT Core Infrastructure scheme and the £83k budget will be transferred at November Cabinet to cover the forecast spend. A further £660k accelerated delivery request will be included to cover further planned works on this scheme.

The Re-provision of Care First scheme will continue into the next financial year and £470k of the 2015/16 budget will be included as a carry forward request in the report to November Cabinet.

Final projects for the Capita One Enhancements and Developments are still to be discussed and agreed with Childrens Services and progress is not expected until 2016/17. A carry forward request of £32k will therefore be included in the report to November Cabinet to continue the project in 2016/17.

The ICT Enterprise Agreement has provided some management software called Microsoft System Centre which is currently in the process of being tested. The payment arrangements as part of the agreement cover numerous financial years therefore a carry forward request of £154k is required in the report to November Cabinet to continue the support.

The E-Procurement System project is currently with procurement and no action is expected in 2015/16. The full budget of £53k will be included as a carry forward request in the report to November Cabinet.

The new Cash Receipting System went live to S-cloud on 10th August however there is further work to carry out to resolve printing and Citrix issues. A carry forward request of £15k will be included in the report to November Cabinet to continue the works to the system.

The systems on the Pier are currently being upgraded and the plan for 2015/16 is to spend £155k on CCTV/Public Safety systems and Symology. The remaining £25k will be included in the report to November Cabinet as a carry forward request.

Priority Works

The Priority works provision budget currently has £55k remaining unallocated.

Summary

Carry forward requests to be included in the report to November Cabinet are Pier ICT Improvement Programme for £25k, Capita One Enhancements for £32k, ICT Enterprise Agreement for £154k, ICT E-Procurement System for £53k, Libraries Wireless Project for £17k, Replacement and Enhancement of Cash Receipting System for £15k, Wireless Borough City Deal for £371k, Southend Airport Business Park for £2.3m, Brunel Road Redevelopment for £2k, New Beach Huts phase two for £109k, Victoria Avenue Improvements for £190k, Re-provision of Carefirst for £470k and the New Burial Ground for £2.0 million.

An accelerated delivery request of £660k on the ICT Core Infrastructure scheme will also be included in the report to November Cabinet.

£83k will be transferred from the Server Room to ICT at November Cabinet.

Department for People

The revised Department for People budget totals £15.880million.

Department for People	Revised Budget 2015/16 £'000	Actual 2015/16 £'000	Expected outturn 2015/16 £'000	Latest Expected Variance to Year End 2015/16 £'000	Previous Expected Variance to Year End 2015/16 £'000
Adult Social Care	2,698	137	1,029	(1,669)	-
General Fund Housing	2,926	523	1,606	(1,320)	-
Children & Learning Other	180	4	116	(64)	(64)
Condition Schemes	1,381	665	1,137	(244)	-
Devolved Formula Capital	310	297	310	-	-
Primary School Places	8,385	4,333	7,885	(500)	-
Total	15,880	5,959	12,083	(3,797)	(64)

Actual spend at 30th September stands at £5.959million. This represents 38% of the total available budget.

Adult Social Care

The Adult Social Care budget consists of the Community Capacity grant which includes £172k for the Care Act capital scheme. The remainder of the Community Capacity grant will be spent on major adaptations that will enable vulnerable individuals to remain in their own homes and to assist in avoiding delayed discharges from hospital. £420k of this budget is estimated to be spent in 2015/16 therefore the remaining budget of £269k will be included in the November Cabinet report as a carry forward request.

The budget for Mental Health has now been allocated to be spent on crisis provision, enabling mental wellbeing and a community recovery college in 2015/16.

Adult Social Care also includes a £165k grant from the Department of Health for Transforming Care Housing. Plans are underway to redesign the support given to people with Learning Disabilities, Autism and Aspergers.

The feasibility study for the Viking Day Service Provision is underway. The remainder of the project is not expected to commence in 2015/16 therefore budget totalling £1.4m will be included as a carry forward request in the report to November Cabinet.

General Fund Housing

A thorough review of how the Disabled Facilities service is delivered is currently being undertaken and a report on the outcome will be published in the autumn. Only £900k of the 2015/16 budget is forecast to be spent therefore a carry forward request of £129k will be included in the report to November Cabinet.

The Private Sector Renewal scheme is in place to ensure that the private sector stock is kept in a good condition. It is expected that £500k will be required in 2015/16 therefore the

remaining budget of £744k will be included as a carry forward request in the report to November Cabinet.

The Empty Dwellings Management scheme is currently concentrating on bringing more empty homes back into use. It is not expected that the full budget will be required in 2015/16 therefore a carry forward request of £338k will be included in the report to November Cabinet.

The Private Sector Housing Works in Default Enforcement budget is on-going and only £60k will be required to cover enforcements in 2015/16 therefore a carry forward request of £90k will be included in the report to November Cabinet.

The £19k budget for Choice Based Lettings is no longer required and will be removed in the report to November cabinet.

Children & Learning Other Schemes

The Short Breaks for Disabled Children scheme has only spent £4k to date and no more is expected for 2015/16. A carry forward request of £64k will be included in the report to November Cabinet.

Retentions of £80k are being held against projects at Hinguar Primary and Kingsdown Special Schools and will be paid once outstanding snagging and defects works are completed and fully signed off. This figure is included in the creditors shown above.

Condition Schemes

A budget of £1.381m has been allocated to address larger conditions in schools where the cost is over the schools capabilities to fund. Of this amount, £229k is for projects started in 2014/15. Most of these works have been undertaken over the summer holidays to minimise disruption to the schools.

Retentions of £28k are being held for works completed in 2014/15 at eight primary schools. This figure is included in the creditors shown above.

The scheme to rewire Prince Avenue Primary School is ahead of schedule and the main works will be taking place in 2015/16. An accelerated delivery request of £81k will be in the report to November Cabinet to fund the additional works taking place.

Condition schemes at Edwards Hall and Futures Community College are progressing but they are expected to continue into 2016/17. Carry forward requests of £58k and £12k will be included in the report to November Cabinet.

The budgets for Shoebury Youth Centre, Chase High Boilers, Temple Sutton Underpinning and West Leigh Junior Gables totalling £135k are to be removed from the capital programme in the report to November Cabinet as these schemes have now finished. The £10k grant funding on the Shoebury Youth Centre will be transferred to the Library Review scheme in Culture to replace part of the borrowing to fund the new library in Shoeburyness.

The £120k budget on the Youth Service Review scheme is to be transferred to the Library Review project in Culture in the report to November Cabinet. This is to support works on the new Library in Shoeburyness.

Devolved Formula Capital

This is an annual devolution of dedicated capital grant to all maintained schools. The grant for 2015/16 is £310k.

Primary School Places

Capital expansions, both permanent and temporary are on-going to supply primary places to meet significant increased demands. In 2015/16, spend of £8.385m is programmed. This covers large, multi-year projects at St Helen's Catholic Primary, Sacred Heart Catholic Primary, Hamstel Infant and Juniors, The Federation of Greenways Schools, Darlington Primary, St Mary's Prittlewell C of E Primary and Porters Grange Primary Schools.

The contractors are on site at St Helens and a carry forward request of £500k will be in the report to November Cabinet to meet contract milestones in 2016/17.

A further £79k is also being held as retention payments against works completed in 2014/15 on smaller expansion projects. This figure is included in the creditors shown above.

Summary

Carry forward requests to be included in the report to November Cabinet include Viking Day Service Provision for £1.4m, Empty Dwellings Management for £338k, Works in Default Enforcement for £90k, Community Capacity for £269k, Disabled Facilities for £129k, Private Sector Renewal for £744k, Edwards Hall Roof for £58k, Futures Heating and Pipe Ducts for £12k, St Helens for £500k and Short Breaks for Disabled Children for £64k.

An accelerated delivery request for Prince Avenue Rewire for £81k will also be included in the report.

£120k will be transferred from Youth Service Review to the Library Review Scheme in Culture.

£19k budget for Choice Based Lettings, £10k for Shoebury Youth Centre, £34k for Temple Sutton Underpinning, £70k for West Leigh Gables and £21k for Chase High Boilers will be removed from the capital programme.

Department for Place

The revised capital budget for the Department for Place is £26.890million. This includes all changes approved at June and September Cabinet. The budget is distributed across various scheme areas as follows:

Department for Place	Revised Budget 2015/16 £'000	Actual 2015/16 £'000	Expected outturn 2015/16 £'000	Latest Expected Variance to Year End 2015/16 £'000	Previous Expected Variance to Year End 2015/16 £'000
Culture	3,748	1,622	3,517	(231)	-
Enterprise, Tourism & Regeneration	3,972	62	2,993	(979)	(948)
Coastal Defence	2,606	587	1,733	(873)	-
Highways and Infrastructure	4,847	509	4,847	-	-
Parking Management	550	98	550	-	-
Section 38 & 106 Agreements	1,690	94	1,038	(652)	(404)
Local Transport Plan	2,794	1,134	2,794	-	-
Local Growth Fund	2,420	189	2,420	-	-
Transport	814	387	784	(30)	-
Waste	597	565	597	-	-
Energy Saving Projects	2,852	319	2,852	-	-
Total	26,890	5,566	24,125	(2,765)	(1,352)

Actual spend at 30th September stands at £5.566million. This represents 21% of the total available budget.

Culture

The drainage works at Belfairs Golf Course are now complete. Other drainage works at Belfairs Park and Southchurch Park are on site and nearing completion.

New external funding totalling £260k from Sport England to part fund the Belfairs Swim Centre Health and Safety works will be added to the capital programme in the report to November Cabinet. The project is now complete and this grant will fund works already carried out.

Works to replace the floor in the auditorium at the Cliffs Pavilion are now complete. External works above the Maritime Room are on-going with a scheduled completion date of March 2016. A specification for tender for the under-croft piping replacement is currently being drawn up with a proposal to go out during November.

Works have now commenced on the Hard Surface Path Improvements in the Parks with a newly appointed contractor. Subject to weather conditions, the works should complete within the current financial year.

The Library Review was partly used to fund the new Shoeburyness Library which opened on 14th September. A programme of works is currently being developed for improvements at Leigh, Westcliff and Kent Elms Libraries to utilise the remainder of the budget. A carry forward request of £130k will be included in the report to November Cabinet to continue these works.

New external funding for £10k from Cory Environmental will be added to the capital programme in the report to November Cabinet to fund works on the Milton Gardens Children's Multi Play Unit.

Scheduled Ancient Monument Consent has now been received for the works to Priory Park Water Main. A start date has been proposed for 26th October.

The publication date for the research on the Prittlewell Prince is later than anticipated therefore the full budget of £38k will be included in the report to November Cabinet as a carry forward request.

Works are progressing on the refurbishment of the war memorials within the Borough. The works on the Cenotaph have been completed and the refurbishment of the Victory Sports Ground gates commenced on 31st August. Future works are scheduled for the St Erkenwalds Memorial at Sutton Road Cemetery and the Priory Park War Memorial.

Plans are being made for the use of the Pump Priming Budget for 2016/17 and budget of £333k will be included in the November Cabinet report as a carry forward request.

Enterprise, Tourism & Regeneration

The Regeneration projects include all the work currently taking place on Southend Pier and the City Deal Incubation Centre as well as the Coastal Communities Fund.

Tenders for the concrete and structural repairs needed on the pier have come in over budget and are currently being value engineered to propose a way forward.

A technical issue with the repair of the Prince George Extension on the pier has caused delays and it is likely that a carry forward request will be required once more detail is known for the costs involved in 2015/16.

The timeframe for spend on the Coastal Communities Fund has been extended by the Big Lottery and CLG. Negotiations are continuing with the Yacht club to enable delivery of the lagoon and costs of enabling works are being explored. It is estimated that around £200k will be spent in 2015/16 with the remaining £948k to be included as a carry forward request at November Cabinet.

The works for emergency maintenance on Pier Hill lifts are now complete and there is a forecast under-spend of £4k once the final invoices have come in. This will be removed from the capital programme in the report to November Cabinet.

The £27k budget on Western Esplanade Cliffs Stabilisation is no longer required and the full budget will be transferred to cover the over-spend on the Coastal Defence Storm Damage scheme. This will be included in the report to November Cabinet.

Coastal Defence

Works for the cliff stabilisation at Clifton Drive commenced on 12th April and are progressing well.

A grant from DeFRA is in the process of being claimed for improving resilience to private properties. The budget for this was originally set at £1.5m but it is expected that only £600k will be spent therefore £900k will be removed from the budget in the report to November Cabinet.

The £27k over-spend relates to the Coastal Defence Storm Damage scheme and a transfer from Western Esplanade Cliffs stabilisation will be used to cover this.

Highways and Infrastructure

Plans for 2015/16 are currently in hand and implementation works have commenced for the Highways Planned Maintenance schemes. These will continue on a phased basis for the remainder of the financial year.

Further discussions have taken place with Network Rail about moving the fence separating the Cinder Path from Essex Thameside Rail Link. Once the estimate has been received from the contractor, works can be programmed to coincide with the next appropriate rail possession. Resurfacing of the widened Cinder Path can then commence. It is anticipated that works will commence after Christmas with the associated resurfacing works planned before the end of the financial year. The programme is dependent on a suitable quote being received from the contractor.

The revised Street Lighting budget is a multi-million pound, multi-year scheme to be part funded by the Challenge fund from the Department for Transport. Surveys have commenced to prepare for the cast iron replacements and the sleeve manufacturer has started measuring the concrete columns so that materials can be ordered. The contractor is currently working in Eastwood to fit sleeves to concrete columns where LED lanterns have been fitted previously. This work will continue for the remainder of the year.

Parking Management

Works to the Civic Centre North car park are well underway and expected to complete during October. Any surplus funding will be utilised for works on the Library car park and works to improve the other Borough car parks.

Section 38 and Section 106 Schemes

There are a number of S38 and S106 schemes all at various stages. The larger schemes include works to Fossetts Farm Bridleway and North Shoebury Road.

Schemes totalling £604k have been identified as delayed until 2016/17 therefore a carry forward required will be included in the report to November Cabinet. £61k of additional S106 funds and the removal of £109k S106 funds will also be included in this report.

Local Transport Plans (LTP Schemes)

Various schemes are now underway for Better Networks and Better Sustainable Transport. A few delays are currently being experienced in the works for Better Operations of Traffic Control Systems and the Highways team are currently working to resolve this.

Programmed resurfacing works have now commenced and are set to continue for the remainder of the summer months.

Local Growth Fund

The A127 Growth Corridor projects will support the predicted growth associated with London Southend Airport and the Joint Area Action Plan (JAAP) proposals developed by

Southend, Rochford and Essex County Councils to release land and create 7,380 high value jobs on new business. The improvement will also support background growth of Southend and Rochford.

The business cases for A127 Kent Elms and Bridge and Highway Maintenance have been approved by South East Local Enterprise Partnership Individual Technical Evaluator to draw down 2015/16 funding. Further work is underway to support the business cases for 2016/17 onwards. 2015/16 works for Kent Elms will be focussing on the design and construction of the advance surface crossing works and design and survey work for the main junction improvement works. Advance utility diversion works for the new surface crossing are nearing completion. Ground penetration radar and drainage surveys are complete and being reviewed. A bridge survey has been commissioned and is currently waiting for a programme. Works on the Bell junction will be focussing on survey work to inform the design for the main junction improvement works. Once Kent Elms survey works have been received and reviewed, similar surveys will be commissioned for the Bell. Bridge and Highway Maintenance will be focussing on investigation works for the improvement to the A127 corridor and also necessary surfacing to the east bound section of the A127 from Boundary to just prior to the Progress Road improvement works.

Transport

Main works on the A127 Tesco junction improvements were completed on 29th March 2015 with the switching on of the traffic signals. Traffic signal monitoring will continue to be adjusted as necessary. Repairs to the defects are now underway.

Minor adjustments to traffic signals on Progress road are yet to be completed.

Southend Transport Model is an on-going scheme to support various multi modal transport projects. Spend is expected at £62k in 2015/16 and a carry forward request of £30k will be included in the report to November Cabinet.

Waste

There are commitments for the year in relation to the Commercial Waste and Recycling Centre which will involve ground works, a salt dome and refurbishment of the toilets. Some disused buried structures and contaminated soil have been discovered on site and this has slightly delayed the project. Works are due to finish during October with final snagging to be carried out and the final accounts to be reviewed.

Energy Saving Projects

Solar panels have been part installed at Southend Adult Community College and Temple Sutton Primary School. The gas boilers have now been installed at Southend Adult Community College and a tender for energy efficiency work is due to go out at the end of October. The biomass boiler installation started on 3rd October at Temple Sutton and the windows installation is to be completed by February 2016 based on weekend and holiday working.

Some improvements have been made to the Civic Centre heating controls but this has not fully resolved the issue. New thermostats are due to be installed along with a new burner and gas booster on the boiler and this is now out to tender.

Summary

Carry forward requests to be included in the report to November Cabinet are Prittlewell Prince Museum for £38k, Pump Priming Budget for £333k, Library Review for £130k,

Coastal Communities Fund for £948k, Southend Transport Model for £30k and various S106 schemes totalling £604k.

£61k of S106 funds will be added and £109k will be removed at November Cabinet.

The under-spend on the Pier Hill lifts emergency maintenance of £4k and £900k for the Flooding Repairs and Renewals will be removed from the capital programme at November Cabinet.

The £27k budget on Western Esplanade Cliffs Stabilisation will be transferred to Coastal Defence Storm Damage in the report to November Cabinet.

New external funding totalling £260k will be added to the capital programme for Belfairs Swim Centre Health and Safety works and £10k for the Milton Gardens Children's Multi Play Unit.

Housing Revenue Account

The revised budget for the Housing Revenue Account capital programme for 2015/16 is £10.346million. The latest budget and spend position is as follows:

Housing Revenue Account	Revised Budget 2015/16 £'000	Actual 2015/16 £'000	Expected outturn 2015/16 £'000	Forecast Variance to Year End 2015/16 £'000	Previous Forecast Variance to Year End 2015/16 £'000
Decent Homes Programme	7,314	2,825	6,994	(320)	-
Council House Adaptations	500	239	500	-	-
Sheltered Housing Remodelling	345	-	-	(345)	-
32 Byron Avenue	16	-	16	-	-
Other HRA	2,171	124	2,135	(36)	-
Total	10,346	3,188	9,645	(701)	-

The actual spend at 30th September of £3.188million represents 31% of the HRA capital budget.

Decent Homes Programme

The on-going Decent Homes schemes are continuing in 2015/16. Kitchens, bathrooms and electrical works are programmed to be complete by December 2015. Additional works are being undertaken to upgrade boilers following requirements identified during the Gas Servicing Programme.

Some of the works for Common Area Improvements and Environmental Health and Safety are currently delayed and carry forward requests of £220k and £100k will be included in the report to November Cabinet.

Council House Adaptions

This budget relates to minor and major adaptations in council dwellings. Spend depends on the demand for these adaptations and works are currently in progress for 2015/16.

Sheltered Housing Remodelling

There are no plans for the Sheltered Housing Remodelling budget in 2015/16. The budget of £345k will be included in the report to November Cabinet as a carry forward request.

S106/RTB funded schemes

The build at 32 Byron Avenue is now complete and settlement of the final accounts is expected by the end of 2015.

Other HRA

A scheme to review HRA land is currently underway and planning permission was received on 15th April for the proposed sites. The plan is to construct 18 housing units within the Shoeburyness ward. The tender for construction is currently out for approval with an anticipated start date on site in early 2016. £30k S106 funding has been received to contribute to the feasibility stage of this scheme. A further budget for a S106 contribution as part of the main scheme was duplicated and £66k will be removed from the programme in the report to November Cabinet to correct this.

Summary

Carry forward requests for Common Area Improvements for £220k, Environmental Health and Safety for £100k and Sheltered Housing for £345k will be included in the report to November Cabinet.

£30k S106 contribution will be added to the HRA Land Review feasibility and £66k will be removed from the HRA Land Review main scheme.

Summary of Capital Expenditure at 30th September 2015

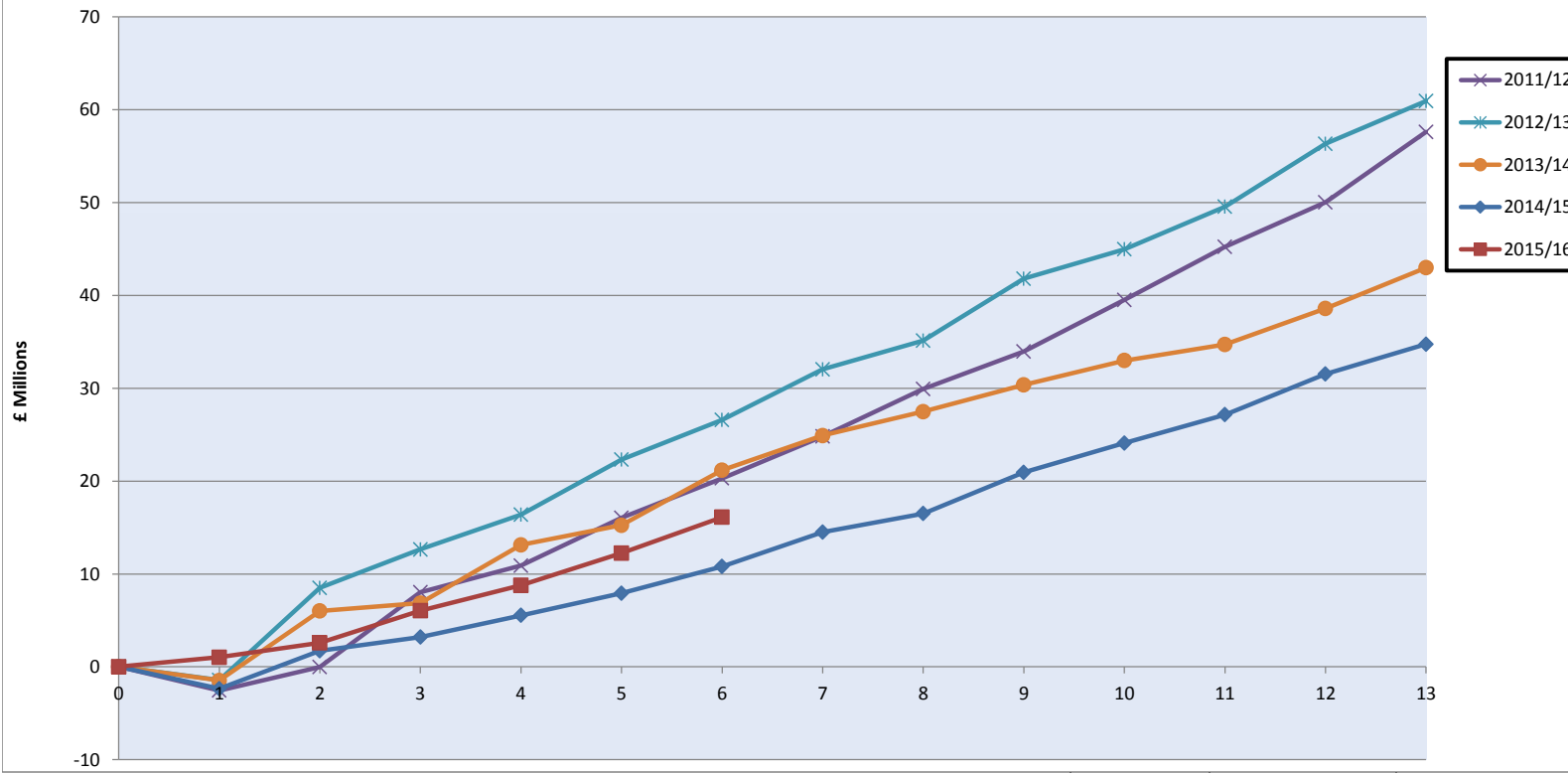
Appendix 1

	Original Budget 2015/16 £000	Revisions £000	Revised Budget 2015/16 £000	Actual 2015/16 £000	Forecast outturn 2015/16 £000	Forecast Variance to Year End 2015/16 £000	% Variance
Corporate Services	10,252	(111)	10,141	1,415	5,075	(5,066)	14%
People	15,392	488	15,880	5,959	12,278	(3,602)	38%
Place	17,859	9,031	26,890	5,566	23,317	(3,573)	21%
Housing Revenue Account	10,002	344	10,346	3,188	10,001	(345)	31%
	<u>53,505</u>	<u>9,752</u>	<u>63,257</u>	<u>16,128</u>	<u>50,671</u>	<u>(12,586)</u>	<u>25%</u>
Council Approved Original Budget - February 2015	53,505						
Corporate Services amendments	(94)						
People amendments	(684)						
Place amendments	4,970						
Carry Forward requests	7,587						
Accelerated Delivery requests to 2014/15	(582)						
Budget re-profiles (July, November and February Cabinet)	(1,872)						
New external funding	427						
Council Approved Revised Budget - September 2015	<u>63,257</u>						

Actual compared to Revised Budget spent is £16.128M or 25%

Appendix 2

Capital programme Delivery
Cummulative Capital Expenditure 2011/12 to 2015/16



Year	Outturn £m	Outturn %
2011/12	57.6	97.3
2012/13	61.0	97.9
2013/14	43.3	93.8
2014/15	34.8	83.8

SUMMARY OF CHANGES TO THE CAPITAL PROGRAMME

Appendix 6

Scheme/Event	Department	2015/16 Budget £000	2016/17 Budget £000	2017/18 Budget £000	2018/19 Budget £000	Total Budget (all years) £000
Approved Capital Programme - June Cabinet		61,775	45,901	40,281	30,272	178,229
Approved at September Cabinet						
Pier Hill Lifts replacement	Place	315				315
Beecroft and Central Museum Energy Project	Place	1,092		(720)		372
Southchurch Park Bowls Pavillion	Place	75				75
Current approved Capital Programme		63,257	45,901	39,561	30,272	178,991
Proposed changes:						
Priority Works	Corporate Services	325				325
Choice Based Lettings	People	(19)				(19)
S046 Shoebury Youth Centre	People	(10)				(10)
Chase High Boilers	People	(21)				(21)
Temple Sutton Underpinning	People	(34)	(79)			(113)
West Leigh Junior Gables	People	(70)				(70)
ASO Machinery Purchase	Place	18				18
S106 Prospects/Futures College	Place	(3)				(3)
S106 Univ H-Way0401561ful	Place	(1)				(1)
S106 - College London Rd	Place	(31)				(31)
S106 Airport 0901960 Fulm	Place		(75)			(75)
S106 Valkyrie PCT 1001566 fulm	Place	(2)				(2)
S106 North Road PCT 1001564 fulm	Place	(2)				(2)
S106 Officers Mess	Place	(70)				(70)
Pier Hill Lifts - Emergency Maintenance	Place	(4)				(4)
Flooding Repairs and Renewals	Place	(900)				(900)
Construction of New Housing on HRA Land	HRA	(66)				(66)
Virements (see Appendix 3)	Various	0	0	0	0	0
Budget re-profiles (see Appendix 4)	Various	(11,349)	10,199	1,075	75	0
New external funding (see Appendix 5)	Various	521	90	0	0	611
Current Programme - following amendments		51,539	56,036	40,636	30,347	178,558

Brackets indicate a reduction in budget

Southend-on-Sea Borough Council

Report of Corporate Director of Corporate Services
to
Cabinet

on
10th November 2015

Report prepared by: Joe Chesterton
Head of Finance and Resources

Agenda
Item No.

7

Capital Monitoring for 2015/16 and Revised Capital Programme 2015/16 to 2018/19
Policy and Resources Scrutiny Committee
Executive Councillor: Councillor Woodley
A Part 1 Public Agenda Item

1. Purpose of Report

- 1.1 The purpose of this report is to set out the capital expenditure as at 30th September 2015 and to recommend in-year amendments to the approved Capital Programme for 2015/16 to 2018/19 and later years.

2. Recommendations

That the Cabinet recommend to Council that it;

- 2.1 Note the actual capital expenditure position as at 30th September 2015 of £16.128million as shown in Section 4 and Appendix 1.**
- 2.2 Note the financing position of the Capital programme as at 30th September 2015, as set out in Section 5 of this report.**
- 2.3 Approve the proposed changes to the Capital Programme as set out in Appendix 6 to this report.**
- 2.4 Approve the revised Capital Programme for 2015/16 to 2018/19 and later years, that results from these changes, as set out in Appendix 7.**

3. Introduction and Background

- 3.1 In February 2015 the Council approved a Capital Programme for 2015/16 to 2018/19 and later years, totalling £156.221million.
- 3.2 The Capital Outturn report (June 2015) agreed a number of schemes where there were budget under-spends but financial commitments in 2015/16. In addition, due to the Council's improved ability to deliver capital schemes, some capital expenditure due in 2015/16 was delivered early in 2014/15. These

revisions were agreed at the Cabinet meeting on 23rd June 2015 resulting in a total programme for 2015/16 to 2018/19 and later years of £178.229million.

3.3 Throughout the year Capital Board notes changes to the approved capital programme to be put forward to Cabinet for approval and also reviews the latest spending position of the programme. A mid-year review of the programme has also been carried out which included capital challenge sessions with each Corporate Director, led by the Leader of the Council and supported by the Head of Finance and Resources. This review was to re-align the budgets as necessary, to reflect the latest view on the delivery and funding timescales of the projects and to delete/amend schemes as necessary to assist with the financing of the overall programme.

3.4 This report sets out the capital expenditure as at 30th September 2015 and the in-year amendments to the approved Capital Programme for 2015/16 to 2018/19 and later years, with the following appendices:

- Appendix 1 - latest capital expenditure position for the financial year;
- Appendix 2 – graph showing the capital delivery over the last 5 years;
- Appendix 3 - proposed virements between approved schemes;
- Appendix 4 - proposed re-profile of budgets between years;
- Appendix 5 – new schemes financed by new external funding (e.g. s106 and other grants);
- Appendix 6 -summary of the changes to the approved Capital Programme agreed to date and proposed changes;
- Appendix 7 – amended Capital Programme for 2015/16 to 2018/19 and later years.

4. Capital Expenditure Position As at 30th September 2015

4.1 For this report, Appendix 1 shows the latest budget and the expected capital expenditure outturn position as at 30th September 2015. The departmental figures set out in this section are as at 30th September 2015 and are as presented in the Monthly Performance Report. The resulting virements, re-profiles, budget deletions and new external funding are included in the relevant attached appendices.

4.2 The revised Capital budget for the 2015/16 financial year at 30th of September was £63.257million. This includes all changes and budgets carried forward from the previous year as agreed as part of the 2014/15 capital outturn report at June Cabinet and the changes approved at September Cabinet. Actual Capital spend at 30th September was £16.128million. (Outstanding creditors totalling £0.838million have been removed from this figure.) The budget and spend to date is broken down by Department as follows:

Department	Revised Budget 2015/16 £'000	Actual 2015/16 £'000	Expected outturn 2015/16 £'000	Expected Variance to Revised Budget 2015/16 £'000
Corporate Services	10,141	1,415	5,063	(5,078)
People	15,880	5,959	12,083	(3,797)
Place	26,890	5,566	24,125	(2,765)
Housing Revenue Account (HRA)	10,346	3,188	9,645	(701)
Total	63,257	16,128	50,916	(12,341)

Since June and September Cabinet, there have been further revisions proposed to include additional external funding and re-profiling of existing budgets between years and these will be considered for approval as part of this report.

4.3 Department for Corporate Services

4.3.1 The revised capital budget for the Department for Corporate Services is £10.141million. The budget is distributed across various scheme areas as follows:

Department for Corporate Services	Revised Budget 2015/16 £'000	Actual 2015/16 £'000	Expected outturn 2015/16 £'000	Expected Variance to Year End 2015/16 £'000
Accommodation Strategy – Main	179	135	179	-
Accommodation Strategy - CCTV	1	1	1	-
Civic Centre – Server Room	83	-	-	(83)
Tickfield	84	59	84	-
Asset Management (Property)	4,040	511	1,439	(2,601)
Cemeteries & Crematorium	2,360	71	360	(2,000)
ICT Programme	3,339	638	2,945	(394)
Subtotal	10,086	1,415	5,008	(5,078)
Priority Works (see table)	55	-	55	-
Total	10,141	1,415	5,063	(5,078)

Priority Works	£'000
Budget available	1,000
Less budget allocated to agreed schemes	(945)
Remaining budget	55

4.3.2 Actual spend at 30th September stands at £1.415million. This represents 14% of the total available budget.

4.3.3 Accommodation Strategy – Main

As part of the refurbishment of Civic 2, it was originally decided to give the toilets a minor refresh. However the toilets proved to be in a worse condition than realised so it was agreed to undertake a full refurbishment which is now underway. £75k has been allocated for the refurbishment of the toilets and for the creation of a first aid room on the lower ground floor of Civic 1. The first aid room has now been completed and the works to the Civic 2 toilets commenced on 28th September. All works will be completed by the end of November.

4.3.4 Civic Centre – Server Room

The final Server Room works have been amalgamated with other ICT schemes and the budget has been transferred over to ICT in this report to cover part of the forecast overspend on the Core Infrastructure budget.

4.3.5 Tickfield

All building works have now been completed at Tickfield. The final delivery of furniture and signage is still outstanding.

4.3.6 Asset Management (Property)

The development agreement for the Airport Business Park site has now been signed. The rugby pitch and infrastructure works are due to start during Easter 2016. It is estimated that £556k budget will be required during 2015/16 with the remaining £2.3m included as a carry forward request in this report.

The £109k budget for the second phase of the new beach huts has been included in this report as a carry forward request. This is because the project is currently on hold until 2016/17.

Works on the demolition of the Tramstop and refurbishment of Thorpe Hall Avenue toilets are currently at planning stage and they are on track to complete before the end of the financial year.

Various projects within the Victoria Avenue Improvement scheme are currently being considered and costed. It is estimated that only £40k will be required in 2015/16 for feasibility work on the East and Library car parks with the remaining £190k included as a carry forward request in this report.

The Brunel Road Redevelopment scheme is currently under consideration due to viability therefore the £2k budget has been included as a carry forward request in this report.

4.3.7 Cemeteries and Crematorium

The £10k budget for essential Crematorium equipment has been allocated for a replacement fire alarm system in the Crematorium. This is currently with the Property Services team who are carrying out a survey for the tender specification.

The work on the cremator to fully reline the hot bricks is now complete and all invoices have now been paid. This scheme has underspent by £4k which will be used to cover the over-spend on the west chapel pipe organ refurbishment.

The legal negotiating process for the purchase of the new burial ground is on-going. It is estimated that only £279k of the budget will be spent in 2015/16 therefore a carry forward request of £2.0 million has been included in this report.

The west chapel pipe organ refurbishment is complete and has been reinstalled in the West Chapel. The works came in at £4k over budget but there is an under-spend on the reline of the crematorium to cover this.

4.3.8 ICT

My Southend went live on 22nd September as part of the Citizen Account scheme.

Friars and Thorpedene libraries have been replaced by the new Hub Library located in Shoebury Youth Centre and the availability of wireless is part of the new build. Wireless has now been finalised and handed over at Leigh Library. Other libraries are yet to determine their final requirements therefore £17k of the budget has been included as a carry forward request in this report.

The budget on the Vehicle Tracking and Performance System scheme has been allocated to fund the Dial a Ride replacement system. The system has now been procured.

Works have begun on the Wireless Borough/City Deal to implement WiFi in areas of the town with high footfall and to develop greater social inclusion. The contract is now out to tender. The remaining budget of £371k has been included in this report as a carry forward request as the works are not expected to begin until 2016/17.

The final works on the new server room are taking place as part of the ICT Core Infrastructure scheme and a transfer of the £83k budget has been requested as part of this report to cover the forecast spend. A further £660k accelerated delivery request has been included to cover further planned works on this scheme.

The Re-provision of Care First scheme will continue into the next financial year and £470k of the 2015/16 budget has been included as a carry forward request in this report.

Final projects for the Capita One Enhancements and Developments are still to be discussed and agreed with Childrens Services and progress is not expected until 2016/17. A carry forward request of £32k has been included in this report to continue the project in 2016/17.

The ICT Enterprise Agreement has provided some management software called Microsoft System Centre which is currently in the process of being tested. The payment arrangements as part of the agreement cover numerous financial years therefore a carry forward request of £154k has been included in this report to continue the support.

The E-Procurement System project is currently with procurement and no action is expected in 2015/16. The full budget of £53k has been included as a carry forward request in this report.

The new Cash Receipting System went live to S-cloud on 10th August. A carry forward request of £15k has been included in this report to continue the works to the system.

The systems on the Pier are currently being upgraded and the plan for 2015/16 is to spend £155k on CCTV/Public Safety systems and Symology. The remaining £25k has been included as a carry forward request in this report.

4.3.9 Priority Works

The Priority works budget currently has £55k remaining unallocated.

4.3.10 Summary

Carry forward requests included in this report are Pier ICT Improvement Programme for £25k, Capita One Enhancements for £32k, ICT Enterprise Agreement for £154k, ICT E-Procurement System for £53k, Libraries Wireless Project for £17k, Replacement and Enhancement of Cash Receipting System for £15k, Wireless Borough City Deal for £371k, Southend Airport Business Park for £2.3m, Brunel Road Redevelopment for £2k, New Beach Huts phase two for £109k, Victoria Avenue Improvements for £190k, Re-provision of Carefirst for £470k and the New Burial Ground for £2.0 million.

An accelerated delivery request of £660k on the ICT Core Infrastructure scheme has been included in this report.

A request to transfer £83k from the Server Room to ICT is also included in this report.

4.4 Department for People

4.4.1 The Department for People revised Capital budget totals £15.880million.

Department for People	Revised Budget 2015/16 £'000	Actual 2015/16 £'000	Forecast outturn 2015/16 £'000	Variance to year end 2015/16 £'000
Adult Social Care	2,698	137	1,029	(1,669)
General Fund Housing	2,926	523	1,606	(1,320)
Children & Learning Other	180	4	116	(64)
Condition Schemes	1,381	665	1,137	(244)
Devolved Formula Capital	310	297	310	-
Primary School Places	8,385	4,333	7,885	(500)
Total	15,880	5,959	12,083	(3,797)

4.4.2 Actual spend at 30th September stands at £5.959million. This represents 38% of the total available budget.

4.4.3 Adult Social Care

The Adult Social Care budget consists of the Community Capacity grant which includes £172k for the Care Act capital scheme. The remainder of the Community Capacity grant will be spent on major adaptations that will enable vulnerable individuals to remain in their own homes and to assist in avoiding delayed discharges from hospital. £420k of this budget is estimated to be spent in 2015/16 therefore the remaining budget of £269k has been included in this report as a carry forward request.

The budget for Mental Health has now been allocated to be spent on crisis provision, enabling mental wellbeing and a community recovery college in 2015/16.

Adult Social Care also includes a £165k grant from the Department of Health for Transforming Care Housing. Plans are underway to redesign the support given to people with Learning Disabilities, Autism and Aspergers.

The feasibility study for the Viking Day Service Provision is underway. The remainder of the project is not expected to commence in 2015/16 therefore budget totalling £1.4m has been included as a carry forward request in this report.

4.4.4 General Fund Housing

A thorough review of how the Disabled Facilities service is delivered is currently being undertaken and a report on the outcome will be published in the autumn.

Only £900k of the 2015/16 budget is forecast to be spent therefore a carry forward request of £129k has been included in this report.

The Private Sector Renewal scheme is in place to ensure that the private sector stock is kept in a good condition. It is expected that £500k will be required in 2015/16 therefore the remaining budget of £744k has been included as a carry forward request in this report.

The Empty Dwellings Management scheme is currently concentrating on bringing more empty homes back into use. It is not expected that the full budget will be required in 2015/16 therefore a carry forward request of £338k has been included in this report.

The Private Sector Housing Works in Default Enforcement budget is on-going and only £60k will be required to cover enforcements in 2015/16 therefore a carry forward request of £90k has been included in this report.

The £19k budget for Choice Based Lettings is no longer required and has been removed in this report.

4.4.5 Children & Learning Other Schemes

The Short Breaks for Disabled Children scheme has only spent £4k to date and no more is expected for 2015/16. A carry forward request of £64k has been included in this report.

Retentions of £80k are being held against projects at Hinguar Primary and Kingsdown Special Schools and will be paid once outstanding snagging and defects works are completed and fully signed off. This figure is included in the creditors shown above.

4.4.6 Condition Schemes

A budget of £1.381m has been allocated to address larger conditions in schools where the cost is over the schools capabilities to fund. Of this amount, £229k is for projects started in 2014/15. Most of these works have been undertaken over the summer holidays to minimise disruption to the schools.

Retentions of £28k are being held for works completed in 2014/15 at eight primary schools. This figure is included in the creditors shown above.

The scheme to rewire Prince Avenue Primary School is ahead of schedule and the main works will be taking place in 2015/16. An accelerated delivery request of £81k has been included in this report to fund the additional works taking place.

Condition schemes at Edwards Hall and Futures Community College are progressing but they are expected to continue into 2016/17. Carry forward requests of £58k and £12k have been included in this report.

Requests to remove the budgets for Shoebury Youth Centre, Chase High Boilers, Temple Sutton Underpinning and West Leigh Junior Gables totalling £135k have been included in this report as these schemes have now finished.

The £10k grant funding on the Shoebury Youth Centre will be transferred to the Library Review scheme in Culture to replace part of the borrowing to fund the new library in Shoeburyness.

A request to transfer the £120k budget on the Youth Service Review scheme to the Library Review project in Culture has been included in this report. This is to support works on the new Library in Shoeburyness.

4.4.7 Devolved Formula Capital

This is an annual devolution of dedicated capital grant to all maintained schools. The grant for 2015/16 is £310k.

4.4.8 Primary School Places

Capital expansions, both permanent and temporary are on-going to supply primary places to meet significant increased demands. In 2015/16, spend of £8.385m is programmed. This covers large, multi-year projects at St Helen's Catholic Primary, Sacred Heart Catholic Primary, Hamstel Infant and Juniors, The Federation of Greenways Schools, Darlington Primary, St Mary's Prittlewell C of E Primary and Porters Grange Primary Schools.

The contractors are on site at St Helens and a carry forward request of £500k has been included in this report to meet contract milestones in 2016/17.

A further £79k is also being held as retention payments against works completed in 2014/15 on smaller expansion projects. This figure is included in the creditors shown above.

4.4.9 Summary

Carry forward requests that have been included in this report include Viking Day Service Provision for £1.4m, Empty Dwellings Management for £338k, Works in Default Enforcement for £90k, Community Capacity for £269k, Disabled Facilities for £129k, Private Sector Renewal for £744k, Edwards Hall Roof for £58k, Futures Heating and Pipe Ducts for £12k, St Helens for £500k and Short Breaks for Disabled Children for £64k.

An accelerated delivery request for Prince Avenue Rewire for £81k has also been included in this report.

£120k will be transferred from Youth Service Review to the Library Review Scheme in Culture.

£19k budget for Choice Based Lettings, £10k for Shoebury Youth Centre, £34k for Temple Sutton Underpinning, £70k for West Leigh Gables and £21k for Chase High Boilers will be removed from the capital programme.

4.5 Department for Place

4.5.1 The Department for Place have a revised capital budget of £26.890million. Budget and spend breakdown of the key capital schemes are tabulated below.

Department for Place	Revised Budget 2015/16 £'000	Actual 2015/16 £'000	Expected outturn 2015/16 £'000	Expected Variance to Year End 2015/16 £'000
Culture	3,748	1,622	3,517	(231)
Enterprise, Tourism & Regeneration	3,972	62	2,993	(979)
Coastal Defence	2,606	587	1,733	(873)
Highways and Infrastructure	4,847	509	4,847	-
Parking Management	550	98	550	-
Section 38 & 106 Agreements	1,690	94	1,038	(652)
Local Transport Plan	2,794	1,134	2,794	-
Local Growth Fund	2,420	189	2,420	-
Transport	814	387	784	(30)
Waste	597	565	597	-
Energy Saving Projects	2,852	319	2,852	-
Total	26,890	5,566	24,125	(2,765)

4.5.2 Actual spend at 30th September stands at £5.566million. This represents 21% of the total available budget.

4.5.3 Culture

The drainage works at Belfairs Golf Course are now complete. Other drainage works at Belfairs Park and Southchurch Park are on site and nearing completion.

New external funding totalling £260k from Sport England to part fund the Belfairs Swim Centre Health and Safety works has been added to the capital

programme in this report. The project is now complete and this grant will fund works already carried out.

Works to replace the floor in the auditorium at the Cliffs Pavilion are now complete. External works above the Maritime Room are on-going with a scheduled completion date of March 2016. A specification for tender for the under-croft piping replacement is currently being drawn up with a proposal to go out during November.

Works have now commenced on the Hard Surface Path Improvements in the Parks with a newly appointed contractor. Subject to weather conditions, the works should complete within the current financial year.

The Library Review was partly used to fund the new Shoeburyness Library which opened on 14th September. A programme of works is currently being developed for improvements at Leigh, Westcliff and Kent Elms Libraries to utilise the remainder of the budget. A carry forward request of £130k has been included in this report to continue these works.

New external funding for £10k from Cory Environmental has been added to the capital programme in this report to fund works on the Milton Gardens Children's Multi Play Unit.

Scheduled Ancient Monument Consent has now been received for the works to Priory Park Water Main. A start date has been proposed for 26th October.

The publication date for the research on the Prittlewell Prince is later than anticipated therefore the full budget of £38k has been included in this report as a carry forward request.

Works are progressing on the refurbishment of the war memorials within the Borough. The works on the Cenotaph have been completed and the refurbishment of the Victory Sports Ground gates commenced on 31st August. Future works are scheduled for the St Erkenwalds Memorial at Sutton Road Cemetery and the Priory Park War Memorial.

Plans are being made for the use of the Pump Priming Budget for 2016/17 and the full budget of £333k has been included in this report as a carry forward request.

4.5.4 Enterprise, Tourism & Regeneration

The Regeneration projects include all the work currently taking place on Southend Pier and the City Deal Incubation Centre as well as the Coastal Communities Fund.

Tenders for the concrete and structural repairs needed on the pier have come in over budget and are currently being value engineered to propose a way forward.

A technical issue with the repair of the Prince George Extension on the pier has caused delays and it is likely that a carry forward request will be required once more detail is known for the costs involved in 2015/16.

The timeframe for spend on the Coastal Communities Fund has been extended by the Big Lottery and CLG. Negotiations are continuing with the Yacht club to enable delivery of the lagoon and costs of enabling works are being explored. It is estimated that around £200k will be spent in 2015/16 with the remaining £948k included as a carry forward request in this report.

The works for emergency maintenance on Pier Hill lifts are now complete and there is a forecast under-spend of £4k once the final invoices have come in. This has been removed from the capital programme in this report.

The £27k budget on Western Esplanade Cliffs Stabilisation is no longer required and the full budget will be transferred to cover the over-spend on the Coastal Defence Storm Damage scheme. This has been included in this report.

4.5.5 Coastal Defence

Works for the cliff stabilisation at Clifton Drive commenced on 12th April and are progressing well.

A grant from DeFRA is in the process of being claimed for improving resilience to private properties. The budget for this was originally set at £1.5m but it is expected that only £600k will be spent therefore £900k has been removed from the budget in this report.

The £27k over-spend relates to the Coastal Defence Storm Damage scheme and a transfer from Western Esplanade Cliffs stabilisation will be used to cover this.

4.5.6 Highways and Infrastructure

Plans for 2015/16 are currently in hand and implementation works have commenced for the Highways Planned Maintenance schemes. These will continue on a phased basis for the remainder of the financial year.

Further discussions have taken place with Network Rail about moving the fence separating the Cinder Path from Essex Thameside Rail Link. Once the estimate has been received from the contractor, works can be programmed to coincide with the next appropriate rail possession. Resurfacing of the widened Cinder Path can then commence. It is anticipated that works will commence after Christmas with the associated resurfacing works planned before the end of the financial year. The programme is dependent on a suitable quote being received from the contractor.

The revised Street Lighting budget is a multi-million pound, multi-year scheme to be part funded by the Challenge fund from the Department for Transport. Surveys have commenced to prepare for the cast iron replacements and the sleeve manufacturer has started measuring the concrete columns so that materials can be ordered. The contractor is currently working in Eastwood to fit sleeves to concrete columns where LED lanterns have been fitted previously. This work will continue for the remainder of the year.

4.5.7 Parking Management

Works to the Civic Centre North car park are well underway and expected to complete during October. Any surplus funding will be utilised for works on the Library car park and works to improve the other Borough car parks.

4.5.8 Section 38 and Section 106 Schemes

There are a number of S38 and S106 schemes all at various stages. The larger schemes include works to Fossetts Farm Bridleway and North Shoebury Road.

Schemes totalling £604k have been identified as delayed until 2016/17 therefore a carry forward request has been included in this report. £61k of additional S106 funds and the removal of £109k S106 funds have also been included in this report.

4.5.9 Local Transport Plans (LTP Schemes)

Various schemes are now underway for Better Networks and Better Sustainable Transport. A few delays are currently being experienced in the works for Better Operations of Traffic Control Systems and the Highways team are currently working to resolve this.

Programmed resurfacing works have now commenced and are set to continue for the remainder of the summer months.

4.5.10 Local Growth Fund

The A127 Growth Corridor projects will support the predicted growth associated with London Southend Airport and the Joint Area Action Plan (JAAP) proposals developed by Southend, Rochford and Essex County Councils to release land and create 7,380 high value jobs on new business. The improvement will also support background growth of Southend and Rochford.

The business cases for A127 Kent Elms and Bridge and Highway Maintenance have been approved by South East Local Enterprise Partnership Individual Technical Evaluator to draw down 2015/16 funding. Further work is underway to support the business cases for 2016/17 onwards. 2015/16 works for Kent Elms will be focussing on the design and construction of the advance surface crossing works and design and survey work for the main junction improvement works. Advance utility diversion works for the new surface crossing are nearing completion. Ground penetration radar and drainage surveys are complete and being reviewed. A bridge survey has been commissioned and is currently waiting for a programme. Works on the Bell junction will be focussing on survey work to inform the design for the main junction improvement works. Once Kent Elms survey works have been received and reviewed, similar surveys will be commissioned for the Bell. Bridge and Highway Maintenance will be focussing on investigation works for the improvement to the A127 corridor and also necessary surfacing to the east bound section of the A127 from Boundary to just prior to the Progress Road improvement works.

4.5.11 Transport

Main works on the A127 Tesco junction improvements were completed on 29th March 2015 with the switching on of the traffic signals. Traffic signal monitoring will continue to be adjusted as necessary. Repairs to the defects are now underway.

Minor adjustments to traffic signals on Progress road are yet to be completed.

Southend Transport Model is an on-going scheme to support various multi modal transport projects. Spend is expected at £62k in 2015/16 and a carry forward request of £30k has been included in this report.

4.5.12 Waste

There are commitments for the year in relation to the Commercial Waste and Recycling Centre which will involve ground works, a salt dome and refurbishment of the toilets. Some disused buried structures and contaminated soil have been discovered on site and this has slightly delayed the project. Works are due to finish during October with final snagging to be carried out and the final accounts to be reviewed.

4.5.13 Energy Saving Projects

Solar panels have been part installed at Southend Adult Community College and Temple Sutton Primary School. The gas boilers have now been installed at Southend Adult Community College and a tender for energy efficiency work is due to go out at the end of October. The biomass boiler installation started on 3rd October at Temple Sutton and the windows installation is to be completed by February 2016 based on weekend and holiday working.

Some improvements have been made to the Civic Centre heating controls but this has not fully resolved the issue. New thermostats are due to be installed along with a new burner and gas booster on the boiler and this is now out to tender.

4.5.14 Summary

Carry forward requests that have been included in this report are Prittlewell Prince Museum for £38k, Pump Priming Budget for £333k, Library Review for £130k, Coastal Communities Fund for £948k, Southend Transport Model for £30k and various S106 schemes totalling £604k.

£61k of S106 funds have been added and £109k have been removed as part of this report.

The under-spend on the Pier Hill lifts emergency maintenance of £4k and £900k for the Flooding Repairs and Renewals have been removed from the capital programme as part of this report.

A request to transfer the £27k budget on Western Esplanade Cliffs Stabilisation to Coastal Defence Storm Damage has been included in this report.

New external funding totalling £260k will be added to the capital programme for Belfairs Swim Centre Health and Safety works and £10k for the Milton Gardens Children's Multi Play Unit.

4.6 Housing Revenue Account

4.6.1 The revised budget for the Housing Revenue Account capital programme for 2015/16 is £10.346million. The latest budget and spend position is as follows:

Housing Revenue Account	Revised Budget 2015/16 £'000	Actual 2015/16 £'000	Expected outturn 2015/16 £'000	Forecast Variance to Year End 2015/16 £'000
Decent Homes Programme	7,314	2,825	6,994	(320)
Council House Adaptations	500	239	500	-
Sheltered Housing Remodelling	345	-	-	(345)
32 Byron Avenue	16	-	16	-
Other HRA	2,171	124	2,135	(36)
Total	10,346	3,188	9,645	(701)

4.6.2 The actual spend at 30th September of £3.188million represents 31% of the HRA capital budget.

4.6.3 Decent Homes Programme

The on-going Decent Homes schemes are continuing in 2015/16. Kitchens, bathrooms and electrical works are programmed to be complete by December 2015. Additional works are being undertaken to upgrade boilers following requirements identified during the Gas Servicing Programme.

Some of the works for Common Area Improvements and Environmental Health and Safety are currently delayed and carry forward requests of £220k and £100k have been included in this report.

4.6.4 Council House Adaptions

This budget relates to minor and major adaptations in council dwellings. Spend depends on the demand for these adaptations and works are currently in progress for 2015/16.

4.6.5 Sheltered Housing Remodelling

There are no plans for the Sheltered Housing Remodelling budget in 2015/16. The budget of £345k has been included in this report as a carry forward request.

4.6.6 S106/RTB funded schemes

The build at 32 Byron Avenue is now complete and settlement of the final accounts is expected by the end of 2015.

4.6.7 Other HRA

A scheme to review HRA land is currently underway and planning permission was received on 15th April for the proposed sites. The plan is to construct 18 housing units within the Shoeburyness ward. The tender for construction is currently out for approval with an anticipated start date on site in early 2016. £30k S106 funding has been received to contribute to the feasibility stage of this scheme. A further budget for a S106 contribution as part of the main scheme was duplicated and £66k has been removed from the programme as part of this report to correct this.

4.6.8 Summary

Carry forward requests for Common Area Improvements for £220k, Environmental Health and Safety for £100k and Sheltered Housing for £345k have been included in this report.

£30k S106 contribution has been added to the HRA Land Review feasibility and £66k has been removed from the HRA Land Review main scheme as part of this report.

5 Capital financing position as at 30th September 2015

5.1 The Capital programme for 2015/16 is expected to be financed as follows:

Department	External Funding			Total Budget £'000
	SBC Budget	Grant Budget	Developer & Other Contributions	
	£'000	£'000	£'000	
Corporate Services	10,074	67	-	10,141
People	3,373	12,507	-	15,880
Place	15,160	9,740	1,990	26,890
Housing Revenue Account (HRA)	10,232	-	114	10,346
Total	38,839	22,314	2,104	63,257

The funding mix for the total programme could change depending on how much grant and external contributions are received by the Council by the end of the year.

5.2 The grants and external contributions received as at 30th September are as follows:

Department	Grant Budget	Developer & Other Contribution Budget	Total external funding budget	External funding received	External funding outstanding
	£'000	£'000	£'000	£'000	£'000
Corporate Services	67	-	67	67	-
People	12,507	-	12,507	9,958	2,549
Place	9,740	1,990	11,730	7,787	3,943
Housing Revenue Account (HRA)	-	114	114	35	79
Total	22,314	2,104	24,418	17,847	6,571

5.3 New Schemes to be financed by new external funding are set out in appendix 5.

6 Summarised Changes (as set out in appendix 6)

- 6.1 On 26th February 2015 the Capital Programme for the Council was approved as follows:

2015/16 Budget £000	2016/17 Budget £000	2017/18 Budget £000	2018/19 & later years Budget £000	Total Current Budget £000
53,505	34,648	37,296	30,772	156,221

- 6.2 On 23rd June 2015 members approved further changes which subsequently changed the 2015/16 budget from £53.505million to £61.775million. These changes included the carry forward and accelerated delivery of previous year's programme of £7.005million. Also included were budget re-profiles and adjustments totalling £1.872million, new external funding of £1.852million and other adjustments of £1.285million.
- 6.3 On 22nd September 2015 members approved further changes to the 2015/16 budget of £1.482million bringing the budget to £63.257million.
- 6.4 Further changes now requested will amend the 2015/16 budget to £51.539million. These include the removal of budgets identified as no longer required totalling £1.233million and a new budget of £325k relating to the exit costs for 93-99 Southchurch Road to be funded from Reserves. Also a new budget of £18k to be added to the Culture Capital Programme to be funded from Capital Receipts following the sale of some machinery to fund some new items. The changes also include the following:
- 6.5 Virement requests (as set out in appendix 3)

This is reported in appendix 3 and it is to ensure that budgets are properly aligned to schemes. There is no change to the overall budget and there are no adverse financial implications as a result of these virements.

- 6.6 Re-profile Request (as set out in appendix 4)

The funding profiles of a number of schemes have been revised in line with actual works. This is to ensure that the actual works undertaken or earmarked is aligned to spend profile of the budget. This will have the effect of carrying forward £12.090million from 2015/16 into 2016/17 and later years and an accelerated spend of £0.741million in 2015/16 which will be financed from the 2016/17 and 2017/18 programmes. This does not alter the overall budget for the programme.

- 6.7 New Schemes Financed by new external funding (as set out in appendix 5)

There is new funding of £0.521million to support programmes in 2015/16 and £0.090million to support programmes in 2016/17.

7. Spending Plans

- 7.1 The Council's revised Capital Programme for 2015/16 to 2018/19 and later years is attached as Appendix 7 which includes all of the adjustments set out in Appendix 6.
- 7.2 The proposed capital programme represents a significant investment of £179million on the part of the Council in the Southend area and the projected investment in 2015/16 alone amounts to some £52million.

8. Other Options

- 8.1 The proposed Capital Programme is made from a number of individual projects, any of which can be agreed or rejected independently of the other projects.

9. Reasons for Recommendations

- 9.1 To approve changes to the Capital Programme as noted at Capital Board and other proposed changes since the last Cabinet meetings on 23rd June 2015 and 22nd September 2015.

10. Corporate Implications

- 10.1 Contribution to Council's Vision & Corporate Priorities

The projects directly contribute to the delivery and achievement of the Councils Corporate Priorities.

- 10.2 Financial Implications

As set out in the report.

- 10.3 Legal Implications

None at this stage.

- 10.4 People Implications

None at this stage.

- 10.5 Property Implications

None at this stage.

- 10.6 Consultation

Consultation has taken place as agreed in the budget timetable.

10.7 Equalities and Diversity Implications

Assessments have been carried out for all capital investment proposals.

10.8 Risk Assessment

All capital projects are delivered using best practice project management tools as appropriate. This requires a full risk assessment and management review to be carried out. The programme includes an appropriate sum within each project to cover build risk and claims.

10.9 Value for Money

All projects are required to follow and adhere to procurement guidance issued by the Council. They must also comply with procedure rules for entering into and managing contracts with suppliers.

10.10 Community Safety Implications

Assessments have been carried out for all capital investment proposals where appropriate.

10.11 Environmental Impact

Assessments have been carried out for all capital investment proposals where appropriate.

11. Background Papers

None.

12. Appendices

Appendix 1 - latest capital expenditure position for the financial year;

Appendix 2 - graph showing the capital delivery over the last 5 years;

Appendix 3 - proposed virements between approved schemes;

Appendix 4 - proposed re-profile of budgets between years;

Appendix 5 – new schemes financed by new external funding (e.g. s106 and other grants);

Appendix 6 - summary of the changes to the approved capital programme agreed to date and proposed changes;

Appendix 7 – amended Capital Programme for 2015/16 to 2018/19 and later years.

Summary of Capital Expenditure at 30th September 2015

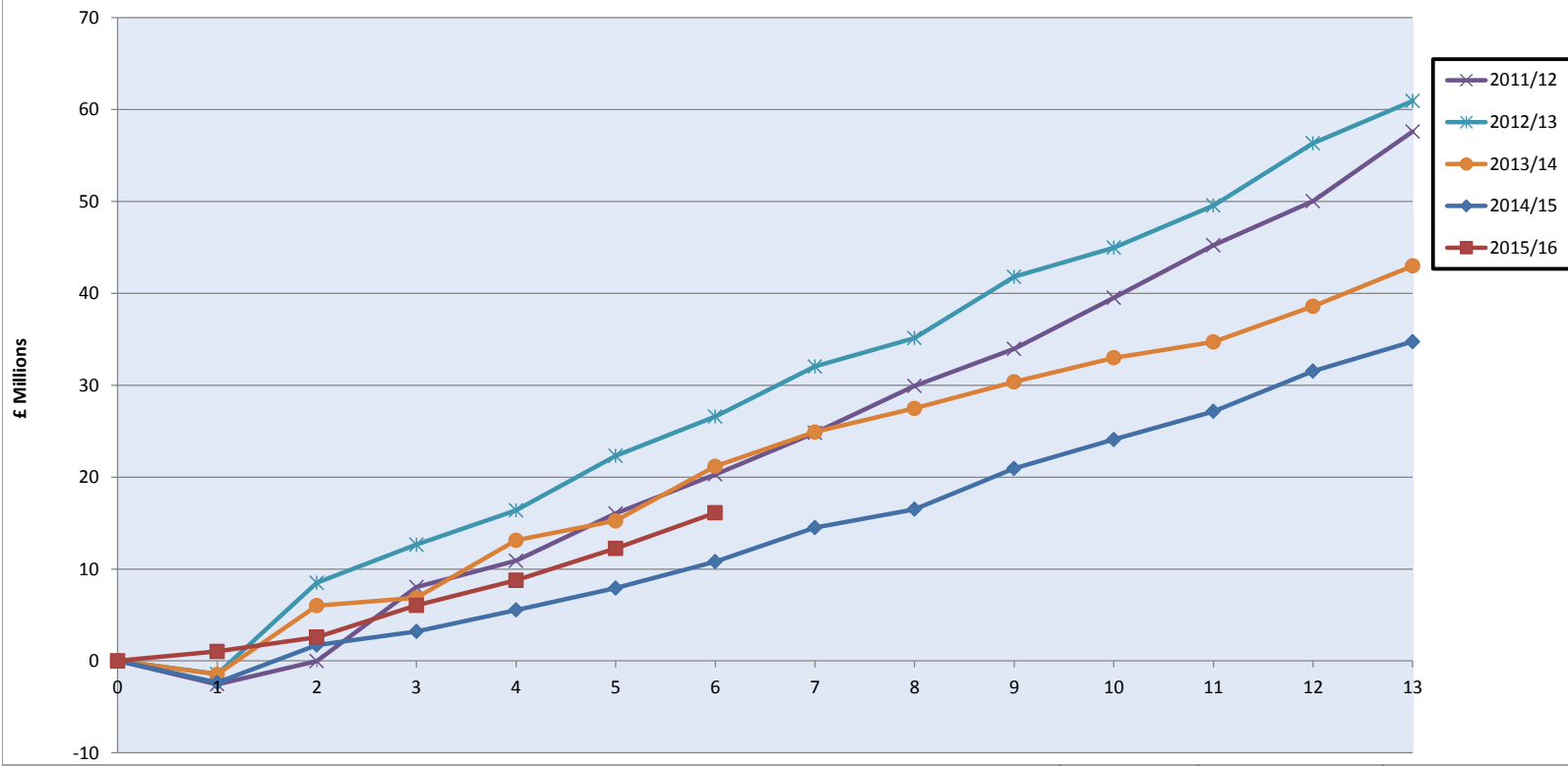
Appendix 1

	Original Budget 2015/16 £000	Revisions £000	Revised Budget 2015/16 £000	Actual 2015/16 £000	Forecast outturn 2015/16 £000	Forecast Variance to Year End 2015/16 £000	% Variance
Corporate Services	10,252	(111)	10,141	1,415	5,063	(5,078)	14%
People	15,392	488	15,880	5,959	12,083	(3,797)	38%
Place	17,859	9,031	26,890	5,566	24,125	(2,765)	21%
Housing Revenue Account	10,002	344	10,346	3,188	9,645	(701)	31%
	<u>53,505</u>	<u>9,752</u>	<u>63,257</u>	<u>16,128</u>	<u>50,916</u>	<u>(12,341)</u>	<u>25%</u>
Council Approved Original Budget - February 2015	53,505						
Corporate Services amendments	(94)						
People amendments	(684)						
Place amendments	4,970						
Carry Forward requests	7,587						
Accelerated Delivery requests to 2014/15	(582)						
Budget re-profiles	(1,872)						
New external funding	427						
Council Approved Revised Budget - September 2015	<u>63,257</u>						

Actual compared to Revised Budget spent is £16.128M or 25%

Appendix 2

Capital programme Delivery
Cummulative Capital Expenditure 2011/12 to 2015/16



Year	Outturn £m	Outturn %
2011/12	57.6	97.3
2012/13	61.0	97.9
2013/14	43.3	93.8
2014/15	34.8	83.8

VIREMENTS BETWEEN APPROVED SCHEMES

Appendix 3

Scheme/Event	Department	Project Code	Project Description	2015/16 Budget £000	2016/17 Budget £000	2017/18 Budget £000	2018/19 Budget £000	Total Budget (all years) £000
ICT Capital Programme	Corporate Services	C10114	ELAN System Replacement	(10)				(10)
	Corporate Services	C10634	ICT Genogram Implementation	(10)				(10)
	Corporate Services	C10638	ICT YOIS Replacement	(10)				(10)
	Corporate Services	C10185	Integrated Childrens Services	(18)				(18)
	Corporate Services	C10186	Social Care IT Infrastructure	(41)				(41)
	Corporate Services	C10547	Web and Intranet Improvements	(3)				(3)
	Corporate Services	C10576	ICT Rolling Replacement Programme	92				92
	Corporate Services	C10577	New Server Room	(83)				(83)
	Corporate Services	C10606	ICT Enterprise Architecture Programme (EAP)	(267)				(267)
	Corporate Services	C10575	ICT Core Infrastructure	350				350
Asset Management Capital Programme	Corporate Services	C10612	East Beach CCTV	(2)				(2)
	Corporate Services	C10630	Focus House Demolition	2				2
Priority Works	Corporate Services	C10121	Priority Works	(71)				(71)
	Corporate Services	C10734	Pier Arches toilets - waterproofing solution	71				71
	Corporate Services	C10121	Priority Works	(10)				(10)
	Corporate Services	C10072	Accommodation Strategy	10				10
Schools Capital Programme	People	C10642	FSM Kitchen works	(75)				(75)
	People	C10063	Thorpe Greenways	(35)				(35)
	People	C10619	Thorpe Greenways Infant/Junior School	110				110
	People	C10534	Hamstel Infant Fire Systems (H&S)	(28)				(28)
	People	C10715	Hamstel Junior Rising Damp	(17)				(17)
	People	C10116	Hamstel Infant & Juniors Places	45				45
	People	C10024	Future condition projects	(19)				(19)
	People	C10036	Milton Hall Primary Places	4				4
	People	C10119	Porters Grange Primary Places	10				10
	People	C10620	Sacred Heart Primary School	5				5
	People	C10622	Youth Service Review	(120)				(120)
	Place	C10624	Library Review	120				120
S106 Capital Programme	Place	C10666	S106 Elm Gate 1000655FULM	(15)				(15)
	Place	C10699	Local Growth Fund - A127 Growth Corridor	15				15
Enterprise, Tourism and Regeneration Capital Programme	Place	C10111	Two Tree Rowing Feasibility	(177)				(177)
	Place	C10658	Coastal Communities Fund	177				177
Highway Capital Programme	Place	C10010	Western Esplanade Cliffs Stabilisation	(27)				(27)
	Place	C10660	Coastal Defence - Storm Damage	27				27
	Place	C10708	LTP Maintenance - Street Lighting	(210)	(130)	(183)		(523)
	Place	C10076	LTP Maintenance	210	130	183		523

VIREMENTS BETWEEN APPROVED SCHEMES

Appendix 3

Scheme/Event	Department	Project Code	Project Description	2015/16 Budget £000	2016/17 Budget £000	2017/18 Budget £000	2018/19 Budget £000	Total Budget (all years) £000
HRA Capital Programme	HRA	C10586	Remodelling Nestuda	(7)				(7)
	HRA	C10177	Sheltered Housing DDA Works	7				7
	HRA	C10161	Bathroom Refurbishment	80				80
	HRA	C10162	Central Heating	142				142
	HRA	C10163	Environmental - H&S works	(192)				(192)
	HRA	C10164	Kitchen Refurbishments	141				141
	HRA	C10165	Rewiring	(70)				(70)
	HRA	C10168	Common Areas Improvement	(101)				(101)
<u>Budget Adjustments already actioned</u>								
Priority works	Corporate Services	C10121	Priority Works	(325)				(325)
	Corporate Services	C10706	93-99 Southchurch Road exit costs	325				325
	Corporate Services	C10121	Priority Works	(32)				(32)
	Corporate Services	C10644	East Beach Café Project	32				32
	Corporate Services	C10121	Priority Works	(60)				(60)
	Place	C10725	Palace Theatre - Replacement of External Windows	60				60
	Corporate Services	C10121	Priority Works	(6)				(6)
	Corporate Services	C10597	38 Satanita Road - Priority works	6				6
	Corporate Services	C10121	Priority Works	(30)				(30)
	Place	C10732	Chase Sports & Fitness Centre - Fire Alarm	30				30
<u>Virements actioned as a result of S046</u>								
Priority works	Corporate Services	C10121	Priority Works	(25)				(25)
	Corporate Services	C10733	The Leas Ventilation equipment trench	25				25
Coastal Defence and Foreshore	Place	C10011	Shoebury Common Sea Defence Scheme	(60)				(60)
	Place	C10115	Cinder Path	(210)				(210)
	Place	C10683	Cliff Stabilisation - Clifton Drive	270				270
HRA Capital Programme	HRA	C10177	Sheltered Housing DDA works	(70)				(70)
	HRA	C10614	Strategic acquisition of tower block leaseholds	70				70
				0	0	0	0	0

RE-PROFILES AND AMENDMENTS

Appendix 4

Scheme/Event	Department	Code	Code Description	2015/16 Budget £000	2016/17 Budget £000	2017/18 Budget £000	2018/19 Budget £000	Total Budget (all years) £000
								0
Asset Management schemes	Corporate Services	C10631	New Beach Huts Phase 2	(109)	109			0
	Corporate Services	C10208	Brunel Road Redevelopment	(2)	2			0
	Corporate Services	C10261	Airport Business Park	(2,300)	2,300			0
	Corporate Services	C10632	Victoria Avenue Improvements	(190)	190			0
Cemeteries and Crematorium schemes	Corporate Services	C10054	New Burial Ground	(2,000)	2,000			0
ICT Capital schemes	Corporate Services	C10637	ICT Reprovision of Carefirst	(470)	470			0
	Corporate Services	C10633	ICT - Capita One Enhancements/Developments	(32)	32			0
	Corporate Services	C10636	ICT Enterprise Agreement	(154)	154			0
	Corporate Services	C10635	ICT E-Procurement Solution	(53)	53			0
	Corporate Services	C10663	Libraries Wireless Project	(17)	17			0
	Corporate Services	C10578	Replacement & Enhancement to Cash Receipting Sy	(15)	15			0
	Corporate Services	C10580	Wireless Borough/City Deal	(371)	371			0
	Corporate Services	C10575	ICT Core Infrastructure	660	(330)	(330)		0
	Corporate Services	C10698	Pier and Foreshore ICT Improvement Programme	(25)	25			0
Adult Social Care schemes	People	C10526	Community Capacity	(269)	269			0
	People	C10621	Viking Day Service Reprovision	(1,400)		1,400		0
General Fund Housing schemes	People	C10503	PSH Works in Default - Enforcement Work	(90)	90			0
	People	C10020	Empty Dwelling Management	(338)	338			0
	People	C10145	Disabled Facilities - Adults	(129)	129			0
	People	C10146	Private Sector Renewal	(744)	744			0
Schools Capital schemes	People	C10618	St Helens to FE	(500)	500			0
	People	C10650	Prince Avenue Fire Systems and Rewire	81	(81)			0
	People	C10713	Edwards Hall Roof	(58)	58			0
	People	C10714	Futures Heating and Pipe Ducts	(12)	12			0
	People	C10282	AHDC Short Breaks for Disabled Children	(64)	64			0
Coastal Communities Fund	Place	C10658	Coastal Communities Fund	(948)	948			0

RE-PROFILES AND AMENDMENTS

Appendix 4

Scheme/Event	Department	Code	Code Description	2015/16 Budget £000	2016/17 Budget £000	2017/18 Budget £000	2018/19 Budget £000	Total Budget (all years) £000
S106 Capital schemes	Place	C10199	S106 Ajax Works 0300130ful	(5)	1	1	3	0
	Place	C10269	S106 Lifstan Way 0000273 Out	(80)	4	4	72	0
	Place	C10274	S106 Airport 0901960 Fulm	(4)	4			0
	Place	C10073	S106 Seec 0200500ful	(104)	104			0
	Place	C10652	S106 53 Pavilion Dr 0701870OUTM	(128)	128			0
	Place	C10205	S106 Nth Sbury Rd 0301504out	(283)	283			0
Culture Capital schemes	Place	C10043	Prittlewell Prince Museum	(38)	38			0
	Place	C10044	Pump Priming Budget	(333)	333			0
	Place	C10624	Library Review	(130)	130			0
Highways and Transport schemes	Place	C10058	Southend Transport Model	(30)	30			0
HRA Capital schemes	HRA	C10177	Sheltered Housing DDA works	(345)	345			0
	HRA	C10163	Environmental H&S works	(100)	100			0
	HRA	C10168	Common Areas Improvement	(220)	220			0
				(11,349)	10,199	1,075	75	0

NEW SCHEMES FINANCED BY EXTERNAL FUNDING

Appendix 5

Scheme/Event	Department	Project Code	Project Description	2015/16 Budget £000	2016/17 Budget £000	2017/18 Budget £000	2018/19 Budget £000	Total Budget (all years) £000
Schools Capital schemes	People	C10735	Earls Hall Amalgamation	100				100
	People	C10736	Leigh North Street Amalgamation	60				60
Culture Capital schemes	Place	C10728	Milton Gardens - Childrens Multi-Play Unit	10				10
	Place	C10623	Belfairs Swim Centre H & S	260				260
S106/S78 Capital Schemes	Place	C10691	S106 279 Fairfax Drive 1101349FULM			75		75
	Place	C10218	S106 285 Sutton Rd 1001190FULM	25	15			40
	Place	C10264	S106 7-9 Pembury Road 0902326FULM	9				9
	Place	C10277	S106 21 Station Rd 0401552 FUL	12				12
	Place	C10730	S78 Bellway Homes 14/00943/fulm	15				15
HRA Capital schemes	HRA	C10685	S106 HRA Land Review	30				30
				521	90	0	0	611

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Scheme	Project code	2015/16 Budget £000	2016/17 Budget £000	2017/18 Budget £000	2018/19 & later years Budget £000	Total Current Budget £000
Corporate Services						
Accommodation Strategy	C10072	189				189
	Total Accomodation Strategy - main	189				189
CCTV relocation & equipment upgrade	C10527	1				1
	Total Accomodation Strategy - CCTV	1				1
Tickfield - Creating Capacity	C10687	84				84
	Total Tickfield	84				84
38 Satanita Road - Priority works	C10597	15				15
93-99 Southchurch Road - exit costs	C10706	338				338
Accommodation Review - Queensway House	C10511	10				10
Airport Business Park	C10261	556	6,405	4,101		11,062
Brunel Road Redevelopment	C10208		50			50
Capital Allocation to Discharge EEDA agreement	C10656		164			164
East Beach Café Project	C10644	32				32
East Street Cottages	C10674	30				30
Focus House Demolition	C10630	160				160
New Beach Huts Phase 2	C10631		109			109
Pier Arches Remedial works	C10604	6				6
Pier Arches toilets - waterproofing solution	C10734	71				71
Pier North End roof repairs	C10600	9				9
Porters Civic House and Cottage	C10571	13				13
Porters Civic House - Repairs to Building	C10657	3				3
Seaways Development Enabling Works	C10643		760			760
The Leas Ventilation equipment trench	C10733	25				25
Toilet Refurbishment Thorpe Hall Avenue	C10703	190				190
Urgent Works To Property	C10181	12				12
Victoria Avenue Improvements	C10632	40	3,940	4,000		7,980
	Total Asset Management	1,510	11,428	8,101		21,039
Cremator Hearth Replacement	C10677		22	12		34
Crematorium Re-Quip (Mercury)	C10055		16			16
Essential Crematorium/Cemetery Equipment	C10572	10	175			185
Mandatory Works to Cremators	C10573	41				41
New Burial Ground	C10054	279	2,000			2,279
West Chapel Pipe Organ - refurbishment	C10678	30				30
	Total Cemeteries & Crematorium	360	2,213	12		2,585

Scheme	Project code	2015/16 Budget £000	2016/17 Budget £000	2017/18 Budget £000	2018/19 & later years Budget £000	Total Current Budget £000
Agresso Enterprise Resource Planning (ERP) system	C10238	73				73
Citizen Account phase 2	C10574	58				58
DEFRA Inspire III	C10640	8				8
ICT Capita One Enhancements/Developments	C10633	56	32			88
ICT Core Infrastructure	C10575	1,420				1,420
ICT Enterprise Agreement	C10636	46	354	200		600
ICT E-Procurement Solution	C10635		82	29		111
ICT Reprovision of Carefirst	C10637	603	1,605			2,208
ICT Rolling Replacement Programme	C10576	171	200	200		571
IT Human Resources Case Management System	C10679	20				20
Libraries Wireless Project	C10663	8	17			25
Library RFID	C10117	18				18
Pier and Foreshore ICT Improvement Programme	C10698	155	25			180
Replacement and Enhancement to Cash Receipting System	C10578	17	15			32
Software Licencing	C10426	256	250	250		756
Vehicle Tracking and Performance System	C10579	9				9
Wireless Borough/City Deal	C10580	27	371			398
	Total ICT Programme	2,945	2,951	679		6,575
Priority Works	C10121	299	978	988		2,265
	Total Priority Works	299	978	988		2,265
	Total Department for Corporate Services	5,388	17,570	9,780		32,738

Scheme	Project code	2015/16 Budget £000	2016/17 Budget £000	2017/18 Budget £000	2018/19 & later years Budget £000	Total Current Budget £000
Department for People						
Community Capacity	C10526	420	269			689
Dementia Friendly Environments	C10598	44				44
Mental Health Funding Stream	C10184	75				75
Priory House - Condition Works	C10693	225				225
Transforming Care Housing	C10689	165				165
Viking Day Service Reprovision	C10621	100	500	1,400		2,000
Total Adult Social Care		1,029	769	1,400		3,198
Disabled Facilities - Adults	C10145	900	1,344			2,244
Empty Dwelling Management	C10020	60	413	75		548
PSH Works in Default - Enforcement Work	C10503	58	140	50		248
Private Sector Renewal	C10146	500	744			1,244
Warmer Healthy Homes Expenditure	C10255	88				88
Total General Fund Housing		1,606	2,641	125		4,372
AHDC Short Breaks for Disabled Children	C10282	4	64			68
Kingsdown Phase 2	C10032	22				22
New Primary School (Hinguar)	C10030	15				15
Total Children & Learning Other Schemes		41	64			105

Scheme	Project code	2015/16 Budget £000	2016/17 Budget £000	2017/18 Budget £000	2018/19 & later years Budget £000	Total Current Budget £000
Blenheim Curtain Walling	C10646	137				137
Bournes Green Junior Boiler	New			135		135
Bournes Green Junior Pipe Works	C10528	5				5
Bournes Green Junior Roof	New		168			168
Chalkwell Infants Hall/Kitchen Windows	New			23		23
Chalkwell Infants Main Building Windows	New			45		45
Chalkwell Infants Roof	New			12		12
Chase High Boilers	C10529	10				10
Earls Hall Amalgamation	C10735	100				100
Earls Hall Ducts and Pipework	C10711	23	56	68		147
Earls Hall Underpinning	C10712	25				25
Edwards Hall Roofs	C10713	110	58			168
Earls Hall Roof Drainage	New		28			28
Fairways Fire Alarm	New			42		42
Friars Boilers	New		196			196
Friars Curtain Walling	C10532	20				20
Friars Fire Systems Replacement	C10647	71	35			106
Future condition projects	C10024	154	162	142		458
Futures College Roof	C10648	23				23
Futures Heating and Pipe Ducts	C10714		292	168		460
Futures Rewire	New			84		84
Hamstel Juniors Fire Systems (H&S)	C10534		28			28
Hamstel Infants Windows	New			40		40
Hamstel Junior Windows	New		84	84		168
Kingsdown Fire System Upgrade	New		34			34
Kingsdown Roof Fire Breaks (H&S)	C10536	17				17
Leigh North Street Amalgamation	C10736	60				60
Prince Avenue Fire Systems and Rewire	C10650	202	36			238
Porters Grange Boilers	New			140		140
Richmond Roof	New			17		17
Temple Sutton Underpinning	C10716	45				45
Thorpedene Boiler	C10717	135				135
Thorpedene Water Tanks	C10540	11				11
West Leigh Infant Roofs	C10718	23	34			57
West Leigh Junior Gables	C10720	62				62
Total Condition Schemes		1,233	1,211	1,000		3,444

Scheme	Project code	2015/16 Budget £000	2016/17 Budget £000	2017/18 Budget £000	2018/19 & later years Budget £000	Total Current Budget £000
Devolved Formula Capital	C10014	310				310
Total Devolved Formula Capital		310				310
Basic Need Future Demand	C10641	214				214
Darlinghurst Hall	C10530	209				209
Expansion of 2 yr old Childcare Places	C10558	121				121
Hamstel Infant & Juniors Places	C10116	1,476				1,476
Milton Hall Primary Places	C10036	4				4
Porters Grange Primary Places	C10119	76				76
School Improvement and Provision of School Places	C10475		5,000	5,000		10,000
Sacred Heart Primary School	C10620	1,540				1,540
St Helens to FE	C10618	1,500	1,070			2,570
St Marys East	C10617	1,572				1,572
Thorpe Greenways Infant/Junior School	C10619	1,312				1,312
Total Primary School Places		8,024	6,070	5,000		19,094
Total Department for People		12,243	10,755	7,525		30,523

Scheme	Project code	2015/16 Budget £000	2016/17 Budget £000	2017/18 Budget £000	2018/19 & later years Budget £000	Total Current Budget £000
Department for Place						
ASO Machinery Purchase	C10731	18				18
Belfairs Farm Buildings - condition surveys and costings	C10704	10				10
Belfairs Golf Course - Drainage	C10552	15				15
Belfairs Swim Centre H & S	C10623	717				717
Belfairs Woodland Centre Project	C10502	58				58
Bridges in Priory Park - Health & Safety	C10659	40				40
Chalkwell Park and Priory Park Tennis Courts	C10682	180				180
Chase Sports & Fitness Centre - Fire Alarm	C10732	30				30
Cliffs Pavilion - External Works above Maritime Room	C10695	200				200
Cliffs Pavilion - Refurbishmen of passenger lift	C10692	62				62
Cliffs Pavilion - Replacement floor in auditorium	C10670	60				60
Cliffs Pavilion undercroft piping replacement – urgent works	C10722	90				90
Elmer Sq Project - Library	C10019	411				411
Energy Improvements in Culture Property Assets	C10565	169				169
FPG	C10488	5				5
Hard Surface Path Improvements	C10566	174				174
Library Review	C10624	521	260			781
Milton Gardens - Childrens Multi-Play Unit	C10728	10				10
Palace Theatre fire exit installation – urgent works	C10723	30				30
Palace Theatre - Replacement of External Windows	C10725	60				60
Palace Theatre - Replacement of roof over smoke hood	C10705	15				15
Parks Land Drainage - Blenheim Park	C10680	105				105
Parks Land Drainage - Southchurch Park	C10681	75				75
Parks Sports Pitch Drainage	C10694	85				85
Priory Park Water Main	C10625	100				100
Prittwell Prince Museum	C10043			38		38
Prittwell Prince Storage	C10696	200				200
Pump Priming Budget	C10044			333		333

Scheme	Project code	2015/16 Budget £000	2016/17 Budget £000	2017/18 Budget £000	2018/19 & later years Budget £000	Total Current Budget £000
Southchurch Park Bowls Pavillion	C10739	95				95
Southchurch Park Lake	C10077	3				3
Southchurch Park Lighting	C10591	9				9
Southend Leisure & Tennis Centre - Refurbishment of Lift	C10627	21				21
War Memorials within the Borough	C10569	87				87
	Total Culture	3,655	631			4,286
City Deal - Incubation Centre	C10668	80				80
Coastal Communities Fund	C10658	377	948			1,325
Pier Hill Lifts - Emergency Maintenance	C10551	9				9
Pier Hill Lifts Replacement	C10737	315				315
Prince George Extension Works	C10038	1,243				1,243
Property Refurbishment Programme	C10626	369	300	300		969
Southend Pier - Condition Works	C10697	600	500	500	1,050	2,650
	Total Enterprise, Tourism & Regeneration	2,993	1,748	800	1,050	6,591
Cliff Stabilisation - Clifton Drive	C10683	847				847
Coastal Defence (Shoebury Common Sea Defence Scheme)	C10011	105		4,320		4,425
Coastal Defence - Storm Damage	C10660	42				42
Flooding - Repairs and Renewals	C10707	600				600
Two Tree Island - Slipway	C10654	139				139
	Total Coastal Defence	1,733		4,320		6,053
Cinder Path	C10115	348	487			835
Highways Planned Maintenance Investment	C10029	552	502	502		1,556
Street Lighting Renewal	C10061	3,947	6,905	2,485		13,337
	Total Highways & Infrastructure	4,847	7,894	2,987		15,728
Car Parks Upgrade	C10151	550				550
	Total Parking Management	550				550

Scheme	Project code	2015/16 Budget £000	2016/17 Budget £000	2017/18 Budget £000	2018/19 & later years Budget £000	Total Current Budget £000
S106 Airport 0901960 Fulm	C10274	7	4			11
S106 Audley Court 0200874 Ful	C10276	10				10
S106 - College London Rd	C10203	50				50
S106 Ajax Works 0300130ful	C10199	1	1	1	3	6
S106 Elm Gate 1000655FULM	C10666	7	125			132
S106 279 Fairfax Drive 1101349FULM	C10691		75			75
S106 Former College 1000225FUL	C10207	11				11
S106 Garrison 0000777 Deposit	C10690	21				21
S106 Garrison Park Store	C10188	1				1
S106 High Works Shoe Garrison	C10213	6				6
S106 Land North Of Ambleside	C10201	2				2
S106 Lifstan Way 0000273 Out	C10269	4	4	4	72	84
S106 910 London Road 0901899 ful	C10479	1				1
S106 Manchester Drive 10/01584/FULM	C10491	1				1
S106 North Rd & Salisbury Ave 1200056	C10605	2				2
S106 Nth Sbury Rd 0301504out	C10205	438	648			1,086
S106 7-9 Pembury Road 0902326FULM	C10264	9				9
S106 53 Pavilion Dr 0701870OUTM	C10652		143			143
S106 Premier Inn 1300835FULM	C10653	15				15
S106 87 Rectory Gr 1101018FULM	C10581		155			155
S106 Seec 0200500ful	C10073		104			104
S106 21 Station Rd 0401552 FUL	C10277	12				12
S106 Sunlight Ldry 1400411FULM	C10686	16				16
S106 285 Sutton Rd 1001190FULM	C10218	66	15			81
S106 Univ H-Way0401561ful	C10196	46				46
S106 199-207 West Road 0501108OUT	C10582	12				12
S38/S278 Airport 0901960 Fulm	C10275	111				111
S78 Bellway Homes 14/00943/fulm	C10730	15				15
S38 Foot/Cycleway at Garrison	C10191	4				4
S38 Fossetts Farm Bridleway	C10193	116				116
S38 Garrison NBP Road Supp Fee	C10267	34				34
S38 Inspection Magazine Rd	C10190	5				5
Total S106 & S38 Agreements		1,023	1,274	5	75	2,377

Scheme	Project code	2015/16 Budget £000	2016/17 Budget £000	2017/18 Budget £000	2018/19 & later years Budget £000	Total Current Budget £000
LTP (Integrated Transport block) - Bridge Strengthening	C10512	400	380	370	350	1,500
LTP (Integrated Transport block) - Better Sustainable Transport	C10384	400	400	400	400	1,600
LTP (Integrated Transport block) - Better Networks	C10671	400	400	400	400	1,600
LTP (Integrated Transport block) - Traffic Management Schemes	C10513	400	400	400	400	1,600
LTP (Integrated Transport block) - Network Management	C10470	201	201	201	201	804
LTP - Maintenance	C10076	993	847	868	621	3,329
LTP - Maintenance - Street Lighting	C10708		50		150	200
Total Local Transport Plan		2,794	2,678	2,639	2,522	10,633
Local Growth Fund - A127 Growth Corridor	C10699	1,515	4,300	1,680	10,560	18,055
Local Growth Fund - Local Sustainable Transport Fund	C10700	800	200			1,000
Local Growth Fund - Southend Central Area Action Plan (SCAAP) Growth Point (Non-Transport)	C10701	120	120	1,400	4,340	5,980
Local Growth Fund - Southend Central Area Action Plan (SCAAP) Growth Point (Transport)	C10702		1,000	2,000	4,000	7,000
Total Local Growth Fund		2,435	5,620	5,080	18,900	32,035
A127 Junction Improvements	C10553	696	171			867
HCA Progress Road	C10254	20				20
Southend Transport Model	C10058	62	30			92
Victoria Gateway - Design Review	C10609	6				6
Total Transport		784	201			985
Short Street Depot	C10570	591				591
Waste Transfer Station	C10467	6				6
Total Waste		597				597
Beecroft and Central Museum Energy Project	C10738	1,092				1,092
Civic Centre Boilers - Low Loss Header	C10676	36				36
LED Lighting - Civic Centre Underground Car Park	C10662	19				19
Southend Adult Community College Energy Project	C10664	581				581
Temple Sutton School Energy Project	C10665	1,124				1,124
Total Energy Saving		2,852				2,852
Total Department for Place		24,263	20,046	15,831	22,547	82,687
Total General Fund Capital Schemes		41,894	48,371	33,136	22,547	145,948

Scheme	Project code	2015/16 Budget £000	2016/17 Budget £000	2017/18 Budget £000	2018/19 & later years Budget £000	Total Current Budget £000
Housing Revenue Account (HRA)						
Bathroom Refurbishment	C10161	380				380
Central Heating	C10162	492				492
Common Areas Improvement	C10168	2,660	220			2,880
Environmental - H&S works	C10163	1,314	100			1,414
Kitchen Refurbishments	C10164	691				691
Rewiring	C10165	80				80
Roofs	C10166	1,090				1,090
Windows and Doors	C10167	250				250
Efdf - Whole Home Energy Project	C10160	37				37
Future Programme (MRA & Decent Homes)	C10298		7,000	7,500	7,800	22,300
	Total Decent Homes Programme	6,994	7,320	7,500	7,800	29,614
HRA Disabled Adaptations - Major Adaptations	C10015	450				450
HRA Disabled Adaptations - Minor Adaptations	C10257	50				50
	Total Council House Adaptions	500				500
Sheltered Housing DDA works	C10177		345			345
	Total Sheltered Housing Remodelling		345			345
S106 New Build 159 Bournemouth Park Road	C10584	12				12
S106 HRA Land Review	C10685	66				66
	Total S106 Funded HRA Projects	78				78
New Build 159 Bournemouth Park Road - RTB funded	C10595	4				4
	Total RTB Funded HRA Projects	4				4
Construction of New Housing on HRA Land	C10684	1,934				1,934
Strategic acquisition of tower block leaseholds	C10614	135				135
	Total Other HRA	2,069				2,069
	Total HRA Capital Schemes	9,645	7,665	7,500	7,800	32,610
TOTAL PROPOSED CAPITAL PROGRAMME		51,539	56,036	40,636	30,347	178,558

Southend-on-Sea Borough Council

Agenda
Item No.

8

Report of Corporate Director for People

to
Cabinet

on
10 November 2015

Report prepared by: Ian Ambrose
Group Manager, Financial Management

Financial Pressures facing the Housing Revenue Account

Policy and Resources Scrutiny Committee
Executive Councillor: Councillor David Norman
A Part 1 Public Agenda Item

1 Purpose of Report

To bring to Members attention, ahead of the Housing Revenue Account (HRA) Budget and Rent Setting 2016/17 report, various financial pressures facing the HRA as a result of recent changes in Government policy and on-going work to ensure charges to tenants are reasonable.

2 Recommendation

- 2.1 That Cabinet note the report;
- 2.2 That the Cabinet approve the refund of overpaid heating charges; and
- 2.3 That from 2016/17 consideration be given to South Essex Homes be charged with setting and recovering service, heating and water charges directly, and their management fee be reduced accordingly.

3 Background

- 3.1 Since the introduction of self-financing for the Housing Revenue Account (HRA) in 2012, the financial stability of the HRA had improved, despite the requirement to take on additional debt under the settlement arrangements. Since then the Government has
 - Increased the discount available on right to buy to encourage additional purchases of council housing stock, allowing the Council to retain more of

- the subsequent capital receipt on the proviso that it is used to part fund new affordable housing;
 - Terminated the rent convergence process; and
 - Altered the guidance on rent increases from RPI plus 0.5% to CPI plus 1%.
- 3.2 The changes since the initial self-financing settlement have had limited impact on the HRA business plan.
- 3.3 As part of the June budget, the Chancellor announced various plans that will affect social housing tenants and the financial standing of the HRA. These are
- Reduction in rents by 1% a year, from 1 April 2016, for 4 years;
 - Obligatory “pay to stay” market rents for tenants earning over £30,000; and
 - Proposed duty to consider the disposal of higher value stock as it becomes void.
- 3.4 The June budget also set out plans to reduce the benefits cap and to freeze the level of working age benefits for the life of the Parliament. Additionally recently there have also been statements about changing the nature of the affordable housing obligations placed on developers.
- 3.5 There is a lack of firm detail as yet: The Welfare Reform and Work Bill and the Housing and Planning Bill are the routes for the introduction of these changes. Both Bills rely on regulations yet to be published.
- 3.6 Separately South Essex Homes and the Council have been reviewing charges levied on tenants through Heating, Service and Water Charges.

4 Decrease in Social Rents

- 4.1 Up to now, Government rent increase guidance has steered local authorities to steady increase rents above inflation through the convergence to “formula rents” and a RPI / CPI plus annual increase. Although essentially voluntary, as housing subsidy and latterly the self-financing settlement assumed compliance with Government policy, to set rents below policy levels would have been detrimental to the financial stability of the HRA.
- 4.2 Housing Association rent increases are enforced through regulation.
- 4.3 The Government has announced that through the proposed Welfare Reform and Work Act it will force local authorities and housing associations to reduce current rent levels by 1% a year for the next four years starting 1 April 2016. This applies to both formula and affordable rents. This reverses the announcement from Government made last year that rents would increase by CPI plus 1% for the next ten years, and the basis for planning the current HRA Medium Term Financial Forecast (MTFF).

- 4.4 Based on the Office of Budgetary Responsibility forecasts of CPI inflation (July 2015) over coming years, this change in policy will have the following impact on rental yield.

	Existing CPI + 1% policy £M	1% pa reduction policy £M	Loss in anticipated annual rental yield £M / %	
2015/16	26.450	26.450	0.000	0%
2016/17	26.950	26.190	0.760	2.8%
2017/18	27.650	25.930	1.720	6.2%
2018/19	28.450	25.670	2.780	9.8%
2019/20	29.250	25.410	3.840	13.1%
Cumulative loss of income			9.100	

- 4.5 As can be seen, the HRA will have a cumulative £9 million less resources over the next four years, and even though it is assumed that rent increase policy will revert back to CPI + 1% from April 2020, the HRA will by then have some £4M less rental income each year than otherwise would have been the case. Based on a rough and ready extension of the HRA MTF, by 2018/19 the HRA will no longer make a surplus, and in 2019/20 will be in deficit by around £1M.
- 4.6 Surpluses accrued within the HRA are currently being set aside in a reserve for future HRA capital investment, particularly for new build and regeneration. The loss in rental yield will mean, all things being equal, that there will be £9M less in the reserve than previously anticipated over the next four years, and more worryingly unless action is taken to rebalance the HRA through efficiency, the reserves created will need to be used to underwrite the on-going deficit from 2019/20 onwards. Either way the ability of the HRA to undertake new build or major refurbishment will be severely hampered and the Council will need to look at alternative funding sources if it wishes to continue with a programme of building social housing for rent.
- 4.7 Tenants will see their rents go down. The average weekly rent is currently running at £87.41. Had the rent increase policy remained unchanged, average rents would have been expected to rise by £9.31 to £96.72. However under the Government's policy of reducing rents, average rents will instead fall by £3.45 to £83.96. This will leave average rents £12.76 or 13% lower than they otherwise would have been by 2020.
- 4.8 For those tenants that are not on housing benefit, they will see a reduction in their weekly out goings as a result; for the approximately 75% of tenants on housing benefit, their benefits will go down £ for £ in line with the reduction in their rent, and so all things being equal, will be no better off.
- 4.9 Work will be undertaken by the Council and South Essex Homes to give Members at least some options to consider during the forthcoming budget round for rebalancing the HRA.

<http://researchbriefings.files.parliament.uk/documents/SN01090/SN01090.pdf>

5 Pay to Stay

- 5.1 Up to now the Council has had the discretionary option to charge tenants who have household income of £60,000 or more a market rent for their property.
- 5.2 As part of the Sumer Budget 2015 the Chancellor announced that the discretionary 'pay to stay' scheme would be made compulsory and that new, lower, household income thresholds would be introduced. These thresholds are expected to be £40,000 in London and £30,000 elsewhere. It is anticipated that some form of taper will be introduced, increasing rent as household income rises above the threshold; until such time as market rent is reached. It is not known at this stage how the Government will define market rent.
- 5.3 Local authorities will be expected to pay the additional rental income to the Treasury, while housing associations will be able to use the additional income to reinvest in new housing.
- 5.4 The move to a mandatory 'pay to stay' scheme will require primary legislation introduced through the Housing and Planning Bill; the Government has issued a consultation on the proposal, including how income will be calculated for those affected. It appears the intention is a scheme of income declaration by tenants, backed up by a verification process involving HMRC.
- 5.5 For tenants not in receipt of housing benefit, the Council does not have details of household income, and therefore it is not possible to estimate the number of tenants that may be affected or the amount of additional rent that may be raised. In any case the Council will be acting as a revenue collecting agency for the Treasury, not raising additional revenue for itself.
- 5.6 Some commentators have argued that this policy will encourage more right to buy, in that the tenant may prefer to pay a mortgage rather than increased rent. Alternatively it may encourage them to move into the private sector, (although security of tenure may be a factor in their decision making). Ultimately it would be a personal decision for the affected tenant.
- 5.7 The policy is due to come into effect from 1 April 2017.

<http://researchbriefings.files.parliament.uk/documents/SN06804/SN06804.pdf>

6 Housing Association Right to Buy and Vacant High Value Local Authority Housing

- 6.1 As part of its manifesto, the Government announced plans to give housing association tenants the right to buy, on the same terms as Council tenants. As part of the proposal, the Government has announced that the cost of the discounts offered to housing association tenants will be funded through the enforced sale of local authority's "most expensive properties" as they fall vacant.
- 6.2 Housing Association right to buy was to be brought in through the proposed Housing and Planning Act. However the National Housing Federation, which represents Housing Associations, has negotiated a voluntary agreement which

will allow for housing association tenants to exercise right to buy from 2016. This voluntary agreement is included within the draft Housing and Planning Bill, by giving the Secretary of State to pay a grant to cover the cost of the discounts provided, and by giving the Homes and Communities Agency a role in monitoring the performance of housing associations on 'right to buy' and home ownership.

- 6.3 The proposed Housing and Planning Act will be the statutory route through which the Government will introduce a duty on councils to consider selling high value vacant social housing when it becomes vacant. The Bill has changed the understanding of the nature of the scheme, in that it empowers the Secretary of State to require an upfront payment from the Council calculated by reference to the market value of their "high value" housing stock, rather than just paying over the proceeds of the actual sales, raising the possibility that should insufficient properties be sold, the HRA will have to fund the levy through other resources.
- 6.4 From the little published it would appear that the receipt generated from each sale will be expected to:
- Repay the (assumed) debt associated with the property;
 - Compensate housing associations for the right to buy discount they will have to offer (so between the proceeds from their right to buy receipt and the compensation received, housing associations will get full market value for their sold stock);
 - Replace the sold council house "in the same area" on a "one for one basis"; and
 - Go towards the creation of a £1bn Brownfield Regeneration Fund.
- 6.5 High value homes have yet to be defined, and the methodology for calculating any levy has yet to be proposed. Previously the Conservative Party published a table setting out the market value thresholds for the sale of high value.

	1 Bed	2 Bed	3 bed	4 Bed	5 Bed or more
North East	80,000	125,000	155,000	250,000	310,000
North West	90,000	130,000	160,000	270,000	430,000
Yorkshire & the Humber	85,000	130,000	165,000	265,000	375,000
East Midlands	105,000	145,000	175,000	320,000	430,000
West Midlands	100,000	145,000	180,000	305,000	415,000
East	155,000	220,000	265,000	440,000	635,000
London	340,000	400,000	490,000	790,000	1,205,000
South East	165,000	250,000	320,000	495,000	755,000
South West	135,000	200,000	260,000	375,000	535,000

- 6.6 What is not clear at present is whether the definition of high value stock will include specialised units, such as sheltered and extra care, or new build properties, or whether these thresholds will ultimately remain.
- 6.7 However based on these values, and the latest market value information for our stock, it would appear that the Council has 200 1 bed and 141 2 bed properties that are of "high value", nearly 6% of the total stock, with another 35 2 beds and 125 3 beds just below the threshold.

<http://researchbriefings.files.parliament.uk/documents/CBP-7224/CBP-7224.pdf>

7 Affordable Housing and Welfare Benefits

- 7.1 The Prime Minister announced at the October Conservative Party Conference the intention to change the nature of the affordable housing obligations put on developers, such that it can be discharged through the provision of affordable units for sale to first time buyers, rather than for on-going rent as at present. This has been confirmed in the Housing and Planning Bill. This has the potential for reducing the provision of affordable rented stock, and therefore reducing the options for those on the housing waiting list.
- 7.2 Housing Associations are similarly warning that their development plans for affordable units are being reduced as a result of the rent reduction proposals.
- 7.3 Whilst not an immediate issue for the HRA, it will clearly increase demand for the limited Council housing stock, and is likely to increase the need for the Council to provide temporary accommodation to discharge its housing duties.
- 7.4 Separately through the Welfare Reform and Work Bill, the Government has stated its intention to simultaneously reduce the benefits cap from £23,000 down to £20,000, and freeze the level of Working-age benefits, including tax credits and Local Housing Allowance for four years from 2016/17. Given the lower rent levels, even before the forthcoming reductions, of council housing, this change are not expected to immediately impact on the housing benefit available to our tenants, but is predicted to reduce housing benefits payable to out of work families and lone parents with two or more children in the private rental sector.
- 7.5 A more detailed report on these issues will be brought to a future meeting of Cabinet.

8 Service Charges, etc

- 8.1 A significant proportion of income to the HRA comes from service, heating and water charges. The income comes directly into the HRA, with the costs of providing these services being incurred by South Essex Homes and therefore included within the management fee.
- 8.2 It is beholden on South Essex Homes and the Council to ensure that service charges are reasonable, and as near as possible are set on a cost recovery basis. This process is however hampered by a mismatch of responsibilities and lack of clarity; SEH provide the service, SBC collects income. Therefore from time to time reviews are carried out.
- 8.3 Where service charges are found to be under-recovering, the Council has the ability to increase the charges going forward. Where there is over-recovery, there is an obligation to rectify the situation, either through reduced charges going forward, or by making a refund.
- 8.4 Such a situation has occurred in relation to heating charges made to residents of sheltered schemes. Despite only increasing heating charges by just under

10% since 2008/09, a significant over-recovery has occurred amounting to £1M. It is recommended that this be refunded to tenants. Full provision for the refund was made in last year's accounts, and so will have no impact on existing budgets. Appendix 1 is a briefing note prepared by South Essex Homes giving more background to the issue.

- 8.5 As stated in 8.2 above, South Essex Homes have full details of their costs associated with the provision of chargeable services, and although it collects the charges on the Councils behalf, it is the Council that actually sets the charge. Going forward it may make more sense if South Essex Homes sets and recovers the charges directly on a cost recovery basis, albeit ratified by the Council. This will give greater clarity over the setting of service charges and hopefully avoid significant over recovery situations in the future. It is therefore suggested that this proposal be explored as part of the introduction of the new management agreement from 1 April 2016.

9 Corporate Implications

9.1 Contribution to Council's Vision & Critical Priorities

The proposed statutory provisions will make it more difficult for the Council to address its priorities in relation to the provision of new mixed tenure affordable housing and its ability to enable the planning and development of quality, affordable housing.

9.2 Financial Implications

The financial implications, as far as they can be predicted at this stage, are included throughout the report. The reduction in rent yield within the HRA will have a destabilising impact on the HRA business plan, and will require work by the Council and South Essex Homes to, at least partially, offset the loss in income through further efficiencies to rebalance the HRA.

9.3 Legal Implications

The report refers to two proposed statutory instruments; the Welfare Reform and Work Act and the Housing and Planning Act, both of which are at the Bill stage. Their development through the Houses of Parliament may bring about changes to the detail of their operation, as will the proposed regulations arising from the legislation once enacted.

9.4 People Implications

There are no people implications arising from this report

9.5 Property Implications

There are no immediate property implications arising from this report, although the statutory provisions referred to are likely to see an acceleration of the reduction of the Council's housing stock, and a decrease in the provision of new affordable homes for rent.

9.6 Consultation

There are no consultation implications arising from this report

9.7 Equalities Impact Assessment

There are no equalities implications arising from this report

9.8 Risk Assessment

There are no risk implications arising from this report

9.9 Value for Money

There are no value for money implications arising from this report

9.10 Community Safety Implications

There are no community safety implications arising from this report

9.11 Environmental Impact

There are no environmental implications arising from this report

10 Background Papers

Hyperlinks within the report point Members to House of Commons Library briefing papers relating to these issues.

11 Appendices

Appendix 1 Briefing paper on the proposed Heating Charge refund

Briefing note on the need to make a refund of Heating Charges to Tenants of Sheltered Housing Schemes

The heating charges for sheltered housing schemes from 2008/9 to 2014/15 were based on 2007/8 actual costs plus an allowance for estimated increase in gas costs each year. The charge for each dwelling (adjusting for a bed sit or one bed) was divided equally across all tenancies in the sheltered schemes. This did, however, lead to a position whereby the Council was recovering more than the cost of energy consumed.

At its meeting of 15th February 2015 Cabinet changed the basis of charge to tenants in sheltered schemes for 2015/16 onwards. The basis of charge is now calculated using actual costs for each scheme based on the previous year. The Sheltered Housing Focus Groups were consulted on this and accepted the change in approach.

This has led to a reduction in charges for residents in all sheltered schemes for 2015/16.

A petition was subsequently received from Adams Elm House stating that they felt they had been overcharged and requesting a refund for previous years.

The total refund would be in the region of £1,033,000. Full provision has been made in the Council's accounts for this sum at the end of 2014/15. The total refundable to individual sheltered scheme tenants would be £4.30p per week for a one bed and £2.87p per week for a bed sit for the duration of their tenancy up to seven years.

It is proposed to write to all tenants in sheltered schemes setting out the position and stating that a refund will be made, less any arrears of rent or Council tax.

CABINET

Tuesday, 10th November 2015

COUNCIL PROCEDURE RULE 46

The following action taken in accordance with Council Procedure Rule 46 is reported. In consultation with the appropriate Executive Councillor(s):-

1. The Corporate Director for Corporate Services authorised:

1.1 Beach Hut Leases

Agreement that all beach hut tenants will be allowed to hold over on the terms and rent of their current leases for a further 12 months to allow time for the Council to assess the options and select a preferred scheme for the sea wall enhancements required to manage flood risk. This provides some certainty to beach hut owners whilst protecting the Council's position and ensuring the rents can be collected in the meantime.

1.2 Purchase of 36 Malvern Coleman Street Southend-on-Sea

The use of HRA reserves to bid for this property at auction up to the agreed maximum limit, the costs of which will be created by a virement from the existing HRA capital programme. The auction took place on 30 September 2015.

2. The Corporate Director for Place authorised:

2.1 Technical Housing Standards - Policy Transition Statement

The publication of the Council's Policy Transition Statement on the Council's website which sets out how the existing policies relating to Housing Standards in the Development Management Document and Core Strategy should be applied from 1st October 2015.

By virtue of paragraph(s) 3 of Part 1 of Schedule 12A
of the Local Government Act 1972.

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